

# Formalization of Forcing in Isabelle/ZF

Emmanuel Gunther    Miguel Pagano    Pedro Sánchez Terraf

April 18, 2020

## Contents

<b>1</b>	<b>Forcing notions</b>	<b>3</b>
1.1	Basic concepts . . . . .	4
1.2	Towards Rasiowa-Sikorski Lemma (RSL) . . . . .	8
<b>2</b>	<b>A pointed version of DC</b>	<b>9</b>
<b>3</b>	<b>The general Rasiowa-Sikorski lemma</b>	<b>11</b>
<b>4</b>	<b>Auxiliary results on arithmetic</b>	<b>11</b>
4.1	Some results in ordinal arithmetic . . . . .	14
<b>5</b>	<b>Renaming of variables in internalized formulas</b>	<b>15</b>
5.1	Renaming of free variables . . . . .	15
5.2	Renaming of formulas . . . . .	18
<b>6</b>	<b>Aids to internalize formulas</b>	<b>20</b>
<b>7</b>	<b>Some enhanced theorems on recursion</b>	<b>21</b>
<b>8</b>	<b>Relativization of the cumulative hierarchy</b>	<b>23</b>
8.1	Formula synthesis . . . . .	24
8.2	Absoluteness results . . . . .	25
<b>9</b>	<b>Automatic synthesis of formulas</b>	<b>28</b>
<b>10</b>	<b>Interface between set models and Constructibility</b>	<b>29</b>
10.1	Interface with <i>M_trivial</i> . . . . .	31
10.2	Interface with <i>M_basic</i> . . . . .	31
10.3	Interface with <i>M_trancl</i> . . . . .	35
10.4	Interface with <i>M_eclose</i> . . . . .	38

<b>11 Transitive set models of ZF</b>	<b>43</b>
11.1 <i>Collects</i> in $M$	45
11.2 A forcing locale and generic filters	47
<b>12 The ZFC axioms, internalized</b>	<b>48</b>
12.1 The Axiom of Separation, internalized	51
12.2 The Axiom of Replacement, internalized	53
<b>13 Names and generic extensions</b>	<b>56</b>
13.1 The well-founded relation $ed$	57
13.2 Values and check-names	59
<b>14 Well-founded relation on names</b>	<b>67</b>
<b>15 Arities of internalized formulas</b>	<b>77</b>
<b>16 The definition of <i>forces</i></b>	<b>81</b>
16.1 The relation $frecrel$	82
16.2 Definition of <i>forces</i> for equality and membership	85
16.3 The well-founded relation $forcere$	89
16.4 $frc\_at$ , forcing for atomic formulas	90
16.5 Recursive expression of $frc\_at$	98
16.6 Absoluteness of $frc\_at$	98
16.7 Forcing for general formulas	100
16.7.1 The primitive recursion	102
16.8 Forcing for atomic formulas in context	102
16.9 The arity of <i>forces</i>	104
<b>17 The Forcing Theorems</b>	<b>105</b>
17.1 The forcing relation in context	105
17.2 Kunen 2013, Lemma IV.2.37(a)	105
17.3 Kunen 2013, Lemma IV.2.37(a)	106
17.4 Kunen 2013, Lemma IV.2.37(b)	106
17.5 Kunen 2013, Lemma IV.2.38	106
17.6 The relation of forcing and atomic formulas	107
17.7 The relation of forcing and connectives	108
17.8 Kunen 2013, Lemma IV.2.29	109
17.9 Auxiliary results for Lemma IV.2.40(a)	109
17.10 Induction on names	110
17.11 Lemma IV.2.40(a), in full	111
17.12 Lemma IV.2.40(b)	111
17.13 The Strengthening Lemma	112
17.14 The Density Lemma	113
17.15 The Truth Lemma	113
17.16 The “Definition of forcing”	115

<b>18</b>	<b>Auxiliary renamings for Separation</b>	<b>115</b>
<b>19</b>	<b>The Axiom of Separation in <math>M[G]</math></b>	<b>118</b>
<b>20</b>	<b>The Axiom of Pairing in <math>M[G]</math></b>	<b>118</b>
<b>21</b>	<b>The Axiom of Unions in <math>M[G]</math></b>	<b>119</b>
<b>22</b>	<b>The Powerset Axiom in <math>M[G]</math></b>	<b>120</b>
<b>23</b>	<b>The Axiom of Extensionality in <math>M[G]</math></b>	<b>121</b>
<b>24</b>	<b>The Axiom of Foundation in <math>M[G]</math></b>	<b>122</b>
<b>25</b>	<b>The binder <i>Least</i></b>	<b>122</b>
25.1	Absoluteness and closure under <i>Least</i> . . . . .	123
<b>26</b>	<b>The Axiom of Replacement in <math>M[G]</math></b>	<b>124</b>
<b>27</b>	<b>The Axiom of Infinity in <math>M[G]</math></b>	<b>128</b>
<b>28</b>	<b>The Axiom of Choice in <math>M[G]</math></b>	<b>129</b>
28.1	$M[G]$ is a transitive model of ZF . . . . .	131
<b>29</b>	<b>Ordinals in generic extensions</b>	<b>132</b>
<b>30</b>	<b>Separative notions and proper extensions</b>	<b>133</b>
<b>31</b>	<b>A poset of successions</b>	<b>133</b>
31.1	The set of finite binary sequences . . . . .	133
31.2	Cohen extension is proper . . . . .	137
<b>32</b>	<b>The main theorem</b>	<b>137</b>
32.1	The generic extension is countable . . . . .	138
32.2	The main result . . . . .	138

## 1 Forcing notions

This theory defines a locale for forcing notions, that is, preorders with a distinguished maximum element.

```

theory Forcing_Notions
  imports ZF ZF-Constructible-Trans.Relative
begin

```

## 1.1 Basic concepts

We say that two elements  $p, q$  are *compatible* if they have a lower bound in  $P$

**definition**  $compat\_in :: i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $compat\_in(A, r, p, q) == \exists d \in A . \langle d, p \rangle \in r \wedge \langle d, q \rangle \in r$

**definition**  
 $is\_compat\_in :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $is\_compat\_in(M, A, r, p, q) \equiv \exists d[M]. d \in A \wedge (\exists dp[M]. pair(M, d, p, dp) \wedge dp \in r \wedge$   
 $(\exists dq[M]. pair(M, d, q, dq) \wedge dq \in r))$

**lemma**  $compat\_inI$  :  
 $\llbracket d \in A ; \langle d, p \rangle \in r ; \langle d, q \rangle \in r \rrbracket \Longrightarrow compat\_in(A, r, p, q)$   
 $\langle proof \rangle$

**lemma**  $refl\_compat$ :  
 $\llbracket refl(A, r) ; \langle p, q \rangle \in r \mid p = q \mid \langle q, p \rangle \in r ; p \in A ; q \in A \rrbracket \Longrightarrow compat\_in(A, r, p, q)$   
 $\langle proof \rangle$

**lemma**  $chain\_compat$ :  
 $refl(A, r) \Longrightarrow linear(A, r) \Longrightarrow (\forall p \in A. \forall q \in A. compat\_in(A, r, p, q))$   
 $\langle proof \rangle$

**lemma**  $subset\_fun\_image$ :  $f: N \rightarrow P \Longrightarrow f''N \subseteq P$   
 $\langle proof \rangle$

**lemma**  $refl\_monot\_domain$ :  $refl(B, r) \Longrightarrow A \subseteq B \Longrightarrow refl(A, r)$   
 $\langle proof \rangle$

**definition**  
 $antichain :: i \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $antichain(P, leq, A) == A \subseteq P \wedge (\forall p \in A. \forall q \in A. (\neg compat\_in(P, leq, p, q)))$

**definition**  
 $ccc :: i \Rightarrow i \Rightarrow o$  **where**  
 $ccc(P, leq) == \forall A. antichain(P, leq, A) \longrightarrow |A| \leq nat$

**locale**  $forcing\_notion =$   
**fixes**  $P$   $leq$   $one$   
**assumes**  $one\_in\_P$ :  $one \in P$   
**and**  $leq\_preord$ :  $preorder\_on(P, leq)$   
**and**  $one\_max$ :  $\forall p \in P. \langle p, one \rangle \in leq$   
**begin**

**abbreviation**  $Leq :: [i, i] \Rightarrow o$  (**infixl**  $\preceq$  50)  
**where**  $x \preceq y \equiv \langle x, y \rangle \in leq$

**lemma**  $refl\_leq$ :

$r \in P \implies r \preceq r$   
 ⟨proof⟩

A set  $D$  is *dense* if every element  $p \in P$  has a lower bound in  $D$ .

**definition**

*dense* ::  $i \Rightarrow o$  **where**  
*dense*( $D$ ) ==  $\forall p \in P. \exists d \in D. d \preceq p$

There is also a weaker definition which asks for a lower bound in  $D$  only for the elements below some fixed element  $q$ .

**definition**

*dense\_below* ::  $i \Rightarrow i \Rightarrow o$  **where**  
*dense\_below*( $D, q$ ) ==  $\forall p \in P. p \preceq q \longrightarrow (\exists d \in D. d \in P \wedge d \preceq p)$

**lemma** *P\_dense*: *dense*( $P$ )

⟨proof⟩

**definition**

*increasing* ::  $i \Rightarrow o$  **where**  
*increasing*( $F$ ) ==  $\forall x \in F. \forall p \in P. x \preceq p \longrightarrow p \in F$

**definition**

*compat* ::  $i \Rightarrow i \Rightarrow o$  **where**  
*compat*( $p, q$ ) == *compat\_in*( $P, \text{leq}, p, q$ )

**lemma** *leq\_transD*:  $a \preceq b \implies b \preceq c \implies a \in P \implies b \in P \implies c \in P \implies a \preceq c$

⟨proof⟩

**lemma** *leq\_reflI*:  $p \in P \implies p \preceq p$

⟨proof⟩

**lemma** *compatD[dest!]*:  $\text{compat}(p, q) \implies \exists d \in P. d \preceq p \wedge d \preceq q$

⟨proof⟩

**abbreviation** *Incompatible* ::  $[i, i] \Rightarrow o$  (**infixl**  $\perp$  50)

**where**  $p \perp q \equiv \neg \text{compat}(p, q)$

**lemma** *compatI[intro!]*:  $d \in P \implies d \preceq p \implies d \preceq q \implies \text{compat}(p, q)$

⟨proof⟩

**lemma** *denseD [dest]*:  $\text{dense}(D) \implies p \in P \implies \exists d \in D. d \preceq p$

⟨proof⟩

**lemma** *denseI [intro!]*:  $\llbracket \bigwedge p. p \in P \implies \exists d \in D. d \preceq p \rrbracket \implies \text{dense}(D)$

⟨proof⟩

**lemma** *dense\_belowD [dest]*:

**assumes** *dense\_below*( $D, p$ )  $q \in P$   $q \preceq p$

**shows**  $\exists d \in D. d \in P \wedge d \preceq q$

*<proof>*

**lemma** *dense\_belowI* [intro!]:

**assumes**  $\bigwedge q. q \in P \implies q \preceq p \implies \exists d \in D. d \in P \wedge d \preceq q$

**shows** *dense\_below*( $D, p$ )

*<proof>*

**lemma** *dense\_below\_cong*:  $p \in P \implies D = D' \implies \text{dense\_below}(D, p) \longleftrightarrow \text{dense\_below}(D', p)$

*<proof>*

**lemma** *dense\_below\_cong'*:  $p \in P \implies \llbracket \bigwedge x. x \in P \implies Q(x) \longleftrightarrow Q'(x) \rrbracket \implies$   
 $\text{dense\_below}(\{q \in P. Q(q)\}, p) \longleftrightarrow \text{dense\_below}(\{q \in P. Q'(q)\}, p)$

*<proof>*

**lemma** *dense\_below\_mono*:  $p \in P \implies D \subseteq D' \implies \text{dense\_below}(D, p) \implies \text{dense\_below}(D', p)$

*<proof>*

**lemma** *dense\_below\_under*:

**assumes** *dense\_below*( $D, p$ )  $p \in P$   $q \in P$   $q \preceq p$

**shows** *dense\_below*( $D, q$ )

*<proof>*

**lemma** *ideal\_dense\_below*:

**assumes**  $\bigwedge q. q \in P \implies q \preceq p \implies q \in D$

**shows** *dense\_below*( $D, p$ )

*<proof>*

**lemma** *dense\_below\_dense\_below*:

**assumes** *dense\_below*( $\{q \in P. \text{dense\_below}(D, q)\}, p$ )  $p \in P$

**shows** *dense\_below*( $D, p$ )

*<proof>*

**definition**

*antichain* ::  $i \Rightarrow o$  **where**

*antichain*( $A$ ) ==  $A \subseteq P \wedge (\forall p \in A. \forall q \in A. (\neg \text{compat}(p, q)))$

A filter is an increasing set  $G$  with all its elements being compatible in  $G$ .

**definition**

*filter* ::  $i \Rightarrow o$  **where**

*filter*( $G$ ) ==  $G \subseteq P \wedge \text{increasing}(G) \wedge (\forall p \in G. \forall q \in G. \text{compat\_in}(G, \text{leq}, p, q))$

**lemma** *filterD* : *filter*( $G$ )  $\implies x \in G \implies x \in P$

*<proof>*

**lemma** *filter\_leqD* : *filter*( $G$ )  $\implies x \in G \implies y \in P \implies x \preceq y \implies y \in G$

*<proof>*

**lemma** *filter\_imp\_compat*:  $filter(G) \implies p \in G \implies q \in G \implies compat(p, q)$   
 ⟨proof⟩

**lemma** *low\_bound\_filter*: — says the compatibility is attained inside  $G$   
**assumes**  $filter(G)$  **and**  $p \in G$  **and**  $q \in G$   
**shows**  $\exists r \in G. r \preceq p \wedge r \preceq q$   
 ⟨proof⟩

We finally introduce the upward closure of a set and prove that the closure of  $A$  is a filter if its elements are compatible in  $A$ .

**definition**  
*upclosure* ::  $i \Rightarrow i$  **where**  
 $upclosure(A) == \{p \in P. \exists a \in A. a \preceq p\}$

**lemma** *upclosureI* [*intro*] :  $p \in P \implies a \in A \implies a \preceq p \implies p \in upclosure(A)$   
 ⟨proof⟩

**lemma** *upclosureE* [*elim*] :  
 $p \in upclosure(A) \implies (\bigwedge x a. x \in P \implies a \in A \implies a \preceq x \implies R) \implies R$   
 ⟨proof⟩

**lemma** *upclosureD* [*dest*] :  
 $p \in upclosure(A) \implies \exists a \in A. (a \preceq p) \wedge p \in P$   
 ⟨proof⟩

**lemma** *upclosure\_increasing* :  
 $A \subseteq P \implies increasing(upclosure(A))$   
 ⟨proof⟩

**lemma** *upclosure\_in\_P*:  $A \subseteq P \implies upclosure(A) \subseteq P$   
 ⟨proof⟩

**lemma** *A\_sub\_upclosure*:  $A \subseteq P \implies A \subseteq upclosure(A)$   
 ⟨proof⟩

**lemma** *elem\_upclosure*:  $A \subseteq P \implies x \in A \implies x \in upclosure(A)$   
 ⟨proof⟩

**lemma** *closure\_compat\_filter*:  
 $A \subseteq P \implies (\forall p \in A. \forall q \in A. compat\_in(A, leq, p, q)) \implies filter(upclosure(A))$   
 ⟨proof⟩

**lemma** *aux\_RS1*:  $f \in N \rightarrow P \implies n \in N \implies f^n \in upclosure(f \text{ `` } N)$   
 ⟨proof⟩

**lemma** *decr\_succ\_decr*:  $f \in nat \rightarrow P \implies preorder\_on(P, leq) \implies$   
 $\forall n \in nat. \langle f \text{ ' } succ(n), f \text{ ' } n \rangle \in leq \implies$   
 $n \in nat \implies m \in nat \implies n \leq m \longrightarrow \langle f \text{ ' } m, f \text{ ' } n \rangle \in leq$

*<proof>*

**lemma** *decr\_seq\_linear*:  $\text{refl}(P, \text{leq}) \implies f \in \text{nat} \rightarrow P \implies$   
 $\forall n \in \text{nat}. \langle f \text{ ' succ}(n), f \text{ ' } n \rangle \in \text{leq} \implies$   
 $\text{trans}[P](\text{leq}) \implies \text{linear}(f \text{ " nat, leq})$   
*<proof>*

**end**

## 1.2 Towards Rasiowa-Sikorski Lemma (RSL)

**locale** *countable\_generic* = *forcing\_notion* +  
**fixes**  $\mathcal{D}$   
**assumes** *countable\_subs\_of\_P*:  $\mathcal{D} \in \text{nat} \rightarrow \text{Pow}(P)$   
**and** *seq\_of\_denses*:  $\forall n \in \text{nat}. \text{dense}(\mathcal{D} \text{ ' } n)$

**begin**

**definition**

*D\_generic* ::  $i \Rightarrow o$  **where**  
*D\_generic*( $G$ ) ==  $\text{filter}(G) \wedge (\forall n \in \text{nat}. (\mathcal{D} \text{ ' } n) \cap G \neq \emptyset)$

The next lemma identifies a sufficient condition for obtaining RSL.

**lemma** *RS\_sequence\_imp\_rasiowa\_sikorski*:

**assumes**  
 $p \in P \text{ ' } f : \text{nat} \rightarrow P \text{ ' } 0 = p$   
 $\bigwedge n. n \in \text{nat} \implies f \text{ ' } \text{succ}(n) \preceq f \text{ ' } n \wedge f \text{ ' } \text{succ}(n) \in \mathcal{D} \text{ ' } n$   
**shows**  
 $\exists G. p \in G \wedge \text{D\_generic}(G)$   
*<proof>*

**end**

Now, the following recursive definition will fulfill the requirements of lemma *RS\_sequence\_imp\_rasiowa\_sikorski*

**consts** *RS\_seq* ::  $[i, i, i, i, i, i] \Rightarrow i$

**primrec**

$\text{RS\_seq}(0, P, \text{leq}, p, \text{enum}, \mathcal{D}) = p$   
 $\text{RS\_seq}(\text{succ}(n), P, \text{leq}, p, \text{enum}, \mathcal{D}) =$   
 $\text{enum} \text{ ' } (\mu m. \langle \text{enum} \text{ ' } m, \text{RS\_seq}(n, P, \text{leq}, p, \text{enum}, \mathcal{D}) \rangle \in \text{leq} \wedge \text{enum} \text{ ' } m \in \mathcal{D} \text{ ' } n)$

**context** *countable\_generic*

**begin**

**lemma** *preimage\_rangeD*:

**assumes**  $f \in \text{Pi}(A, B) \text{ ' } b \in \text{range}(f)$   
**shows**  $\exists a \in A. f \text{ ' } a = b$   
*<proof>*



**lemma** *countable\_RS\_sequence\_aux*:  
**fixes**  $p$  *enum*  
**defines**  $f(n) \equiv RS\_seq(n, P, leq, p, enum, \mathcal{D})$   
**and**  $Q(q, k, m) \equiv enum\ 'm \preceq q \wedge enum\ 'm \in \mathcal{D} \ 'k$   
**assumes**  $n \in nat$   $p \in P$   $P \subseteq range(enum)$   $enum: nat \rightarrow M$   
 $\bigwedge x k. x \in P \implies k \in nat \implies \exists q \in P. q \preceq x \wedge q \in \mathcal{D} \ 'k$   
**shows**  
 $f(succ(n)) \in P \wedge f(succ(n)) \preceq f(n) \wedge f(succ(n)) \in \mathcal{D} \ 'n$   
 $\langle proof \rangle$

**lemma** *countable\_RS\_sequence*:  
**fixes**  $p$  *enum*  
**defines**  $f \equiv \lambda n \in nat. RS\_seq(n, P, leq, p, enum, \mathcal{D})$   
**and**  $Q(q, k, m) \equiv enum\ 'm \preceq q \wedge enum\ 'm \in \mathcal{D} \ 'k$   
**assumes**  $n \in nat$   $p \in P$   $P \subseteq range(enum)$   $enum: nat \rightarrow M$   
**shows**  
 $f\ '0 = p$   $f\ 'succ(n) \preceq f\ 'n \wedge f\ 'succ(n) \in \mathcal{D} \ 'n$   $f\ 'succ(n) \in P$   
 $\langle proof \rangle$

**lemma** *RS\_seq\_type*:  
**assumes**  $n \in nat$   $p \in P$   $P \subseteq range(enum)$   $enum: nat \rightarrow M$   
**shows**  $RS\_seq(n, P, leq, p, enum, \mathcal{D}) \in P$   
 $\langle proof \rangle$

**lemma** *RS\_seq\_funtype*:  
**assumes**  $p \in P$   $P \subseteq range(enum)$   $enum: nat \rightarrow M$   
**shows**  $(\lambda n \in nat. RS\_seq(n, P, leq, p, enum, \mathcal{D})) : nat \rightarrow P$   
 $\langle proof \rangle$

**lemmas** *countable\_rasiowa\_sikorski* =  
 $RS\_sequence\_imp\_rasiowa\_sikorski[OF\_RS\_seq\_funtype\ countable\_RS\_sequence(1, 2)]$   
**end**

**end**

## 2 A pointed version of DC

**theory** *Pointed\_DC* **imports** *ZF.AC*

**begin**

This proof of DC is from Moschovakis "Notes on Set Theory"

**consts** *dc\_witness* ::  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$

**primrec**

*wit0* :  $dc\_witness(0, A, a, s, R) = a$

*witrec* :  $dc\_witness(succ(n), A, a, s, R) = s\ ' \{x \in A. \langle dc\_witness(n, A, a, s, R), x \rangle \in R\}$

**lemma** *witness\_into\_A* [TC]:  $a \in A \implies n \in nat \implies$   
 $(\forall X. X \neq 0 \wedge X \subseteq A \longrightarrow s\ 'X \in X) \implies$

$$\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \implies \\ dc\_witness(n, A, a, s, R) \in A$$

*<proof>*

**lemma** *witness\_related* :  $a \in A \implies n \in nat \implies$   
 $(\forall X . X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \implies$   
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \implies$   
 $\langle dc\_witness(n, A, a, s, R), dc\_witness(succ(n), A, a, s,$   
 $R) \rangle \in R$

*<proof>*

**lemma** *witness\_funtype*:  $a \in A \implies$   
 $(\forall X . X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \implies$   
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \implies$   
 $(\lambda n \in nat. dc\_witness(n, A, a, s, R)) \in nat \rightarrow A$

*<proof>*

**lemma** *witness\_to\_fun*:  $a \in A \implies (\forall X . X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \implies$   
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \implies$   
 $\exists f \in nat \rightarrow A. \forall n \in nat. f'n = dc\_witness(n, A, a, s, R)$

*<proof>*

**theorem** *pointed\_DC* :  $(\forall x \in A. \exists y \in A. \langle x, y \rangle \in R) \implies$   
 $\forall a \in A. (\exists f \in nat \rightarrow A. f'0 = a \wedge (\forall n \in nat. \langle f'n, f'succ(n) \rangle \in R))$

*<proof>*

**lemma** *aux\_DC\_on\_AxNat2* :  $\forall x \in A \times nat. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R \implies$   
 $\forall x \in A \times nat. \exists y \in A \times nat. \langle x, y \rangle \in \{ \langle a, b \rangle \in R. snd(b) = succ(snd(a)) \}$

*<proof>*

**lemma** *infer\_snd* :  $c \in A \times B \implies snd(c) = k \implies c = \langle fst(c), k \rangle$

*<proof>*

**corollary** *DC\_on\_A\_x\_nat* :  
 $(\forall x \in A \times nat. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R) \implies$   
 $\forall a \in A. (\exists f \in nat \rightarrow A. f'0 = a \wedge (\forall n \in nat. \langle f'n, \langle f'succ(n), succ(n) \rangle \rangle \in R))$

*<proof>*

**lemma** *aux\_sequence\_DC* :  $\bigwedge R. \forall x \in A. \forall n \in nat. \exists y \in A. \langle x, y \rangle \in S'n \implies$   
 $R = \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times nat) \times (A \times nat). \langle x, y \rangle \in S'm \} \implies$   
 $\forall x \in A \times nat. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R$

*<proof>*

**lemma** *aux\_sequence\_DC2* :  $\forall x \in A. \forall n \in nat. \exists y \in A. \langle x, y \rangle \in S'n \implies$   
 $\forall x \in A \times nat. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times nat) \times (A \times nat).$   
 $\langle x, y \rangle \in S'm \}$

*<proof>*

**lemma** *sequence\_DC*:  $\forall x \in A. \forall n \in nat. \exists y \in A. \langle x, y \rangle \in S'n \implies$

$\forall a \in A. (\exists f \in \text{nat} \rightarrow A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in S'succ(n)))$   
 <proof>  
 end

### 3 The general Rasiowa-Sikorski lemma

**theory** *Rasiowa\_Sikorski* **imports** *Forcing\_Notions Pointed\_DC* **begin**

**context** *countable\_generic*  
**begin**

**lemma** *RS\_relation*:

**assumes**

1:  $p \in P$

**and**

2:  $n \in \text{nat}$

**shows**

$\exists y \in P. \langle p, y \rangle \in (\lambda m \in \text{nat}. \{ \langle x, y \rangle \in P * P. y \preceq x \wedge y \in \mathcal{D}'(\text{pred}(m)) \})'n$   
 <proof>

**lemma** *DC\_imp\_RS\_sequence*:

**assumes**  $p \in P$

**shows**

$\exists f. f: \text{nat} \rightarrow P \wedge f'0 = p \wedge$

$(\forall n \in \text{nat}. f'succ(n) \preceq f'n \wedge f'succ(n) \in \mathcal{D}'n)$

<proof>

**theorem** *rasiowa\_sikorski*:

$p \in P \implies \exists G. p \in G \wedge D\_generic(G)$

<proof>

end

end

### 4 Auxiliary results on arithmetic

**theory** *Nat\_Miscellanea* **imports** *ZF* **begin**

Most of these results will get used at some point for the calculation of arities.

**lemmas** *nat\_succI = Ord\_succ\_mem\_iff [THEN iffD2, OF nat\_into\_Ord]*

**lemma** *nat\_succD* :  $m \in \text{nat} \implies succ(n) \in succ(m) \implies n \in m$

<proof>

**lemmas** *zero\_in = ltD [OF nat\_0\_le]*

**lemma** *in\_n\_in\_nat* :  $m \in \text{nat} \implies n \in m \implies n \in \text{nat}$

*<proof>*

**lemma** *in\_succ\_in\_nat* :  $m \in \text{nat} \implies n \in \text{succ}(m) \implies n \in \text{nat}$   
*<proof>*

**lemma** *ltI\_neg* :  $x \in \text{nat} \implies j \leq x \implies j \neq x \implies j < x$   
*<proof>*

**lemma** *succ\_pred\_eq* :  $m \in \text{nat} \implies m \neq 0 \implies \text{succ}(\text{pred}(m)) = m$   
*<proof>*

**lemma** *succ\_ltI* :  $n \in \text{nat} \implies \text{succ}(j) < n \implies j < n$   
*<proof>*

**lemma** *succ\_In* :  $n \in \text{nat} \implies \text{succ}(j) \in n \implies j \in n$   
*<proof>*

**lemmas** *succ\_leD = succ\_leE [OF leI]*

**lemma** *succpred\_leI* :  $n \in \text{nat} \implies n \leq \text{succ}(\text{pred}(n))$   
*<proof>*

**lemma** *succpred\_n0* :  $\text{succ}(n) \in p \implies p \neq 0$   
*<proof>*

**lemma** *funcI* :  $f \in A \rightarrow B \implies a \in A \implies b = f \text{ ` } a \implies \langle a, b \rangle \in f$   
*<proof>*

**lemmas** *natEin = natE [OF lt\_nat\_in\_nat]*

**lemma** *succ\_in* :  $\text{succ}(x) \leq y \implies x \in y$   
*<proof>*

**lemmas** *Un\_least\_lt\_iffn = Un\_least\_lt\_iff [OF nat\_into\_Ord nat\_into\_Ord]*

**lemma** *pred\_le2* :  $n \in \text{nat} \implies m \in \text{nat} \implies \text{pred}(n) \leq m \implies n \leq \text{succ}(m)$   
*<proof>*

**lemma** *pred\_le* :  $n \in \text{nat} \implies m \in \text{nat} \implies n \leq \text{succ}(m) \implies \text{pred}(n) \leq m$   
*<proof>*

**lemma** *Un\_leD1* :  $\text{Ord}(i) \implies \text{Ord}(j) \implies \text{Ord}(k) \implies i \cup j \leq k \implies i \leq k$   
*<proof>*

**lemma** *Un\_leD2* :  $\text{Ord}(i) \implies \text{Ord}(j) \implies \text{Ord}(k) \implies i \cup j \leq k \implies j \leq k$   
*<proof>*

**lemma** *gt1* :  $n \in \text{nat} \implies i \in n \implies i \neq 0 \implies i \neq 1 \implies 1 < i$

*<proof>*

**lemma** *pred\_mono* :  $m \in \text{nat} \implies n \leq m \implies \text{pred}(n) \leq \text{pred}(m)$   
*<proof>*

**lemma** *succ\_mono* :  $m \in \text{nat} \implies n \leq m \implies \text{succ}(n) \leq \text{succ}(m)$   
*<proof>*

**lemma** *pred2\_Un* :  
 **assumes**  $j \in \text{nat} \ m \leq j \ n \leq j$   
 **shows**  $\text{pred}(\text{pred}(m \cup n)) \leq \text{pred}(\text{pred}(j))$   
*<proof>*

**lemma** *nat\_union\_abs1* :  
  $\llbracket \text{Ord}(i) ; \text{Ord}(j) ; i \leq j \rrbracket \implies i \cup j = j$   
*<proof>*

**lemma** *nat\_union\_abs2* :  
  $\llbracket \text{Ord}(i) ; \text{Ord}(j) ; i \leq j \rrbracket \implies j \cup i = j$   
*<proof>*

**lemma** *nat\_un\_max* :  $\text{Ord}(i) \implies \text{Ord}(j) \implies i \cup j = \text{max}(i,j)$   
*<proof>*

**lemma** *nat\_max\_ty* :  $\text{Ord}(i) \implies \text{Ord}(j) \implies \text{Ord}(\text{max}(i,j))$   
*<proof>*

**lemma** *le\_not\_lt\_nat* :  $\text{Ord}(p) \implies \text{Ord}(q) \implies \neg p \leq q \implies q \leq p$   
*<proof>*

**lemmas** *nat\_simp\_union* = *nat\_un\_max nat\_max\_ty max\_def*

**lemma** *le\_succ* :  $x \in \text{nat} \implies x \leq \text{succ}(x)$  *<proof>*

**lemma** *le\_pred* :  $x \in \text{nat} \implies \text{pred}(x) \leq x$   
*<proof>*

**lemma** *Un\_le\_compat* :  $o \leq p \implies q \leq r \implies \text{Ord}(o) \implies \text{Ord}(p) \implies \text{Ord}(q) \implies$   
 $\text{Ord}(r) \implies o \cup q \leq p \cup r$   
*<proof>*

**lemma** *Un\_le* :  $p \leq r \implies q \leq r \implies$   
  $\text{Ord}(p) \implies \text{Ord}(q) \implies \text{Ord}(r) \implies$   
  $p \cup q \leq r$   
*<proof>*

**lemma** *Un\_leI3* :  $o \leq r \implies p \leq r \implies q \leq r \implies$   
  $\text{Ord}(o) \implies \text{Ord}(p) \implies \text{Ord}(q) \implies \text{Ord}(r) \implies$   
  $o \cup p \cup q \leq r$   
*<proof>*

**lemma** *diff\_mono* :  
**assumes**  $m \in \text{nat } n \in \text{nat } p \in \text{nat } m < n \ p \leq m$   
**shows**  $m \# - p < n \# - p$   
 $\langle \text{proof} \rangle$

**lemma** *pred\_Un*:  
 $x \in \text{nat} \implies y \in \text{nat} \implies \text{Arith.pred}(\text{succ}(x) \cup y) = x \cup \text{Arith.pred}(y)$   
 $x \in \text{nat} \implies y \in \text{nat} \implies \text{Arith.pred}(x \cup \text{succ}(y)) = \text{Arith.pred}(x) \cup y$   
 $\langle \text{proof} \rangle$

**lemma** *le\_natI* :  $j \leq n \implies n \in \text{nat} \implies j \in \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *le\_natE* :  $n \in \text{nat} \implies j < n \implies j \in n$   
 $\langle \text{proof} \rangle$

**lemma** *diff\_cancel* :  
**assumes**  $m \in \text{nat } n \in \text{nat } m < n$   
**shows**  $m \# - n = 0$   
 $\langle \text{proof} \rangle$

**lemma** *leD* : **assumes**  $n \in \text{nat } j \leq n$   
**shows**  $j < n \mid j = n$   
 $\langle \text{proof} \rangle$

## 4.1 Some results in ordinal arithmetic

The following results are auxiliary to the proof of wellfoundedness of the relation *freqR*

**lemma** *max\_cong* :  
**assumes**  $x \leq y \ \text{Ord}(y) \ \text{Ord}(z)$  **shows**  $\text{max}(x,y) \leq \text{max}(y,z)$   
 $\langle \text{proof} \rangle$

**lemma** *max\_commutes* :  
**assumes**  $\text{Ord}(x) \ \text{Ord}(y)$   
**shows**  $\text{max}(x,y) = \text{max}(y,x)$   
 $\langle \text{proof} \rangle$

**lemma** *max\_cong2* :  
**assumes**  $x \leq y \ \text{Ord}(y) \ \text{Ord}(z) \ \text{Ord}(x)$   
**shows**  $\text{max}(x,z) \leq \text{max}(y,z)$   
 $\langle \text{proof} \rangle$

**lemma** *max\_D1* :  
**assumes**  $x = y \ w < z \ \text{Ord}(x) \ \text{Ord}(w) \ \text{Ord}(z)$  **shows**  $\text{max}(x,w) = \text{max}(y,z)$   
**shows**  $z \leq y$   
 $\langle \text{proof} \rangle$

**lemma** *max\_D2* :  
**assumes**  $w = y \vee w = z \ x < y \ \text{Ord}(x) \ \text{Ord}(w) \ \text{Ord}(y) \ \text{Ord}(z) \ \text{max}(x,w) = \text{max}(y,z)$   
**shows**  $x < w$   
 $\langle \text{proof} \rangle$

**lemma** *oadd\_lt\_mono2* :  
**assumes**  $\text{Ord}(n) \ \text{Ord}(\alpha) \ \text{Ord}(\beta) \ \alpha < \beta \ x < n \ y < n \ 0 < n$   
**shows**  $n ** \alpha ++ x < n ** \beta ++ y$   
 $\langle \text{proof} \rangle$   
**end**

## 5 Renaming of variables in internalized formulas

**theory** *Renaming*  
**imports**  
*Nat\_Miscellanea*  
*ZF-Constructible-Trans.Formula*  
**begin**

**lemma** *app\_nm* :  $n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies x \in \text{nat} \implies f'x \in \text{nat}$   
 $\langle \text{proof} \rangle$

### 5.1 Renaming of free variables

**definition**  
*union\_fun* ::  $[i,i,i,i] \Rightarrow i$  **where**  
*union\_fun*( $f,g,m,p$ ) ==  $\lambda j \in m \cup p . \text{if } j \in m \text{ then } f'j \text{ else } g'j$

**lemma** *union\_fun\_type*:  
**assumes**  $f \in m \rightarrow n$   
 $g \in p \rightarrow q$   
**shows**  $\text{union\_fun}(f,g,m,p) \in m \cup p \rightarrow n \cup q$   
 $\langle \text{proof} \rangle$

**lemma** *union\_fun\_action* :  
**assumes**  
 $env \in \text{list}(M)$   
 $env' \in \text{list}(M)$   
 $\text{length}(env) = m \cup p$   
 $\forall i . i \in m \longrightarrow \text{nth}(f'i,env') = \text{nth}(i,env)$   
 $\forall j . j \in p \longrightarrow \text{nth}(g'j,env') = \text{nth}(j,env)$   
**shows**  $\forall i . i \in m \cup p \longrightarrow$   
 $\text{nth}(i,env) = \text{nth}(\text{union\_fun}(f,g,m,p)'i,env')$   
 $\langle \text{proof} \rangle$

**lemma** *id\_fn\_type* :  
**assumes**  $n \in \text{nat}$

**shows**  $id(n) \in n \rightarrow n$   
*<proof>*

**lemma** *id\_fn\_action*:

**assumes**  $n \in nat \ env \in list(M)$   
**shows**  $\bigwedge j . j < n \implies nth(j, env) = nth(id(n) 'j, env)$   
*<proof>*

**definition**

$sum :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $sum(f, g, m, n, p) == \lambda j \in m\#+p . \text{if } j < m \text{ then } f^j \text{ else } (g^{(j\#-m)})\#+n$

**lemma** *sum\_inl*:

**assumes**  $m \in nat \ n \in nat$   
 $f \in m \rightarrow n \ x \in m$   
**shows**  $sum(f, g, m, n, p) 'x = f 'x$   
*<proof>*

**lemma** *sum\_inr*:

**assumes**  $m \in nat \ n \in nat \ p \in nat$   
 $g \in p \rightarrow q \ m \leq x \ x < m\#+p$   
**shows**  $sum(f, g, m, n, p) 'x = g^{(x\#-m)}\#+n$   
*<proof>*

**lemma** *sum\_action* :

**assumes**  $m \in nat \ n \in nat \ p \in nat \ q \in nat$   
 $f \in m \rightarrow n \ g \in p \rightarrow q$   
 $env \in list(M)$   
 $env' \in list(M)$   
 $env1 \in list(M)$   
 $env2 \in list(M)$   
 $length(env) = m$   
 $length(env1) = p$   
 $length(env') = n$   
 $\bigwedge i . i < m \implies nth(i, env) = nth(f^i, env')$   
 $\bigwedge j . j < p \implies nth(j, env1) = nth(g^j, env2)$   
**shows**  $\forall i . i < m\#+p \longrightarrow$   
 $nth(i, env @ env1) = nth(sum(f, g, m, n, p) 'i, env' @ env2)$   
*<proof>*

**lemma** *sum\_type* :

**assumes**  $m \in nat \ n \in nat \ p \in nat \ q \in nat$   
 $f \in m \rightarrow n \ g \in p \rightarrow q$   
**shows**  $sum(f, g, m, n, p) \in (m\#+p) \rightarrow (n\#+q)$   
*<proof>*

**lemma** *sum\_type\_id* :



**assumes**

$f \in \text{length}(env) \rightarrow \text{length}(env')$   
 $env \in \text{list}(M)$   
 $env' \in \text{list}(M)$   
 $env1 \in \text{list}(M)$

**shows**

$sum(f, id(\text{length}(env1)), \text{length}(env), \text{length}(env'), \text{length}(env1)) \in$   
 $(\text{length}(env) \# + \text{length}(env1)) \rightarrow (\text{length}(env') \# + \text{length}(env1))$   
(proof)

**lemma** *sum\_type\_id\_aux2* :

**assumes**

$f \in m \rightarrow n$   
 $m \in \text{nat } n \in \text{nat}$   
 $env1 \in \text{list}(M)$

**shows**

$sum(f, id(\text{length}(env1)), m, n, \text{length}(env1)) \in$   
 $(m \# + \text{length}(env1)) \rightarrow (n \# + \text{length}(env1))$   
(proof)

**lemma** *sum\_action\_id* :

**assumes**

$env \in \text{list}(M)$   
 $env' \in \text{list}(M)$   
 $f \in \text{length}(env) \rightarrow \text{length}(env')$   
 $env1 \in \text{list}(M)$   
 $\bigwedge i . i < \text{length}(env) \implies nth(i, env) = nth(f^i, env')$

**shows**  $\bigwedge i . i < \text{length}(env) \# + \text{length}(env1) \implies$

$nth(i, env @ env1) = nth(sum(f, id(\text{length}(env1)), \text{length}(env), \text{length}(env'), \text{length}(env1)))^i, env' @ env1)$   
(proof)

**lemma** *sum\_action\_id\_aux* :

**assumes**

$f \in m \rightarrow n$   
 $env \in \text{list}(M)$   
 $env' \in \text{list}(M)$   
 $env1 \in \text{list}(M)$   
 $\text{length}(env) = m$   
 $\text{length}(env') = n$   
 $\text{length}(env1) = p$   
 $\bigwedge i . i < m \implies nth(i, env) = nth(f^i, env')$

**shows**  $\bigwedge i . i < m \# + \text{length}(env1) \implies$

$nth(i, env @ env1) = nth(sum(f, id(\text{length}(env1)), m, n, \text{length}(env1)))^i, env' @ env1)$   
(proof)

**definition**

$sum\_id :: [i, i] \Rightarrow i$  **where**  
 $sum\_id(m, f) == sum(\lambda x \in 1..x, f, 1, 1, m)$

**lemma** *sum\_id0* :  $m \in \text{nat} \implies \text{sum\_id}(m, f)'0 = 0$   
 ⟨proof⟩

**lemma** *sum\_idS* :  $p \in \text{nat} \implies q \in \text{nat} \implies f \in p \rightarrow q \implies x \in p \implies \text{sum\_id}(p, f)'(\text{succ}(x))$   
 $= \text{succ}(f'x)$   
 ⟨proof⟩

**lemma** *sum\_id\_tc\_aux* :  
 $p \in \text{nat} \implies q \in \text{nat} \implies f \in p \rightarrow q \implies \text{sum\_id}(p, f) \in 1\# + p \rightarrow 1\# + q$   
 ⟨proof⟩

**lemma** *sum\_id\_tc* :  
 $n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{sum\_id}(n, f) \in \text{succ}(n) \rightarrow \text{succ}(m)$   
 ⟨proof⟩

## 5.2 Renaming of formulas

**consts** *ren* ::  $i \Rightarrow i$

**primrec**

$\text{ren}(\text{Member}(x, y)) =$   
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Member}(f'x, f'y))$

$\text{ren}(\text{Equal}(x, y)) =$   
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Equal}(f'x, f'y))$

$\text{ren}(\text{Nand}(p, q)) =$   
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Nand}(\text{ren}(p)'n'm'f, \text{ren}(q)'n'm'f))$

$\text{ren}(\text{Forall}(p)) =$   
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Forall}(\text{ren}(p)'(\text{succ}(n))'(\text{succ}(m))'(\text{sum\_id}(n, f))))$

**lemma** *arity\_meml* :  $l \in \text{nat} \implies \text{Member}(x, y) \in \text{formula} \implies \text{arity}(\text{Member}(x, y))$   
 $\leq l \implies x \in l$   
 ⟨proof⟩

**lemma** *arity\_memr* :  $l \in \text{nat} \implies \text{Member}(x, y) \in \text{formula} \implies \text{arity}(\text{Member}(x, y))$   
 $\leq l \implies y \in l$   
 ⟨proof⟩

**lemma** *arity\_eql* :  $l \in \text{nat} \implies \text{Equal}(x, y) \in \text{formula} \implies \text{arity}(\text{Equal}(x, y)) \leq l$   
 $\implies x \in l$   
 ⟨proof⟩

**lemma** *arity\_eqr* :  $l \in \text{nat} \implies \text{Equal}(x, y) \in \text{formula} \implies \text{arity}(\text{Equal}(x, y)) \leq l$   
 $\implies y \in l$   
 ⟨proof⟩

**lemma** *nand\_ar1* :  $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(p) \leq \text{arity}(\text{Nand}(p, q))$   
 ⟨proof⟩

**lemma** *nand\_ar2* :  $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(q) \leq \text{arity}(\text{Nand}(p, q))$   
 ⟨proof⟩

**lemma** *nand\_ar1D* :  $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(\text{Nand}(p,q)) \leq n \implies \text{arity}(p) \leq n$

*<proof>*

**lemma** *nand\_ar2D* :  $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(\text{Nand}(p,q)) \leq n \implies \text{arity}(q) \leq n$

*<proof>*

**lemma** *ren\_tc* :  $p \in \text{formula} \implies$

$(\bigwedge n m f . n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{ren}(p) 'n 'm 'f \in \text{formula})$

*<proof>*

**lemma** *arity\_ren* :

**fixes**  $p$

**assumes**  $p \in \text{formula}$

**shows**  $\bigwedge n m f . n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{arity}(p) \leq n \implies \text{arity}(\text{ren}(p) 'n 'm 'f) \leq m$

*<proof>*

**lemma** *arity\_forallE* :  $p \in \text{formula} \implies m \in \text{nat} \implies \text{arity}(\text{Forall}(p)) \leq m \implies \text{arity}(p) \leq \text{succ}(m)$

*<proof>*

**lemma** *env\_coincidence\_sum\_id* :

**assumes**  $m \in \text{nat} \ n \in \text{nat}$

$\varrho \in \text{list}(A) \ \varrho' \in \text{list}(A)$

$f \in n \rightarrow m$

$\bigwedge i . i < n \implies \text{nth}(i, \varrho) = \text{nth}(f 'i, \varrho')$

$a \in A \ j \in \text{succ}(n)$

**shows**  $\text{nth}(j, \text{Cons}(a, \varrho)) = \text{nth}(\text{sum\_id}(n, f) 'j, \text{Cons}(a, \varrho'))$

*<proof>*

**lemma** *sats\_iff\_sats\_ren* :

**fixes**  $\varphi$

**assumes**  $\varphi \in \text{formula}$

**shows**  $\llbracket n \in \text{nat} ; m \in \text{nat} ; \varrho \in \text{list}(M) ; \varrho' \in \text{list}(M) ; f \in n \rightarrow m ;$

$\text{arity}(\varphi) \leq n ;$

$\bigwedge i . i < n \implies \text{nth}(i, \varrho) = \text{nth}(f 'i, \varrho') \rrbracket \implies$

$\text{sats}(M, \varphi, \varrho) \longleftrightarrow \text{sats}(M, \text{ren}(\varphi) 'n 'm 'f, \varrho')$

*<proof>*

**end**

**theory** *Renaming\_Auto*

**imports**

*Renaming*

*ZF.Finite*

*ZF.List*

**keywords**

```

    rename :: thy_decl % ML
and
    simple_rename :: thy_decl % ML
and
    src
and
    tgt
abbrevs
    simple_rename =

begin

lemmas app_fun = apply_iff[THEN iffD1]
lemmas nat_succI = nat_succ_iff[THEN iffD2]

⟨ML⟩
end

```

## 6 Aids to internalize formulas

```

theory Internalizations
imports
    ZF-Constructible-Trans.Formula
    ZF-Constructible-Trans.L_axioms
    ZF-Constructible-Trans.DPow_absolute
begin

```

We found it useful to have slightly different versions of some results in ZF-Constructible:

```

lemma nth_closed :
assumes  $0 \in A$  env  $\in$  list(A)
shows  $\text{nth}(n, \text{env}) \in A$ 
⟨proof⟩

```

```

lemmas FOL_sats_iff = sats_Nand_iff sats_Forall_iff sats_Neg_iff sats_And_iff
    sats_Or_iff sats_Implies_iff sats_Iff_iff sats_Exists_iff

```

```

lemma nth_ConsI: [ $\text{nth}(n, l) = x$ ;  $n \in \text{nat}$ ]  $\implies$   $\text{nth}(\text{succ}(n), \text{Cons}(a, l)) = x$ 
⟨proof⟩

```

```

lemmas nth_rules = nth_0 nth_ConsI nat_0I nat_succI
lemmas sep_rules = nth_0 nth_ConsI FOL_iff_sats function_iff_sats
    fun_plus_iff_sats successor_iff_sats
    omega_iff_sats FOL_sats_iff Replace_iff_sats

```

Also a different compilation of lemmas (term`sep_rules`) used in formula synthesis

```

lemmas fm_defs = omega_fm_def limit_ordinal_fm_def empty_fm_def typed_function_fm_def

```

$pair\_fm\_def$   $upair\_fm\_def$   $domain\_fm\_def$   $function\_fm\_def$   $succ\_fm\_def$   
 $cons\_fm\_def$   $fun\_apply\_fm\_def$   $image\_fm\_def$   $big\_union\_fm\_def$   
 $union\_fm\_def$   $relation\_fm\_def$   $composition\_fm\_def$   $field\_fm\_def$   $ordinal\_fm\_def$   
 $range\_fm\_def$   $transset\_fm\_def$   $subset\_fm\_def$   $Replace\_fm\_def$

**end**

## 7 Some enhanced theorems on recursion

**theory** *Recursion\_Thms* **imports** *ZF.Epsilon* **begin**

We prove results concerning definitions by well-founded recursion on some relation  $R$  and its transitive closure  $R^*$

**lemma** *fld\_restrict\_eq* :  $a \in A \implies (r \cap A * A)^{-\{a\}} = (r^{-\{a\}} \cap A)$   
 $\langle proof \rangle$

**lemma** *fld\_restrict\_mono* :  $relation(r) \implies A \subseteq B \implies r \cap A * A \subseteq r \cap B * B$   
 $\langle proof \rangle$

**lemma** *fld\_restrict\_dom* :  
**assumes**  $relation(r)$   $domain(r) \subseteq A$   $range(r) \subseteq A$   
**shows**  $r \cap A * A = r$   
 $\langle proof \rangle$

**definition** *tr\_down* ::  $[i, i] \Rightarrow i$   
**where**  $tr\_down(r, a) = (r^+)^{-\{a\}}$

**lemma** *tr\_downD* :  $x \in tr\_down(r, a) \implies \langle x, a \rangle \in r^+$   
 $\langle proof \rangle$

**lemma** *pred\_down* :  $relation(r) \implies r^{-\{a\}} \subseteq tr\_down(r, a)$   
 $\langle proof \rangle$

**lemma** *tr\_down\_mono* :  $relation(r) \implies x \in r^{-\{a\}} \implies tr\_down(r, x) \subseteq tr\_down(r, a)$   
 $\langle proof \rangle$

**lemma** *rest\_eq* :  
**assumes**  $relation(r)$  **and**  $r^{-\{a\}} \subseteq B$  **and**  $a \in B$   
**shows**  $r^{-\{a\}} = (r \cap B * B)^{-\{a\}}$   
 $\langle proof \rangle$

**lemma** *wfrec\_restr\_eq* :  $r' = r \cap A * A \implies wfrec[A](r, a, H) = wfrec(r', a, H)$   
 $\langle proof \rangle$

**lemma** *wfrec\_restr* :  
**assumes**  $rr: relation(r)$  **and**  $wfr: wf(r)$

**shows**  $a \in A \implies \text{tr\_down}(r,a) \subseteq A \implies \text{wfrec}(r,a,H) = \text{wfrec}[A](r,a,H)$   
 ⟨proof⟩

**lemmas**  $\text{wfrec\_tr\_down} = \text{wfrec\_restr}[OF \dots \text{subset\_refl}]$

**lemma**  $\text{wfrec\_trans\_restr} : \text{relation}(r) \implies \text{wf}(r) \implies \text{trans}(r) \implies r^{-\{a\}} \subseteq A \implies$   
 $a \in A \implies$   
 $\text{wfrec}(r, a, H) = \text{wfrec}[A](r, a, H)$   
 ⟨proof⟩

**lemma**  $\text{field\_trancl} : \text{field}(r^+) = \text{field}(r)$   
 ⟨proof⟩

**definition**

$Rrel :: [i \Rightarrow i \Rightarrow o, i] \Rightarrow i$  **where**  
 $Rrel(R,A) \equiv \{z \in A \times A. \exists x y. z = \langle x, y \rangle \wedge R(x,y)\}$

**lemma**  $RrelI : x \in A \implies y \in A \implies R(x,y) \implies \langle x,y \rangle \in Rrel(R,A)$   
 ⟨proof⟩

**lemma**  $Rrel\_mem: Rrel(mem,x) = Memrel(x)$   
 ⟨proof⟩

**lemma**  $\text{relation\_Rrel}: \text{relation}(Rrel(R,d))$   
 ⟨proof⟩

**lemma**  $\text{field\_Rrel}: \text{field}(Rrel(R,d)) \subseteq d$   
 ⟨proof⟩

**lemma**  $Rrel\_mono : A \subseteq B \implies Rrel(R,A) \subseteq Rrel(R,B)$   
 ⟨proof⟩

**lemma**  $Rrel\_restr\_eq : Rrel(R,A) \cap B \times B = Rrel(R,A \cap B)$   
 ⟨proof⟩

**lemma**  $\text{field\_Memrel} : \text{field}(Memrel(A)) \subseteq A$   
 ⟨proof⟩

**lemma**  $\text{restrict\_trancl\_Rrel}:$   
**assumes**  $R(w,y)$   
**shows**  $\text{restrict}(f, Rrel(R,d)^{-\{y\}}) 'w$   
 $= \text{restrict}(f, (Rrel(R,d)^+)^{-\{y\}}) 'w$   
 ⟨proof⟩

**lemma**  $\text{restrict\_trans\_eq}:$

**assumes**  $w \in y$   
**shows**  $restrict(f, Memrel(eclose(\{x\}))-\{\!-\{y\}\})'w$   
 $= restrict(f, (Memrel(eclose(\{x\})) \hat{+})-\{\!-\{y\}\})'w$   
 $\langle proof \rangle$

**lemma** *wf\_eq\_trancl*:  
**assumes**  $\bigwedge f y . H(y, restrict(f, R-\{\!-\{y\}\})) = H(y, restrict(f, R \hat{+}-\{\!-\{y\}\}))$   
**shows**  $wfrec(R, x, H) = wfrec(R \hat{+}, x, H)$  (**is**  $wfrec(?r, -, -) = wfrec(?r', -, -)$ )  
 $\langle proof \rangle$

**end**

## 8 Relativization of the cumulative hierarchy

**theory** *Relative\_Univ*  
**imports**  
*ZF-Constructible-Trans.Rank*  
*ZF-Constructible-Trans.Datatype\_absolute*  
*Internalizations*  
*Recursion\_Thms*

**begin**

**lemma** (**in** *M\_trivial*) *powerset\_abs'* [*simp*]:  
**assumes**  
 $M(x) M(y)$   
**shows**  
 $powerset(M, x, y) \longleftrightarrow y = \{a \in Pow(x) . M(a)\}$   
 $\langle proof \rangle$

**lemma** *Collect\_inter\_Transset*:  
**assumes**  
 $Transset(M) b \in M$   
**shows**  
 $\{x \in b . P(x)\} = \{x \in b . P(x)\} \cap M$   
 $\langle proof \rangle$

**lemma** (**in** *M\_trivial*) *family\_union\_closed*:  $\llbracket strong\_replacement(M, \lambda x y. y = f(x));$   
 $M(A); \forall x \in A. M(f(x)) \rrbracket$   
 $\implies M(\bigcup x \in A. f(x))$   
 $\langle proof \rangle$

**definition**

*HVfrom*  $:: [i \Rightarrow o, i, i, i] \Rightarrow i$  **where**  
 $HVfrom(M, A, x, f) \equiv A \cup (\bigcup y \in x. \{a \in Pow(f'y). M(a)\})$

**definition**

$is\_powapply :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_powapply(M, f, y, z) \equiv M(z) \wedge (\exists fy[M]. fun\_apply(M, f, y, fy) \wedge powerset(M, fy, z))$

**lemma**  $is\_powapply\_closed$ :  $is\_powapply(M, f, y, z) \Longrightarrow M(z)$   
 $\langle proof \rangle$

**definition**

$is\_HVfrom :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_HVfrom(M, A, x, f, h) \equiv \exists U[M]. \exists R[M]. union(M, A, U, h)$   
 $\wedge big\_union(M, R, U) \wedge is\_Replace(M, x, is\_powapply(M, f), R)$

**definition**

$is\_Vfrom :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_Vfrom(M, A, i, V) == is\_transrec(M, is\_HVfrom(M, A), i, V)$

**definition**

$is\_Vset :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_Vset(M, i, V) == \exists z[M]. empty(M, z) \wedge is\_Vfrom(M, z, i, V)$

## 8.1 Formula synthesis

**schematic\_goal**  $sats\_is\_powapply\_fm\_auto$ :

**assumes**

$f \in nat \ y \in nat \ z \in nat \ env \in list(A) \ 0 \in A$

**shows**

$is\_powapply(\#\#A, nth(f, env), nth(y, env), nth(z, env))$

$\longleftrightarrow sats(A, ?ipa\_fm(f, y, z), env)$

$\langle proof \rangle$

**schematic\_goal**  $is\_powapply\_iff\_sats$ :

**assumes**

$nth(f, env) = ff \ nth(y, env) = yy \ nth(z, env) = zz \ 0 \in A$

$f \in nat \ y \in nat \ z \in nat \ env \in list(A)$

**shows**

$is\_powapply(\#\#A, ff, yy, zz) \longleftrightarrow sats(A, ?is\_one\_fm(a, r), env)$

$\langle proof \rangle$

**lemma**  $trivial\_fm$ :

**assumes**

$A \neq 0 \ env \in list(A)$

**shows**

$(\exists P. P \in A) \longleftrightarrow sats(A, Equal(0, 0), env)$

$\langle proof \rangle$



**definition**

$Hrank :: [i, i] \Rightarrow i$  **where**  
 $Hrank(x, f) = (\bigcup y \in x. succ(f'y))$

**definition**

$PHrank :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $PHrank(M, f, y, z) == M(z) \wedge (\exists fy[M]. fun\_apply(M, f, y, fy) \wedge successor(M, fy, z))$

**definition**

$is\_Hrank :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_Hrank(M, x, f, hc) == (\exists R[M]. big\_union(M, R, hc) \wedge is\_Replace(M, x, PHrank(M, f), R))$

**definition**

$rrank :: i \Rightarrow i$  **where**  
 $rrank(a) == Memrel(eclose(\{a\})) \wedge +$

**lemma** (in  $M\_eclose$ )  $wf\_rrank : M(x) \Longrightarrow wf(rrank(x))$   
 $\langle proof \rangle$

**lemma** (in  $M\_eclose$ )  $trans\_rrank : M(x) \Longrightarrow trans(rrank(x))$   
 $\langle proof \rangle$

**lemma** (in  $M\_eclose$ )  $relation\_rrank : M(x) \Longrightarrow relation(rrank(x))$   
 $\langle proof \rangle$

**lemma** (in  $M\_eclose$ )  $rrank\_in\_M : M(x) \Longrightarrow M(rrank(x))$   
 $\langle proof \rangle$

## 8.2 Absoluteness results

**locale**  $M\_eclose\_pow = M\_eclose +$   
**assumes**

$power\_ax : power\_ax(M)$  **and**  
 $powapply\_replacement : M(f) \Longrightarrow strong\_replacement(M, is\_powapply(M, f))$  **and**  
 $HVfrom\_replacement : \llbracket M(i) ; M(A) \rrbracket \Longrightarrow$   
 $transrec\_replacement(M, is\_HVfrom(M, A), i)$  **and**  
 $PHrank\_replacement : M(f) \Longrightarrow strong\_replacement(M, PHrank(M, f))$  **and**  
 $is\_Hrank\_replacement : M(x) \Longrightarrow wfrec\_replacement(M, is\_Hrank(M), rrank(x))$

**begin**

**lemma**  $is\_powapply\_abs: \llbracket M(f); M(y) \rrbracket \Longrightarrow is\_powapply(M, f, y, z) \longleftrightarrow M(z) \wedge z = \{x \in Pow(f'y). M(x)\}$   
 $\langle proof \rangle$

**lemma**  $\llbracket M(A); M(x); M(f); M(h) \rrbracket \Longrightarrow$

$is\_HVfrom(M, A, x, f, h) \longleftrightarrow$   
 $(\exists R[M]. h = A \cup \bigcup R \wedge is\_Replace(M, x, \lambda x y. y = \{x \in Pow(f'x) . M(x)\},$   
 $R))$   
 <proof>

**lemma** *Replace\_is\_powapply*:

**assumes**

$M(R) M(A) M(f)$

**shows**

$is\_Replace(M, A, is\_powapply(M, f), R) \longleftrightarrow R = Replace(A, is\_powapply(M, f))$

<proof>

**lemma** *powapply\_closed*:

$\llbracket M(y) ; M(f) \rrbracket \implies M(\{x \in Pow(f'y) . M(x)\})$

<proof>

**lemma** *RepFun\_is\_powapply*:

**assumes**

$M(R) M(A) M(f)$

**shows**

$Replace(A, is\_powapply(M, f)) = RepFun(A, \lambda y. \{x \in Pow(f'y) . M(x)\})$

<proof>

**lemma** *RepFun\_powapply\_closed*:

**assumes**

$M(f) M(A)$

**shows**

$M(Replace(A, is\_powapply(M, f)))$

<proof>

**lemma** *Union\_powapply\_closed*:

**assumes**

$M(x) M(f)$

**shows**

$M(\bigcup y \in x. \{a \in Pow(f'y) . M(a)\})$

<proof>

**lemma** *relation2\_HVfrom*:  $M(A) \implies relation2(M, is\_HVfrom(M, A), HVfrom(M, A))$

<proof>

**lemma** *HVfrom\_closed* :

$M(A) \implies \forall x[M]. \forall g[M]. function(g) \longrightarrow M(HVfrom(M, A, x, g))$

<proof>

**lemma** *transrec\_HVfrom*:

**assumes**  $M(A)$

**shows**  $Ord(i) \implies \{x \in Vfrom(A, i) . M(x)\} = transrec(i, HVfrom(M, A))$

<proof>

**lemma** *Vfrom\_abs*:  $\llbracket M(A); M(i); M(V); Ord(i) \rrbracket \implies is\_Vfrom(M, A, i, V) \longleftrightarrow V = \{x \in Vfrom(A, i). M(x)\}$   
 ⟨proof⟩

**lemma** *Vfrom\_closed*:  $\llbracket M(A); M(i); Ord(i) \rrbracket \implies M(\{x \in Vfrom(A, i). M(x)\})$   
 ⟨proof⟩

**lemma** *Vset\_abs*:  $\llbracket M(i); M(V); Ord(i) \rrbracket \implies is\_Vset(M, i, V) \longleftrightarrow V = \{x \in Vset(i). M(x)\}$   
 ⟨proof⟩

**lemma** *Vset\_closed*:  $\llbracket M(i); Ord(i) \rrbracket \implies M(\{x \in Vset(i). M(x)\})$   
 ⟨proof⟩

**lemma** *Hrank\_trancl*:  $Hrank(y, restrict(f, Memrel(eclose(\{x\}) - \{\{y\}\})) = Hrank(y, restrict(f, (Memrel(eclose(\{x\})) \wedge +) - \{\{y\}\}))$   
 ⟨proof⟩

**lemma** *rank\_trancl*:  $rank(x) = wfrec(rrank(x), x, Hrank)$   
 ⟨proof⟩

**lemma** *univ\_PHrank* :  $\llbracket M(z); M(f) \rrbracket \implies univalent(M, z, PHrank(M, f))$   
 ⟨proof⟩

**lemma** *PHrank\_abs* :  
 $\llbracket M(f); M(y) \rrbracket \implies PHrank(M, f, y, z) \longleftrightarrow M(z) \wedge z = succ(f'y)$   
 ⟨proof⟩

**lemma** *PHrank\_closed* :  $PHrank(M, f, y, z) \implies M(z)$   
 ⟨proof⟩

**lemma** *Replace\_PHrank\_abs*:  
**assumes**  
 $M(z) M(f) M(hr)$   
**shows**  
 $is\_Replace(M, z, PHrank(M, f), hr) \longleftrightarrow hr = Replace(z, PHrank(M, f))$   
 ⟨proof⟩

**lemma** *RepFun\_PHrank*:  
**assumes**  
 $M(R) M(A) M(f)$   
**shows**  
 $Replace(A, PHrank(M, f)) = RepFun(A, \lambda y. succ(f'y))$   
 ⟨proof⟩

**lemma** *RepFun\_PHrank\_closed* :  
**assumes**  
 $M(f) M(A)$

**shows**  
 $M(\text{Replace}(A, \text{PHrank}(M, f)))$   
 $\langle \text{proof} \rangle$

**lemma** *relation2\_Hrank* :  
 $\text{relation2}(M, \text{is\_Hrank}(M), \text{Hrank})$   
 $\langle \text{proof} \rangle$

**lemma** *Union\_PHrank\_closed*:  
**assumes**  
 $M(x) \ M(f)$   
**shows**  
 $M(\bigcup y \in x. \text{succ}(f'y))$   
 $\langle \text{proof} \rangle$

**lemma** *is\_Hrank\_closed* :  
 $M(A) \implies \forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(\text{Hrank}(x, g))$   
 $\langle \text{proof} \rangle$

**lemma** *rank\_closed*:  $M(a) \implies M(\text{rank}(a))$   
 $\langle \text{proof} \rangle$

**lemma** *M\_into\_Vset*:  
**assumes**  $M(a)$   
**shows**  $\exists i[M]. \exists V[M]. \text{ordinal}(M, i) \wedge \text{is\_Vfrom}(M, 0, i, V) \wedge a \in V$   
 $\langle \text{proof} \rangle$

**end**  
**end**

## 9 Automatic synthesis of formulas

**theory** *Synthetic\_Definition*  
**imports** *ZF-Constructible-Trans.Formula*  
**keywords**  
 $\text{synthesize} :: \text{thy\_decl} \% \text{ML}$   
**and**  
 $\text{from\_schematic}$   
**begin**  
 $\langle \text{ML} \rangle$

The `synthetic_def` function extracts definitions from schematic goals. A new definition is added to the context.

**end**

## 10 Interface between set models and Constructibility

This theory provides an interface between Paulson's relativization results and set models of ZFC. In particular, it is used to prove that the locale *forcing\_data* is a sublocale of all relevant locales in ZF-Constructibility (*M\_trivial*, *M\_basic*, *M\_eclose*, etc).

**theory** *Interface*

**imports** *ZF-Constructible-Trans.Relative*

*Renaming*

*Renaming\_Auto*

*Relative\_Univ*

*Synthetic\_Definition*

**begin**

**syntax**

*\_sats* :: [*i*, *i*, *i*]  $\Rightarrow$  *o* ((-, -  $\models$  -) [36,36,36] 60)

**translations**

(*M*, *env*  $\models$   $\varphi$ )  $\Leftrightarrow$  *CONST* *sats*(*M*,  $\varphi$ , *env*)

**abbreviation**

*dec10* :: *i* (10) **where** *10* == *succ*(9)

**abbreviation**

*dec11* :: *i* (11) **where** *11* == *succ*(10)

**abbreviation**

*dec12* :: *i* (12) **where** *12* == *succ*(11)

**abbreviation**

*dec13* :: *i* (13) **where** *13* == *succ*(12)

**abbreviation**

*dec14* :: *i* (14) **where** *14* == *succ*(13)

**definition**

*infinity\_ax* :: (*i*  $\Rightarrow$  *o*)  $\Rightarrow$  *o* **where**

*infinity\_ax*(*M*) ==

( $\exists I[M]. (\exists z[M]. \text{empty}(M, z) \wedge z \in I) \wedge (\forall y[M]. y \in I \longrightarrow (\exists sy[M]. \text{successor}(M, y, sy) \wedge sy \in I))$ )

**definition**

*choice\_ax* :: (*i*  $\Rightarrow$  *o*)  $\Rightarrow$  *o* **where**

*choice\_ax*(*M*) ==  $\forall x[M]. \exists a[M]. \exists f[M]. \text{ordinal}(M, a) \wedge \text{surjection}(M, a, x, f)$

**context** *M\_basic* **begin**

**lemma** *choice\_ax\_abs* :  
 $choice\_ax(M) \longleftrightarrow (\forall x[M]. \exists a[M]. \exists f[M]. Ord(a) \wedge f \in surj(a,x))$   
 ⟨proof⟩

**end**

**definition**

*wellfounded\_trancl* ::  $[i=>o,i,i,i] => o$  **where**  
 $wellfounded\_trancl(M,Z,r,p) ==$   
 $\exists w[M]. \exists wx[M]. \exists rp[M].$   
 $w \in Z \ \& \ pair(M,w,p,wx) \ \& \ tran\_closure(M,r,rp) \ \& \ wx \in rp$

**lemma** *empty\_intf* :  
 $infinity\_ax(M) \implies$   
 $(\exists z[M]. empty(M,z))$   
 ⟨proof⟩

**lemma** *Transset\_intf* :  
 $Transset(M) \implies y \in x \implies x \in M \implies y \in M$   
 ⟨proof⟩

**locale** *M\_ZF\_trans* =

**fixes** *M*

**assumes**

*upair\_ax*:  $upair\_ax(\#\#M)$

**and** *Union\_ax*:  $Union\_ax(\#\#M)$

**and** *power\_ax*:  $power\_ax(\#\#M)$

**and** *extensionality*:  $extensionality(\#\#M)$

**and** *foundation\_ax*:  $foundation\_ax(\#\#M)$

**and** *infinity\_ax*:  $infinity\_ax(\#\#M)$

**and** *separation\_ax*:  $\varphi \in formula \implies env \in list(M) \implies arity(\varphi) \leq 1 \ \#\+$   
 $length(env) \implies$

$separation(\#\#M, \lambda x. sats(M, \varphi, [x] @ env))$

**and** *replacement\_ax*:  $\varphi \in formula \implies env \in list(M) \implies arity(\varphi) \leq 2 \ \#\+$   
 $length(env) \implies$

$strong\_replacement(\#\#M, \lambda x y. sats(M, \varphi, [x,y] @ env))$

**and** *trans\_M*:  $Transset(M)$

**begin**

**lemma** *TranssetI* :

$(\bigwedge y x. y \in x \implies x \in M \implies y \in M) \implies Transset(M)$

⟨proof⟩

**lemma** *zero\_in\_M*:  $0 \in M$

⟨proof⟩

## 10.1 Interface with $M\_trivial$

**lemma**  $mtrans$  :  
   $M.trans(\#\#M)$   
   $\langle proof \rangle$

**lemma**  $mtriv$  :  
   $M.trivial(\#\#M)$   
   $\langle proof \rangle$

**end**

**sublocale**  $M.ZF\_trans \subseteq M.trivial \#\#M$   
   $\langle proof \rangle$

**context**  $M.ZF\_trans$   
**begin**

## 10.2 Interface with $M\_basic$

**schematic\_goal**  $inter\_fm\_auto$ :  
**assumes**  
   $nth(i,env) = x \quad nth(j,env) = B$   
   $i \in nat \quad j \in nat \quad env \in list(A)$   
**shows**  
   $(\forall y \in A . y \in B \longrightarrow x \in y) \longleftrightarrow sats(A, ?ifm(i,j), env)$   
   $\langle proof \rangle$

**lemma**  $inter\_sep\_intf$  :  
  **assumes**  
     $A \in M$   
  **shows**  
     $separation(\#\#M, \lambda x . \forall y \in M . y \in A \longrightarrow x \in y)$   
   $\langle proof \rangle$

**schematic\_goal**  $diff\_fm\_auto$ :  
**assumes**  
   $nth(i,env) = x \quad nth(j,env) = B$   
   $i \in nat \quad j \in nat \quad env \in list(A)$   
**shows**  
   $x \notin B \longleftrightarrow sats(A, ?dfm(i,j), env)$   
   $\langle proof \rangle$

**lemma**  $diff\_sep\_intf$  :  
  **assumes**  
     $B \in M$   
  **shows**

$separation(\#\#M, \lambda x . x \notin B)$   
 $\langle proof \rangle$

**schematic\_goal** *cprod\_fm\_auto*:

**assumes**

$nth(i, env) = z \quad nth(j, env) = B \quad nth(h, env) = C$   
 $i \in nat \quad j \in nat \quad h \in nat \quad env \in list(A)$

**shows**

$(\exists x \in A. x \in B \wedge (\exists y \in A. y \in C \wedge pair(\#\#A, x, y, z))) \longleftrightarrow sats(A, ?cpfm(i, j, h), env)$   
 $\langle proof \rangle$

**lemma** *cartprod\_sep\_intf* :

**assumes**

$A \in M$   
**and**  
 $B \in M$

**shows**

$separation(\#\#M, \lambda z. \exists x \in M. x \in A \wedge (\exists y \in M. y \in B \wedge pair(\#\#M, x, y, z)))$   
 $\langle proof \rangle$

**schematic\_goal** *im\_fm\_auto*:

**assumes**

$nth(i, env) = y \quad nth(j, env) = r \quad nth(h, env) = B$   
 $i \in nat \quad j \in nat \quad h \in nat \quad env \in list(A)$

**shows**

$(\exists p \in A. p \in r \ \& \ (\exists x \in A. x \in B \ \& \ pair(\#\#A, x, y, p))) \longleftrightarrow sats(A, ?imfm(i, j, h), env)$   
 $\langle proof \rangle$

**lemma** *image\_sep\_intf* :

**assumes**

$A \in M$   
**and**  
 $r \in M$

**shows**

$separation(\#\#M, \lambda y. \exists p \in M. p \in r \ \& \ (\exists x \in M. x \in A \ \& \ pair(\#\#M, x, y, p)))$   
 $\langle proof \rangle$

**schematic\_goal** *con\_fm\_auto*:

**assumes**

$nth(i, env) = z \quad nth(j, env) = R$   
 $i \in nat \quad j \in nat \quad env \in list(A)$

**shows**

$(\exists p \in A. p \in R \ \& \ (\exists x \in A. \exists y \in A. pair(\#\#A, x, y, p) \ \& \ pair(\#\#A, y, x, z)))$   
 $\longleftrightarrow sats(A, ?cfm(i, j), env)$   
 $\langle proof \rangle$

**lemma** *converse\_sep\_intf* :



**assumes**

$R \in M$

**shows**

$separation(\#\#M, \lambda z. \exists p \in M. p \in R \ \& \ (\exists x \in M. \exists y \in M. pair(\#\#M, x, y, p) \ \& \ pair(\#\#M, y, x, z)))$   
 $\langle proof \rangle$

**schematic\_goal** *rest\_fm\_auto*:

**assumes**

$nth(i, env) = z \ nth(j, env) = C$   
 $i \in nat \ j \in nat \ env \in list(A)$

**shows**

$(\exists x \in A. x \in C \ \& \ (\exists y \in A. pair(\#\#A, x, y, z)))$   
 $\longleftrightarrow sats(A, ?rfm(i, j), env)$   
 $\langle proof \rangle$

**lemma** *restrict\_sep\_intf* :

**assumes**

$A \in M$

**shows**

$separation(\#\#M, \lambda z. \exists x \in M. x \in A \ \& \ (\exists y \in M. pair(\#\#M, x, y, z)))$   
 $\langle proof \rangle$

**schematic\_goal** *comp\_fm\_auto*:

**assumes**

$nth(i, env) = xz \ nth(j, env) = S \ nth(h, env) = R$   
 $i \in nat \ j \in nat \ h \in nat \ env \in list(A)$

**shows**

$(\exists x \in A. \exists y \in A. \exists z \in A. \exists xy \in A. \exists yz \in A.$   
 $pair(\#\#A, x, z, xz) \ \& \ pair(\#\#A, x, y, xy) \ \& \ pair(\#\#A, y, z, yz) \ \& \ xy \in S$   
 $\ \& \ yz \in R)$   
 $\longleftrightarrow sats(A, ?cfm(i, j, h), env)$   
 $\langle proof \rangle$

**lemma** *comp\_sep\_intf* :

**assumes**

$R \in M$

**and**

$S \in M$

**shows**

$separation(\#\#M, \lambda xz. \exists x \in M. \exists y \in M. \exists z \in M. \exists xy \in M. \exists yz \in M.$   
 $pair(\#\#M, x, z, xz) \ \& \ pair(\#\#M, x, y, xy) \ \& \ pair(\#\#M, y, z, yz) \ \& \ xy \in S$   
 $\ \& \ yz \in R)$   
 $\langle proof \rangle$

**schematic\_goal** *pred\_fm\_auto*:

**assumes**

$nth(i, env) = y \quad nth(j, env) = R \quad nth(h, env) = X$   
 $i \in nat \quad j \in nat \quad h \in nat \quad env \in list(A)$

**shows**

$(\exists p \in A. p \in R \ \& \ pair(\#\#A, y, X, p)) \longleftrightarrow sats(A, ?pfm(i, j, h), env)$   
*<proof>*

**lemma** *pred\_sep\_intf*:

**assumes**

$R \in M$

**and**

$X \in M$

**shows**

$separation(\#\#M, \lambda y. \exists p \in M. p \in R \ \& \ pair(\#\#M, y, X, p))$   
*<proof>*

**schematic\_goal** *mem\_fm\_auto*:

**assumes**

$nth(i, env) = z \quad i \in nat \quad env \in list(A)$

**shows**

$(\exists x \in A. \exists y \in A. pair(\#\#A, x, y, z) \ \& \ x \in y) \longleftrightarrow sats(A, ?mfm(i), env)$   
*<proof>*

**lemma** *memrel\_sep\_intf*:

$separation(\#\#M, \lambda z. \exists x \in M. \exists y \in M. pair(\#\#M, x, y, z) \ \& \ x \in y)$   
*<proof>*

**schematic\_goal** *recfun\_fm\_auto*:

**assumes**

$nth(i1, env) = x \quad nth(i2, env) = r \quad nth(i3, env) = f \quad nth(i4, env) = g \quad nth(i5, env) = a$

$nth(i6, env) = b \quad i1 \in nat \quad i2 \in nat \quad i3 \in nat \quad i4 \in nat \quad i5 \in nat \quad i6 \in nat \quad env \in list(A)$

**shows**

$(\exists xa \in A. \exists xb \in A. pair(\#\#A, x, a, xa) \ \& \ xa \in r \ \& \ pair(\#\#A, x, b, xb) \ \& \ xb \in r \ \& \ (\exists fx \in A. \exists gx \in A. fun\_apply(\#\#A, f, x, fx) \ \& \ fun\_apply(\#\#A, g, x, gx) \ \& \ fx \neq gx))$   
 $\longleftrightarrow sats(A, ?rffm(i1, i2, i3, i4, i5, i6), env)$   
*<proof>*

**lemma** *is\_recfun\_sep\_intf* :

**assumes**

$r \in M \quad f \in M \quad g \in M \quad a \in M \quad b \in M$

**shows**

$separation(\#\#M, \lambda x. \exists xa \in M. \exists xb \in M. pair(\#\#M, x, a, xa) \ \& \ xa \in r \ \& \ pair(\#\#M, x, b, xb) \ \& \ xb \in r \ \& \$

$(\exists fx \in M. \exists gx \in M. \text{fun\_apply}(\#\#M, f, x, fx) \ \& \ \text{fun\_apply}(\#\#M, g, x, gx))$   
 &  
 $fx \neq gx)$   
 <proof>

**schematic\_goal** *funsp\_fm\_auto*:

**assumes**

$nth(i, env) = p \ nth(j, env) = z \ nth(h, env) = n$   
 $i \in nat \ j \in nat \ h \in nat \ env \in list(A)$

**shows**

$(\exists f \in A. \exists b \in A. \exists nb \in A. \exists cnbf \in A. \text{pair}(\#\#A, f, b, p) \ \& \ \text{pair}(\#\#A, n, b, nb) \ \& \ \text{is\_cons}(\#\#A, nb, f, cnbf) \ \& \ \text{upair}(\#\#A, cnbf, cnbf, z)) \longleftrightarrow \text{sats}(A, ?\text{fsfm}(i, j, h), env)$   
 <proof>

**lemma** *funspace\_succ\_rep\_intf* :

**assumes**

$n \in M$

**shows**

$\text{strong\_replacement}(\#\#M,$   
 $\lambda p \ z. \exists f \in M. \exists b \in M. \exists nb \in M. \exists cnbf \in M.$   
 $\text{pair}(\#\#M, f, b, p) \ \& \ \text{pair}(\#\#M, n, b, nb) \ \& \ \text{is\_cons}(\#\#M, nb, f, cnbf)$

&

$\text{upair}(\#\#M, cnbf, cnbf, z)$

<proof>

**lemmas** *M\_basic\_sep\_instances* =

$\text{inter\_sep\_intf} \ \text{diff\_sep\_intf} \ \text{cartprod\_sep\_intf}$   
 $\text{image\_sep\_intf} \ \text{converse\_sep\_intf} \ \text{restrict\_sep\_intf}$   
 $\text{pred\_sep\_intf} \ \text{memrel\_sep\_intf} \ \text{comp\_sep\_intf} \ \text{is\_recfun\_sep\_intf}$

**lemma** *mbasic* :  $M\_basic(\#\#M)$

<proof>

**end**

**sublocale**  $M\_ZF\_trans \subseteq M\_basic \ \#\#M$

<proof>

### 10.3 Interface with *M\_trancl*

**schematic\_goal** *rtran\_closure\_mem\_auto*:

**assumes**

$nth(i,env) = p \quad nth(j,env) = r \quad nth(k,env) = B$

$i \in nat \quad j \in nat \quad k \in nat \quad env \in list(A)$

**shows**

$rtran\_closure\_mem(\#\#A,B,r,p) \longleftrightarrow sats(A,?rcfm(i,j,k),env)$   
*<proof>*

**lemma** (in *M-ZF\_trans*) *rtrancl\_separation\_intf*:

**assumes**

$r \in M$

**and**

$A \in M$

**shows**

$separation(\#\#M, rtran\_closure\_mem(\#\#M,A,r))$   
*<proof>*

**schematic\_goal** *rtran\_closure\_fm\_auto*:

**assumes**

$nth(i,env) = r \quad nth(j,env) = rp$

$i \in nat \quad j \in nat \quad env \in list(A)$

**shows**

$rtran\_closure(\#\#A,r,rp) \longleftrightarrow sats(A,?rtc(i,j),env)$   
*<proof>*

**schematic\_goal** *tran\_closure\_fm\_auto*:

**assumes**

$nth(i,env) = r \quad nth(j,env) = rp$

$i \in nat \quad j \in nat \quad env \in list(A)$

**shows**

$tran\_closure(\#\#A,r,rp) \longleftrightarrow sats(A,?tc(i,j),env)$   
*<proof>*

*<ML>*

**lemma** *tran\_closure\_fm\_type[TC]* :

$\llbracket x \in nat ; y \in nat \rrbracket \implies tran\_closure\_fm(x,y) \in formula$   
*<proof>*

**lemma** *tran\_closure\_iff\_sats*:

**assumes**

$nth(i,env) = r \quad nth(j,env) = rp$

$i \in nat \quad j \in nat \quad env \in list(A)$

**shows**

$tran\_closure(\#\#A,r,rp) \longleftrightarrow sats(A,tran\_closure\_fm(i,j),env)$   
*<proof>*

**lemma** *sats\_tran\_closure\_fm* :

**assumes**

$i \in \text{nat } j \in \text{nat } \text{env} \in \text{list}(A)$

**shows**

$\text{sats}(A, \text{tran\_closure\_fm}(i, j), \text{env}) \longleftrightarrow \text{tran\_closure}(\#\#A, \text{nth}(i, \text{env}), \text{nth}(j, \text{env}))$   
 $\langle \text{proof} \rangle$

**schematic\_goal** *wellfounded\_trancl\_fm\_auto*:

**assumes**

$\text{nth}(i, \text{env}) = p \ \text{nth}(j, \text{env}) = r \ \text{nth}(k, \text{env}) = B$

$i \in \text{nat } j \in \text{nat } k \in \text{nat } \text{env} \in \text{list}(A)$

**shows**

$\text{wellfounded\_trancl}(\#\#A, B, r, p) \longleftrightarrow \text{sats}(A, ?\text{wtf}(i, j, k), \text{env})$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *M\_ZF\_trans*) *wftrancl\_separation\_intf*:

**assumes**

$r \in M$

**and**

$Z \in M$

**shows**

$\text{separation}(\#\#M, \text{wellfounded\_trancl}(\#\#M, Z, r))$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *M\_ZF\_trans*) *finite\_sep\_intf*:

$\text{separation}(\#\#M, \lambda x. x \in \text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *M\_ZF\_trans*) *nat\_subset\_I'*:

$\llbracket I \in M ; 0 \in I ; \bigwedge x. x \in I \implies \text{succ}(x) \in I \rrbracket \implies \text{nat} \subseteq I$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *M\_ZF\_trans*) *nat\_subset\_I*:

$\exists I \in M. \text{nat} \subseteq I$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *M\_ZF\_trans*) *nat\_in\_M*:

$\text{nat} \in M$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *M\_ZF\_trans*) *mtrancl* :  $M\_trancl(\#\#M)$

$\langle \text{proof} \rangle$

**sublocale**  $M\_ZF\_trans \subseteq M\_trancl \ \#\#M$

*<proof>*

## 10.4 Interface with $M\_eclose$

**lemma** *repl\_sats*:

**assumes**

$$sat:\bigwedge x z. x \in M \implies z \in M \implies sats(M, \varphi, Cons(x, Cons(z, env))) \longleftrightarrow P(x, z)$$

**shows**

$$\begin{aligned} &strong\_replacement(\#\#M, \lambda x z. sats(M, \varphi, Cons(x, Cons(z, env)))) \longleftrightarrow \\ &strong\_replacement(\#\#M, P) \end{aligned}$$

*<proof>*

**lemma** (**in**  $M\_ZF\_trans$ ) *nat\_trans\_M* :

$n \in M$  **if**  $n \in nat$  **for**  $n$

*<proof>*

**lemma** (**in**  $M\_ZF\_trans$ ) *list\_repl1\_intf*:

**assumes**

$A \in M$

**shows**

$$iterates\_replacement(\#\#M, is\_list\_functor(\#\#M, A), 0)$$

*<proof>*

**lemma** (**in**  $M\_ZF\_trans$ ) *iterates\_repl\_intf* :

**assumes**

$v \in M$  **and**

$is\_fm: is\_F\_fm \in formula$  **and**

$arty: arity(is\_F\_fm) = 2$  **and**

$$\begin{aligned} &satsf: \bigwedge a b env'. \llbracket a \in M ; b \in M ; env' \in list(M) \rrbracket \\ &\implies is\_F(a, b) \longleftrightarrow sats(M, is\_F\_fm, [b, a]@env') \end{aligned}$$

**shows**

$$iterates\_replacement(\#\#M, is\_F, v)$$

*<proof>*

**lemma** (**in**  $M\_ZF\_trans$ ) *formula\_repl1\_intf* :

$$iterates\_replacement(\#\#M, is\_formula\_functor(\#\#M), 0)$$

*<proof>*

**lemma** (**in**  $M\_ZF\_trans$ ) *nth\_repl\_intf*:

**assumes**

$l \in M$

**shows**

$$iterates\_replacement(\#\#M, \lambda l' t. is\_tl(\#\#M, l', t), l)$$

*<proof>*

**lemma** (in *M\_ZF\_trans*) *eclose\_repl1\_intf*:

**assumes**

$A \in M$

**shows**

$\text{iterates\_replacement}(\#\#M, \text{big\_union}(\#\#M), A)$

*<proof>*

**lemma** (in *M\_ZF\_trans*) *list\_repl2\_intf*:

**assumes**

$A \in M$

**shows**

$\text{strong\_replacement}(\#\#M, \lambda n y. n \in \text{nat} \ \& \ \text{is\_iterates}(\#\#M, \text{is\_list\_functor}(\#\#M, A), 0, n, y))$

*<proof>*

**lemma** (in *M\_ZF\_trans*) *formula\_repl2\_intf*:

$\text{strong\_replacement}(\#\#M, \lambda n y. n \in \text{nat} \ \& \ \text{is\_iterates}(\#\#M, \text{is\_formula\_functor}(\#\#M), 0, n, y))$

*<proof>*

**lemma** (in *M\_ZF\_trans*) *eclose\_repl2\_intf*:

**assumes**

$A \in M$

**shows**

$\text{strong\_replacement}(\#\#M, \lambda n y. n \in \text{nat} \ \& \ \text{is\_iterates}(\#\#M, \text{big\_union}(\#\#M), A, n, y))$

*<proof>*

**lemma** (in *M\_ZF\_trans*) *mdatatypeypes* :  $M\_datatypeypes(\#\#M)$

*<proof>*

**sublocale**  $M\_ZF\_trans \subseteq M\_datatypeypes \ \#\#M$

*<proof>*

**lemma** (in *M\_ZF\_trans*) *meclose* :  $M\_eclose(\#\#M)$

*<proof>*

**sublocale**  $M\_ZF\_trans \subseteq M\_eclose \ \#\#M$

*<proof>*

**definition**

$\text{powerset\_fm} :: [i, i] \Rightarrow i$  **where**

$powerset\_fm(A,z) == Forall(Iff(Member(0,succ(z)),subset\_fm(0,succ(A))))$

**lemma** *powerset\_type* [TC]:

$[| x \in nat; y \in nat |] ==> powerset\_fm(x,y) \in formula$   
 <proof>

**definition**

$is\_powapply\_fm :: [i,i,i] \Rightarrow i$  **where**  
 $is\_powapply\_fm(f,y,z) ==$   
 $Exists(And(fun\_apply\_fm(succ(f), succ(y), 0),$   
 $Forall(Iff(Member(0, succ(succ(z))),$   
 $Forall(Implies(Member(0, 1), Member(0, 2))))))$

**lemma** *is\_powapply\_type* [TC] :

$[| f \in nat ; y \in nat ; z \in nat |] \Longrightarrow is\_powapply\_fm(f,y,z) \in formula$   
 <proof>

**lemma** *sats\_is\_powapply\_fm* :

**assumes**

$f \in nat \ y \in nat \ z \in nat \ env \in list(A) \ 0 \in A$

**shows**

$is\_powapply(\#\#A, nth(f, env), nth(y, env), nth(z, env))$

$\longleftrightarrow sats(A, is\_powapply\_fm(f,y,z), env)$

<proof>

**lemma** (in *M-ZF-trans*) *powapply\_repl* :

**assumes**

$f \in M$

**shows**

$strong\_replacement(\#\#M, is\_powapply(\#\#M, f))$

<proof>

**definition**

$PHrank\_fm :: [i,i,i] \Rightarrow i$  **where**  
 $PHrank\_fm(f,y,z) == Exists(And(fun\_apply\_fm(succ(f),succ(y),0)$   
 $,succ\_fm(0,succ(z))))$

**lemma** *PHrank\_type* [TC]:

$[| x \in nat; y \in nat; z \in nat |] ==> PHrank\_fm(x,y,z) \in formula$   
 <proof>

**lemma** (in *M-ZF-trans*) *sats\_PHrank\_fm* [simp]:

$[| x \in nat; y \in nat; z \in nat; env \in list(M) |]$



$\implies \text{sats}(M, \text{PHrank\_fm}(x, y, z), \text{env}) \longleftrightarrow$   
 $\text{PHrank}(\#\#M, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$   
 <proof>

**lemma** (in *M\_ZF\_trans*) *phrank\_repl* :  
**assumes**  
 $f \in M$   
**shows**  
 $\text{strong\_replacement}(\#\#M, \text{PHrank}(\#\#M, f))$   
 <proof>

**definition**  
 $\text{is\_Hrank\_fm} :: [i, i, i] \Rightarrow i$  **where**  
 $\text{is\_Hrank\_fm}(x, f, hc) == \text{Exists}(\text{And}(\text{big\_union\_fm}(0, \text{succ}(hc)),$   
 $\text{Replace\_fm}(\text{succ}(x), \text{PHrank\_fm}(\text{succ}(\text{succ}(\text{succ}(f))), 0, 1), 0)))$

**lemma** *is\_Hrank\_type* [TC]:  
 $[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |] \implies \text{is\_Hrank\_fm}(x, y, z) \in \text{formula}$   
 <proof>

**lemma** (in *M\_ZF\_trans*) *sats\_is\_Hrank\_fm* [simp]:  
 $[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(M) |]$   
 $\implies \text{sats}(M, \text{is\_Hrank\_fm}(x, y, z), \text{env}) \longleftrightarrow$   
 $\text{is\_Hrank}(\#\#M, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$   
 <proof>

**lemma** (in *M\_ZF\_trans*) *wfrec\_rank* :  
**assumes**  
 $X \in M$   
**shows**  
 $\text{wfrec\_replacement}(\#\#M, \text{is\_Hrank}(\#\#M), \text{rrank}(X))$   
 <proof>

**definition**  
 $\text{is\_HVfrom\_fm} :: [i, i, i, i] \Rightarrow i$  **where**  
 $\text{is\_HVfrom\_fm}(A, x, f, h) == \text{Exists}(\text{Exists}(\text{And}(\text{union\_fm}(A \#+ 2, 1, h \#+ 2),$   
 $\text{And}(\text{big\_union\_fm}(0, 1),$   
 $\text{Replace\_fm}(x \#+ 2, \text{is\_powapply\_fm}(f \#+ 4, 0, 1), 0))))$

**lemma** *is\_HVfrom\_type* [TC]:  
 $[| A \in \text{nat}; x \in \text{nat}; f \in \text{nat}; h \in \text{nat} |] \implies \text{is\_HVfrom\_fm}(A, x, f, h) \in \text{formula}$   
 <proof>

**lemma** *sats.is\_HVfrom\_fm* :

$[| a \in \text{nat}; x \in \text{nat}; f \in \text{nat}; h \in \text{nat}; \text{env} \in \text{list}(A); 0 \in A |]$   
 $\implies \text{sats}(A, \text{is\_HVfrom\_fm}(a, x, f, h), \text{env}) \longleftrightarrow$   
 $\text{is\_HVfrom}(\#\#A, \text{nth}(a, \text{env}), \text{nth}(x, \text{env}), \text{nth}(f, \text{env}), \text{nth}(h, \text{env}))$   
*<proof>*

**lemma** *is\_HVfrom\_iff\_sats*:

**assumes**

$\text{nth}(a, \text{env}) = aa \ \text{nth}(x, \text{env}) = xx \ \text{nth}(f, \text{env}) = ff \ \text{nth}(h, \text{env}) = hh$   
 $a \in \text{nat} \ x \in \text{nat} \ f \in \text{nat} \ h \in \text{nat} \ \text{env} \in \text{list}(A) \ 0 \in A$

**shows**

$\text{is\_HVfrom}(\#\#A, aa, xx, ff, hh) \longleftrightarrow \text{sats}(A, \text{is\_HVfrom\_fm}(a, x, f, h), \text{env})$   
*<proof>*

**schematic\_goal** *sats.is\_Vset\_fm\_auto*:

**assumes**

$i \in \text{nat} \ v \in \text{nat} \ \text{env} \in \text{list}(A) \ 0 \in A$   
 $i < \text{length}(\text{env}) \ v < \text{length}(\text{env})$

**shows**

$\text{is\_Vset}(\#\#A, \text{nth}(i, \text{env}), \text{nth}(v, \text{env}))$   
 $\longleftrightarrow \text{sats}(A, \text{?ivs\_fm}(i, v), \text{env})$   
*<proof>*

**schematic\_goal** *is\_Vset\_iff\_sats*:

**assumes**

$\text{nth}(i, \text{env}) = ii \ \text{nth}(v, \text{env}) = vv$   
 $i \in \text{nat} \ v \in \text{nat} \ \text{env} \in \text{list}(A) \ 0 \in A$   
 $i < \text{length}(\text{env}) \ v < \text{length}(\text{env})$

**shows**

$\text{is\_Vset}(\#\#A, ii, vv) \longleftrightarrow \text{sats}(A, \text{?ivs\_fm}(i, v), \text{env})$   
*<proof>*

**lemma** (**in** *M\_ZF\_trans*) *memrel\_eclose\_sing* :

$a \in M \implies \exists sa \in M. \exists esa \in M. \exists mesa \in M.$

$\text{upair}(\#\#M, a, a, sa) \ \& \ \text{is\_eclose}(\#\#M, sa, esa) \ \& \ \text{membership}(\#\#M, esa, mesa)$

*<proof>*

**lemma** (**in** *M\_ZF\_trans*) *trans\_repl\_HVFrom* :

**assumes**

$A \in M \ i \in M$

**shows**

$\text{transrec\_replacement}(\#\#M, \text{is\_HVfrom}(\#\#M, A), i)$   
*<proof>*

**lemma** (in *M-ZF-trans*) *meclouse\_pow* : *M\_eclouse\_pow*(##*M*)  
 ⟨*proof*⟩

**sublocale** *M-ZF-trans* ⊆ *M\_eclouse\_pow* ##*M*  
 ⟨*proof*⟩

**lemma** (in *M-ZF-trans*) *repl\_gen* :  
**assumes**  
*f\_abs*:  $\bigwedge x y. \llbracket x \in M; y \in M \rrbracket \implies is\_F(\#\#M, x, y) \longleftrightarrow y = f(x)$   
**and**  
*f\_sats*:  $\bigwedge x y. \llbracket x \in M; y \in M \rrbracket \implies$   
 $sats(M, f\_fm, Cons(x, Cons(y, env))) \longleftrightarrow is\_F(\#\#M, x, y)$   
**and**  
*f\_form*: *f\_fm* ∈ *formula*  
**and**  
*f\_art*: *arity*(*f\_fm*) = 2  
**and**  
*env* ∈ *list*(*M*)  
**shows**  
*strong\_replacement*(##*M*,  $\lambda x y. y = f(x)$ )  
 ⟨*proof*⟩

**lemma** (in *M-ZF-trans*) *sep\_in\_M* :  
**assumes**  
 $\varphi \in \text{formula } env \in \text{list}(M)$   
 $arity(\varphi) \leq 1 \ \#\ + \ length(env) \ A \in M$  **and**  
*satsQ*:  $\bigwedge x. x \in M \implies sats(M, \varphi, [x]@env) \longleftrightarrow Q(x)$   
**shows**  
 $\{y \in A . Q(y)\} \in M$   
 ⟨*proof*⟩

**end**

## 11 Transitive set models of ZF

This theory defines the locale *M-ZF-trans* for transitive models of ZF, and the associated *forcing\_data* that adds a forcing notion

**theory** *Forcing-Data*  
**imports**  
*Forcing-Notions*  
*ZF-Constructible-Trans.Relative*  
*ZF-Constructible-Trans.Formula*  
*Interface*

**begin**

**lemma** *Transset\_M* :

$Transset(M) \implies y \in x \implies x \in M \implies y \in M$   
 ⟨proof⟩

**locale**  $M\_ZF =$

**fixes**  $M$

**assumes**

$upair\_ax: \quad upair\_ax(\#\#M)$

**and**  $Union\_ax: \quad Union\_ax(\#\#M)$

**and**  $power\_ax: \quad power\_ax(\#\#M)$

**and**  $extensionality: \quad extensionality(\#\#M)$

**and**  $foundation\_ax: \quad foundation\_ax(\#\#M)$

**and**  $infinity\_ax: \quad infinity\_ax(\#\#M)$

**and**  $separation\_ax: \quad \varphi \in formula \implies env \in list(M) \implies arity(\varphi) \leq 1 \#+$   
 $length(env) \implies$

$separation(\#\#M, \lambda x. sats(M, \varphi, [x] @ env))$

**and**  $replacement\_ax: \quad \varphi \in formula \implies env \in list(M) \implies arity(\varphi) \leq 2 \#+$   
 $length(env) \implies$

$strong\_replacement(\#\#M, \lambda x y. sats(M, \varphi, [x, y] @ env))$

**locale**  $M\_ctm = M\_ZF +$

**fixes**  $enum$

**assumes**  $M\_countable: \quad enum \in bij(nat, M)$

**and**  $trans\_M: \quad Transset(M)$

**begin**

**interpretation**  $intf: M\_ZF\_trans M$

⟨proof⟩

**lemmas**  $transitivity = Transset\_intf[OF trans\_M]$

**lemma**  $zero\_in\_M: \quad 0 \in M$

⟨proof⟩

**lemma**  $tuples\_in\_M: \quad A \in M \implies B \in M \implies \langle A, B \rangle \in M$

⟨proof⟩

**lemma**  $nat\_in\_M : \quad nat \in M$

⟨proof⟩

**lemma**  $n\_in\_M : \quad n \in nat \implies n \in M$

⟨proof⟩

**lemma**  $mtriv: \quad M\_trivial(\#\#M)$

⟨proof⟩

**lemma**  $mtrans: \quad M\_trans(\#\#M)$

⟨proof⟩

**lemma** *mbasic*:  $M\_basic(\#\#M)$   
 ⟨*proof*⟩

**lemma** *mtrancl*:  $M\_trancl(\#\#M)$   
 ⟨*proof*⟩

**lemma** *mdatatypes*:  $M\_datatypes(\#\#M)$   
 ⟨*proof*⟩

**lemma** *meclose*:  $M\_eclose(\#\#M)$   
 ⟨*proof*⟩

**lemma** *meclose\_pow*:  $M\_eclose\_pow(\#\#M)$   
 ⟨*proof*⟩

**end**

**sublocale**  $M\_ctm \subseteq M\_trivial \#\#M$   
 ⟨*proof*⟩

**sublocale**  $M\_ctm \subseteq M\_trans \#\#M$   
 ⟨*proof*⟩

**sublocale**  $M\_ctm \subseteq M\_basic \#\#M$   
 ⟨*proof*⟩

**sublocale**  $M\_ctm \subseteq M\_trancl \#\#M$   
 ⟨*proof*⟩

**sublocale**  $M\_ctm \subseteq M\_datatypes \#\#M$   
 ⟨*proof*⟩

**sublocale**  $M\_ctm \subseteq M\_eclose \#\#M$   
 ⟨*proof*⟩

**sublocale**  $M\_ctm \subseteq M\_eclose\_pow \#\#M$   
 ⟨*proof*⟩

**context**  $M\_ctm$   
**begin**

### 11.1 *Collects in M*

**lemma** *Collect\_in\_M\_0p* :

**assumes**

$Q_{fm} : Q_{fm} \in \text{formula}$  **and**

$Q_{arty} : \text{arity}(Q_{fm}) = 1$  **and**

$Q_{sats} : \bigwedge x. x \in M \implies \text{sats}(M, Q_{fm}, [x]) \longleftrightarrow \text{is\_}Q(\#\#M, x)$  **and**

$Q_{abs} : \bigwedge x. x \in M \implies \text{is\_}Q(\#\#M, x) \longleftrightarrow Q(x)$  **and**

$A \in M$

**shows**

$\text{Collect}(A, Q) \in M$

$\langle \text{proof} \rangle$

**lemma**  $\text{Collect\_in\_}M\_2p$  :

**assumes**

$Q_{fm} : Q_{fm} \in \text{formula}$  **and**

$Q_{arty} : \text{arity}(Q_{fm}) = 3$  **and**

$\text{params\_}M : y \in M \ z \in M$  **and**

$Q_{sats} : \bigwedge x. x \in M \implies \text{sats}(M, Q_{fm}, [x, y, z]) \longleftrightarrow \text{is\_}Q(\#\#M, x, y, z)$  **and**

$Q_{abs} : \bigwedge x. x \in M \implies \text{is\_}Q(\#\#M, x, y, z) \longleftrightarrow Q(x, y, z)$  **and**

$A \in M$

**shows**

$\text{Collect}(A, \lambda x. Q(x, y, z)) \in M$

$\langle \text{proof} \rangle$

**lemma**  $\text{Collect\_in\_}M\_4p$  :

**assumes**

$Q_{fm} : Q_{fm} \in \text{formula}$  **and**

$Q_{arty} : \text{arity}(Q_{fm}) = 5$  **and**

$\text{params\_}M : a1 \in M \ a2 \in M \ a3 \in M \ a4 \in M$  **and**

$Q_{sats} : \bigwedge x. x \in M \implies \text{sats}(M, Q_{fm}, [x, a1, a2, a3, a4]) \longleftrightarrow \text{is\_}Q(\#\#M, x, a1, a2, a3, a4)$

**and**

$Q_{abs} : \bigwedge x. x \in M \implies \text{is\_}Q(\#\#M, x, a1, a2, a3, a4) \longleftrightarrow Q(x, a1, a2, a3, a4)$  **and**

$A \in M$

**shows**

$\text{Collect}(A, \lambda x. Q(x, a1, a2, a3, a4)) \in M$

$\langle \text{proof} \rangle$

**lemma**  $\text{Repl\_in\_}M$  :

**assumes**

$f_{fm} : f_{fm} \in \text{formula}$  **and**

$f_{ar} : \text{arity}(f_{fm}) \leq 2 \ \#\# \text{length}(env)$  **and**

$f_{sats} : \bigwedge x \ y. x \in M \implies y \in M \implies \text{sats}(M, f_{fm}, [x, y] @ env) \longleftrightarrow \text{is\_}f(x, y)$  **and**

$f_{abs} : \bigwedge x \ y. x \in M \implies y \in M \implies \text{is\_}f(x, y) \longleftrightarrow y = f(x)$  **and**

$f_{closed} : \bigwedge x. x \in A \implies f(x) \in M$  **and**

$A \in M \ env \in \text{list}(M)$

**shows**  $\{f(x). x \in A\} \in M$

$\langle \text{proof} \rangle$

**end**

## 11.2 A forcing locale and generic filters

**locale** *forcing\_data* = *forcing\_notion* + *M\_ctm* +

**assumes** *P\_in\_M*:  $P \in M$

**and** *leq\_in\_M*:  $leq \in M$

**begin**

**lemma** *transD* :  $Transset(M) \implies y \in M \implies y \subseteq M$

*<proof>*

**lemmas** *P\_sub\_M* = *transD*[*OF trans\_M P\_in\_M*]

**definition**

*M\_generic* ::  $i \implies o$  **where**

$M\_generic(G) == filter(G) \wedge (\forall D \in M. D \subseteq P \wedge dense(D) \implies D \cap G \neq 0)$

**lemma** *M\_genericD* [*dest*]:  $M\_generic(G) \implies x \in G \implies x \in P$

*<proof>*

**lemma** *M\_generic\_leqD* [*dest*]:  $M\_generic(G) \implies p \in G \implies q \in P \implies p \preceq q \implies q \in G$

*<proof>*

**lemma** *M\_generic\_compatD* [*dest*]:  $M\_generic(G) \implies p \in G \implies r \in G \implies \exists q \in G. q \preceq p \wedge q \preceq r$

*<proof>*

**lemma** *M\_generic\_denseD* [*dest*]:  $M\_generic(G) \implies dense(D) \implies D \subseteq P \implies D \in M \implies \exists q \in G. q \in D$

*<proof>*

**lemma** *G\_nonempty*:  $M\_generic(G) \implies G \neq 0$

*<proof>*

**lemma** *one\_in\_G* :

**assumes**  $M\_generic(G)$

**shows**  $one \in G$

*<proof>*

**lemma** *G\_subset\_M*:  $M\_generic(G) \implies G \subseteq M$

*<proof>*

**declare** *iff\_trans* [*trans*]

**lemma** *generic\_filter\_existence*:

$p \in P \implies \exists G. p \in G \wedge M\_generic(G)$

*<proof>*

**lemma** *compat\_in\_abs* :

**assumes**

$A \in M \ r \in M \ p \in M \ q \in M$

**shows**

$is\_compat\_in(\#\#M, A, r, p, q) \longleftrightarrow compat\_in(A, r, p, q)$

*<proof>*

**definition**

$compat\_in\_fm :: [i, i, i, i] \Rightarrow i$  **where**

$compat\_in\_fm(A, r, p, q) \equiv$

$Exists(And(Member(0, succ(A)), Exists(And(pair\_fm(1, p\#\#2, 0),$   
 $And(Member(0, r\#\#2),$

$Exists(And(pair\_fm(2, q\#\#3, 0), Member(0, r\#\#3))))))))$

**lemma** *compat\_in\_fm\_type*[TC] :

$\llbracket A \in nat; r \in nat; p \in nat; q \in nat \rrbracket \Longrightarrow compat\_in\_fm(A, r, p, q) \in formula$

*<proof>*

**lemma** *sats\_compat\_in\_fm*:

**assumes**

$A \in nat \ r \in nat \ p \in nat \ q \in nat \ env \in list(M)$

**shows**

$sats(M, compat\_in\_fm(A, r, p, q), env) \longleftrightarrow$

$is\_compat\_in(\#\#M, nth(A, env), nth(r, env), nth(p, env), nth(q, env))$

*<proof>*

**end**

**end**

## 12 The ZFC axioms, internalized

**theory** *Internal\_ZFC\_Axioms*

**imports**

*Forcing\_Data*

**begin**

**schematic\_goal** *ZF\_union\_auto*:

$Union\_ax(\#\#A) \longleftrightarrow (A, [] \models ?zfunion)$

*<proof>*

*<ML>*

**lemma** *ZF\_union\_fm\_ty*[TC] :

$ZF\_union\_fm \in formula$



*<proof>*

**lemma** *sats\_ZF\_union\_fm* :  
 $(A, [] \models \text{ZF\_union\_fm}) \longleftrightarrow \text{Union\_ax}(\#\#A)$   
*<proof>*

**lemma** *Union\_ax\_iff\_sats* :  
 $\text{Union\_ax}(\#\#A) \longleftrightarrow (A, [] \models \text{ZF\_union\_fm})$   
*<proof>*

**schematic\_goal** *ZF\_power\_auto*:  
 $\text{power\_ax}(\#\#A) \longleftrightarrow (A, [] \models ?zfpow)$   
*<proof>*

*<ML>*

**lemma** *ZF\_power\_fm\_ty[TC]* :  
*ZF\_power\_fm* ∈ formula  
*<proof>*

**lemma** *sats\_ZF\_power\_fm* :  
 $(A, [] \models \text{ZF\_power\_fm}) \longleftrightarrow \text{power\_ax}(\#\#A)$   
*<proof>*

**lemma** *power\_ax\_iff\_sats* :  
 $\text{power\_ax}(\#\#A) \longleftrightarrow (A, [] \models \text{ZF\_power\_fm})$   
*<proof>*

**schematic\_goal** *ZF\_pairing\_auto*:  
 $\text{upair\_ax}(\#\#A) \longleftrightarrow (A, [] \models ?zfpair)$   
*<proof>*

*<ML>*

**lemma** *ZF\_pairing\_fm\_ty[TC]* :  
*ZF\_pairing\_fm* ∈ formula  
*<proof>*

**lemma** *sats\_ZF\_pairing\_fm* :  
 $(A, [] \models \text{ZF\_pairing\_fm}) \longleftrightarrow \text{upair\_ax}(\#\#A)$   
*<proof>*

**lemma** *upair\_ax\_iff\_sats* :  
 $\text{upair\_ax}(\#\#A) \longleftrightarrow (A, [] \models \text{ZF\_pairing\_fm})$   
*<proof>*

**schematic\_goal** *ZF\_foundation\_auto*:  
 $\text{foundation\_ax}(\#\#A) \longleftrightarrow (A, [] \models ?zfpow)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma**  $ZF\_foundation\_fm\_ty[TC]$  :  
ZF\\_foundation\\_fm  $\in$  formula  
 $\langle \text{proof} \rangle$

**lemma**  $sats\_ZF\_foundation\_fm$  :  
 $(A, [] \models ZF\_foundation\_fm) \longleftrightarrow foundation\_ax(\#\#A)$   
 $\langle \text{proof} \rangle$

**lemma**  $foundation\_ax\_iff\_sats$  :  
 $foundation\_ax(\#\#A) \longleftrightarrow (A, [] \models ZF\_foundation\_fm)$   
 $\langle \text{proof} \rangle$

**schematic\\_goal**  $ZF\_extensionality\_auto$ :  
 $extensionality(\#\#A) \longleftrightarrow (A, [] \models ?zfpow)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma**  $ZF\_extensionality\_fm\_ty[TC]$  :  
ZF\\_extensionality\\_fm  $\in$  formula  
 $\langle \text{proof} \rangle$

**lemma**  $sats\_ZF\_extensionality\_fm$  :  
 $(A, [] \models ZF\_extensionality\_fm) \longleftrightarrow extensionality(\#\#A)$   
 $\langle \text{proof} \rangle$

**lemma**  $extensionality\_iff\_sats$  :  
 $extensionality(\#\#A) \longleftrightarrow (A, [] \models ZF\_extensionality\_fm)$   
 $\langle \text{proof} \rangle$

**schematic\\_goal**  $ZF\_infinity\_auto$ :  
 $infinity\_ax(\#\#A) \longleftrightarrow (A, [] \models (? \varphi(i,j,h)))$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma**  $ZF\_infinity\_fm\_ty[TC]$  :  
ZF\\_infinity\\_fm  $\in$  formula  
 $\langle \text{proof} \rangle$

**lemma**  $sats\_ZF\_infinity\_fm$  :  
 $(A, [] \models ZF\_infinity\_fm) \longleftrightarrow infinity\_ax(\#\#A)$   
 $\langle \text{proof} \rangle$

**lemma**  $infinity\_iff\_sats$  :

$infinity\_ax(\#\#A) \longleftrightarrow (A, [] \models ZF\_infinity\_fm)$   
 ⟨proof⟩

**schematic\_goal**  $ZF\_choice\_auto$ :

$choice\_ax(\#\#A) \longleftrightarrow (A, [] \models (? \varphi(i,j,h)))$   
 ⟨proof⟩

⟨ML⟩

**lemma**  $ZF\_choice\_fm\_ty[TC]$  :

$ZF\_choice\_fm \in formula$   
 ⟨proof⟩

**lemma**  $sats\_ZF\_choice\_fm$  :

$(A, [] \models ZF\_choice\_fm) \longleftrightarrow choice\_ax(\#\#A)$   
 ⟨proof⟩

**lemma**  $choice\_iff\_sats$  :

$choice\_ax(\#\#A) \longleftrightarrow (A, [] \models ZF\_choice\_fm)$   
 ⟨proof⟩

**syntax**

$\_choice :: i (AC)$

**translations**

$AC \rightarrow CONST\ ZF\_choice\_fm$

**lemmas**  $ZFC\_fm\_defs = ZF\_extensionality\_fm\_def\ ZF\_foundation\_fm\_def\ ZF\_pairing\_fm\_def$   
 $ZF\_union\_fm\_def\ ZF\_infinity\_fm\_def\ ZF\_power\_fm\_def\ ZF\_choice\_fm\_def$

**lemmas**  $ZFC\_fm\_sats = ZF\_extensionality\_auto\ ZF\_foundation\_auto\ ZF\_pairing\_auto$   
 $ZF\_union\_auto\ ZF\_infinity\_auto\ ZF\_power\_auto\ ZF\_choice\_auto$

**definition**

$ZF\_fin :: i$  **where**

$ZF\_fin \equiv \{ ZF\_extensionality\_fm, ZF\_foundation\_fm, ZF\_pairing\_fm,$   
 $ZF\_union\_fm, ZF\_infinity\_fm, ZF\_power\_fm \}$

**definition**

$ZFC\_fin :: i$  **where**

$ZFC\_fin \equiv ZF\_fin \cup \{ ZF\_choice\_fm \}$

**lemma**  $ZFC\_fin\_type : ZFC\_fin \subseteq formula$

⟨proof⟩

## 12.1 The Axiom of Separation, internalized

**lemma**  $iterates\_Forall\_type [TC]$ :

$\llbracket n \in nat; p \in formula \rrbracket \implies Forall^n(p) \in formula$   
 ⟨proof⟩

**lemma** *last\_init\_eq* :  
**assumes**  $l \in \text{list}(A)$   $\text{length}(l) = \text{succ}(n)$   
**shows**  $\exists a \in A. \exists l' \in \text{list}(A). l = l' @ [a]$   
 $\langle \text{proof} \rangle$

**lemma** *take\_drop\_eq* :  
**assumes**  $l \in \text{list}(M)$   
**shows**  $\bigwedge n. n < \text{succ}(\text{length}(l)) \implies l = \text{take}(n, l) @ \text{drop}(n, l)$   
 $\langle \text{proof} \rangle$

**lemma** *list\_split* :  
**assumes**  $n < \text{succ}(\text{length}(\text{rest}))$   $\text{rest} \in \text{list}(M)$   
**shows**  $\exists re \in \text{list}(M). \exists st \in \text{list}(M). \text{rest} = re @ st \wedge \text{length}(re) = \text{pred}(n)$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_nForall*:  
**assumes**  
 $\varphi \in \text{formula}$   
**shows**  
 $n \in \text{nat} \implies ms \in \text{list}(M) \implies$   
 $M, ms \models (\text{Forall} \wedge^n \varphi) \longleftrightarrow$   
 $(\forall \text{rest} \in \text{list}(M). \text{length}(\text{rest}) = n \longrightarrow M, \text{rest} @ ms \models \varphi)$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{sep\_body\_fm} :: i \Rightarrow i$  **where**  
 $\text{sep\_body\_fm}(p) == \text{Forall}(\text{Exists}(\text{Forall}(\text{Iff}(\text{Member}(0, 1), \text{And}(\text{Member}(0, 2), \text{incr\_bv1} \wedge^2(p))))))$

**lemma** *sep\_body\_fm\_type* [TC]:  $p \in \text{formula} \implies \text{sep\_body\_fm}(p) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_sep\_body\_fm*:  
**assumes**  
 $\varphi \in \text{formula}$   $ms \in \text{list}(M)$   $\text{rest} \in \text{list}(M)$   
**shows**  
 $M, \text{rest} @ ms \models \text{sep\_body\_fm}(\varphi) \longleftrightarrow$   
 $\text{separation}(\#\#M, \lambda x. M, [x] @ \text{rest} @ ms \models \varphi)$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{ZF\_separation\_fm} :: i \Rightarrow i$  **where**  
 $\text{ZF\_separation\_fm}(p) == \text{Forall} \wedge (\text{pred}(\text{arity}(p))) (\text{sep\_body\_fm}(p))$

**lemma** *ZF\_separation\_fm\_type* [TC]:  $p \in \text{formula} \implies \text{ZF\_separation\_fm}(p) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_ZF\_separation\_fm\_iff*:

**assumes**

$\varphi \in \text{formula}$

**shows**

$(M, [] \models (\text{ZF\_separation\_fm}(\varphi)))$

$\longleftrightarrow$

$(\forall \text{env} \in \text{list}(M). \text{arity}(\varphi) \leq 1 \ \#\# \text{length}(\text{env}) \longrightarrow$   
 $\text{separation}(\#\#M, \lambda x. M, [x] @ \text{env} \models \varphi))$

$\langle \text{proof} \rangle$

## 12.2 The Axiom of Replacement, internalized

**schematic\_goal** *sats\_univalent\_fm\_auto*:

**assumes**

$Q\_iff\_sats: \bigwedge x \ y \ z. x \in A \implies y \in A \implies z \in A \implies$

$Q(x, z) \longleftrightarrow (A, \text{Cons}(z, \text{Cons}(y, \text{Cons}(x, \text{env})))) \models Q1\_fm$

$\bigwedge x \ y \ z. x \in A \implies y \in A \implies z \in A \implies$

$Q(x, y) \longleftrightarrow (A, \text{Cons}(z, \text{Cons}(y, \text{Cons}(x, \text{env})))) \models Q2\_fm$

**and**

$\text{asms}: \text{nth}(i, \text{env}) = B \ i \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$\text{univalent}(\#\#A, B, Q) \longleftrightarrow \text{sats}(A, ?ufm(i), \text{env})$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *univalent\_fm\_type [TC]*:  $q1 \in \text{formula} \implies q2 \in \text{formula} \implies i \in \text{nat} \implies$

$\text{univalent\_fm}(q2, q1, i) \in \text{formula}$

$\langle \text{proof} \rangle$

**lemma** *sats\_univalent\_fm* :

**assumes**

$Q\_iff\_sats: \bigwedge x \ y \ z. x \in A \implies y \in A \implies z \in A \implies$

$Q(x, z) \longleftrightarrow (A, \text{Cons}(z, \text{Cons}(y, \text{Cons}(x, \text{env})))) \models Q1\_fm$

$\bigwedge x \ y \ z. x \in A \implies y \in A \implies z \in A \implies$

$Q(x, y) \longleftrightarrow (A, \text{Cons}(z, \text{Cons}(y, \text{Cons}(x, \text{env})))) \models Q2\_fm$

**and**

$\text{asms}: \text{nth}(i, \text{env}) = B \ i \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$\text{sats}(A, \text{univalent\_fm}(Q1\_fm, Q2\_fm, i), \text{env}) \longleftrightarrow \text{univalent}(\#\#A, B, Q)$

$\langle \text{proof} \rangle$

**definition**

$\text{swap\_vars} :: i \Rightarrow i \ \text{where}$

$\text{swap\_vars}(\varphi) \equiv$

$\text{Exists}(\text{And}(\text{Equal}(0, 3), \text{And}(\text{Equal}(1, 2), \text{iterates}(\lambda p. \text{incr\_bv}(p) '2, 2, \varphi))))))$

**lemma** *swap\_vars\_type* [TC] :  
 $\varphi \in \text{formula} \implies \text{swap\_vars}(\varphi) \in \text{formula}$   
 ⟨proof⟩

**lemma** *sats\_swap\_vars* :  
 $[x,y] @ \text{env} \in \text{list}(M) \implies \varphi \in \text{formula} \implies$   
 $\text{sats}(M, \text{swap\_vars}(\varphi), [x,y] @ \text{env}) \longleftrightarrow \text{sats}(M, \varphi, [y,x] @ \text{env})$   
 ⟨proof⟩

**definition**  
*univalent\_Q1* ::  $i \Rightarrow i$  **where**  
 $\text{univalent\_Q1}(\varphi) \equiv \text{incr\_bv1}(\text{swap\_vars}(\varphi))$

**definition**  
*univalent\_Q2* ::  $i \Rightarrow i$  **where**  
 $\text{univalent\_Q2}(\varphi) \equiv \text{incr\_bv}(\text{swap\_vars}(\varphi))'0$

**lemma** *univalent\_Qs\_type* [TC]:  
**assumes**  $\varphi \in \text{formula}$   
**shows**  $\text{univalent\_Q1}(\varphi) \in \text{formula}$   $\text{univalent\_Q2}(\varphi) \in \text{formula}$   
 ⟨proof⟩

**lemma** *sats\_univalent\_fm\_assm*:  
**assumes**  
 $x \in A$   $y \in A$   $z \in A$   $\text{env} \in \text{list}(A)$   $\varphi \in \text{formula}$   
**shows**  
 $(A, ([x,z] @ \text{env}) \models \varphi) \longleftrightarrow (A, \text{Cons}(z, \text{Cons}(y, \text{Cons}(x, \text{env})))) \models (\text{univalent\_Q1}(\varphi))$   
 $(A, ([x,y] @ \text{env}) \models \varphi) \longleftrightarrow (A, \text{Cons}(z, \text{Cons}(y, \text{Cons}(x, \text{env})))) \models (\text{univalent\_Q2}(\varphi))$   
 ⟨proof⟩

**definition**  
*rep\_body\_fm* ::  $i \Rightarrow i$  **where**  
 $\text{rep\_body\_fm}(p) == \text{Forall}(\text{Implies}(\text{univalent\_fm}(\text{univalent\_Q1}(\text{incr\_bv}(p)'2), \text{univalent\_Q2}(\text{incr\_bv}(p)'2), 0),$   
 $\text{Exists}(\text{Forall}(\text{Iff}(\text{Member}(0, 1), \text{Exists}(\text{And}(\text{Member}(0, 3), \text{incr\_bv}(\text{incr\_bv}(p)'2)'2))))))$

**lemma** *rep\_body\_fm\_type* [TC]:  $p \in \text{formula} \implies \text{rep\_body\_fm}(p) \in \text{formula}$   
 ⟨proof⟩

**lemmas** *ZF\_replacement\_simps* = *formula\_add\_params1*[of  $\varphi$  2 -  $M$  [-, -]]  
 $\text{sats\_incr\_bv\_iff}$ [of - -  $M$  - []] — simplifies iterates of  $\lambda x. \text{incr\_bv}(x)'0$   
 $\text{sats\_incr\_bv\_iff}$ [of - -  $M$  - [-, -]] — simplifies  $\lambda x. \text{incr\_bv}(x)'2$   
 $\text{sats\_incr\_bv1\_iff}$ [of - -  $M$ ] **sats\_swap\_vars** **for**  $\varphi$   $M$

**lemma** *sats\_rep\_body\_fm*:  
**assumes**  
 $\varphi \in \text{formula}$   $ms \in \text{list}(M)$   $rest \in \text{list}(M)$   
**shows**

$M, rest @ ms \models rep\_body\_fm(\varphi) \longleftrightarrow$   
 $strong\_replacement(\#\#M, \lambda x y. M, [x, y] @ rest @ ms \models \varphi)$   
 ⟨proof⟩

**definition**

$ZF\_replacement\_fm :: i \Rightarrow i$  **where**  
 $ZF\_replacement\_fm(p) \equiv Forall^{\wedge}(pred(pred(arity(p))))(rep\_body\_fm(p))$

**lemma**  $ZF\_replacement\_fm\_type$  [TC]:  $p \in formula \Longrightarrow ZF\_replacement\_fm(p) \in formula$   
 ⟨proof⟩

**lemma**  $sats\_ZF\_replacement\_fm\_iff$ :

**assumes**

$\varphi \in formula$

**shows**

$(M, [] \models (ZF\_replacement\_fm(\varphi)))$

$\longleftrightarrow$

$(\forall env \in list(M). arity(\varphi) \leq 2 \ \#\ + \ length(env) \longrightarrow$

$strong\_replacement(\#\#M, \lambda x y. sats(M, \varphi, [x, y] @ env)))$

⟨proof⟩

**definition**

$ZF\_inf :: i$  **where**

$ZF\_inf == \{ZF\_separation\_fm(p) . p \in formula\} \cup \{ZF\_replacement\_fm(p) . p \in formula\}$

**lemma**  $Un\_subset\_formula$ :  $A \subseteq formula \wedge B \subseteq formula \Longrightarrow A \cup B \subseteq formula$   
 ⟨proof⟩

**lemma**  $ZF\_inf\_subset\_formula$  :  $ZF\_inf \subseteq formula$   
 ⟨proof⟩

**definition**

$ZFC :: i$  **where**

$ZFC == ZF\_inf \cup ZFC\_fin$

**definition**

$ZF :: i$  **where**

$ZF == ZF\_inf \cup ZF\_fin$

**definition**

$ZF\_minus\_P :: i$  **where**

$ZF\_minus\_P == ZF - \{ZF\_power\_fm\}$

**lemma**  $ZFC\_subset\_formula$ :  $ZFC \subseteq formula$   
 ⟨proof⟩

Satisfaction of a set of sentences

**definition**

$satT :: [i, i] \Rightarrow o \ (- \models - \ [36,36] \ 60)$  **where**  
 $A \models \Phi \equiv \forall \varphi \in \Phi. (A, [] \models \varphi)$

**lemma** *satTI* [*intro!*]:

**assumes**  $\bigwedge \varphi. \varphi \in \Phi \implies A, [] \models \varphi$   
**shows**  $A \models \Phi$   
*<proof>*

**lemma** *satTD* [*dest*] :  $A \models \Phi \implies \varphi \in \Phi \implies A, [] \models \varphi$ 

*<proof>*

**lemma** *sats\_ZFC\_iff\_sats\_ZF\_AC*:

$(N \models ZFC) \longleftrightarrow (N \models ZF) \wedge (N, [] \models AC)$   
*<proof>*

**lemma** *M\_ZF\_iff\_M\_satT*:  $M\_ZF(M) \longleftrightarrow (M \models ZF)$ 

*<proof>*

**end**

## 13 Names and generic extensions

**theory** *Names***imports**

*Forcing\_Data*  
*Interface*  
*Recursion\_Thms*  
*Synthetic\_Definition*

**begin****definition**

$SepReplace :: [i, i \Rightarrow i, i \Rightarrow o] \Rightarrow i$  **where**  
 $SepReplace(A, b, Q) == \{y . x \in A, y = b(x) \wedge Q(x)\}$

**syntax**

$\_SepReplace :: [i, ptrn, i, o] \Rightarrow i \ ((I\{- \dots / - \in -, -\})$

**translations**

$\{b \dots x \in A, Q\} \Rightarrow CONST \ SepReplace(A, \lambda x. b, \lambda x. Q)$

**lemma** *Sep\_and\_Replace*:  $\{b(x) \dots x \in A, P(x)\} = \{b(x) . x \in \{y \in A. P(y)\}\}$ 

*<proof>*

**lemma** *SepReplace\_subset* :  $A \subseteq A' \implies \{b \dots x \in A, Q\} \subseteq \{b \dots x \in A', Q\}$ 

*<proof>*

**lemma** *SepReplace\_iff* [*simp*]:  $y \in \{b(x) \dots x \in A, P(x)\} \longleftrightarrow (\exists x \in A. y = b(x) \ \& \ P(x))$ 

*<proof>*



**lemma** *SepReplace\_dom\_implies* :

$(\bigwedge x . x \in A \implies b(x) = b'(x)) \implies \{b(x) .. x \in A, Q(x)\} = \{b'(x) .. x \in A, Q(x)\}$   
*<proof>*

**lemma** *SepReplace\_pred\_implies* :

$\forall x. Q(x) \implies b(x) = b'(x) \implies \{b(x) .. x \in A, Q(x)\} = \{b'(x) .. x \in A, Q(x)\}$   
*<proof>*

### 13.1 The well-founded relation *ed*

**lemma** *eclose\_sing* :  $x \in \text{eclose}(a) \implies x \in \text{eclose}(\{a\})$

*<proof>*

**lemma** *ecloseE* :

**assumes**  $x \in \text{eclose}(A)$

**shows**  $x \in A \vee (\exists B \in A . x \in \text{eclose}(B))$

*<proof>*

**lemma** *eclose\_singE* :  $x \in \text{eclose}(\{a\}) \implies x = a \vee x \in \text{eclose}(a)$

*<proof>*

**lemma** *in\_eclose\_sing* :

**assumes**  $x \in \text{eclose}(\{a\})$   $a \in \text{eclose}(z)$

**shows**  $x \in \text{eclose}(\{z\})$

*<proof>*

**lemma** *in\_dom\_in\_eclose* :

**assumes**  $x \in \text{domain}(z)$

**shows**  $x \in \text{eclose}(z)$

*<proof>*

*ed* is the well-founded relation on which *val* is defined

**definition**

$ed :: [i, i] \Rightarrow o$  **where**

$ed(x, y) == x \in \text{domain}(y)$

**definition**

$edrel :: i \Rightarrow i$  **where**

$edrel(A) == Rrel(ed, A)$

**lemma** *edI[intro!]*:  $t \in \text{domain}(x) \implies ed(t, x)$

*<proof>*

**lemma** *edD[dest!]*:  $ed(t, x) \implies t \in \text{domain}(x)$

*<proof>*

**lemma** *rank\_ed*:

**assumes**  $ed(y,x)$

**shows**  $succ(rank(y)) \leq rank(x)$

*<proof>*

**lemma** *edrel\_dest* [*dest*]:  $x \in edrel(A) \implies \exists a \in A. \exists b \in A. x = \langle a, b \rangle$

*<proof>*

**lemma** *edrelD* :  $x \in edrel(A) \implies \exists a \in A. \exists b \in A. x = \langle a, b \rangle \wedge a \in domain(b)$

*<proof>*

**lemma** *edrelI* [*intro!*]:  $x \in A \implies y \in A \implies x \in domain(y) \implies \langle x, y \rangle \in edrel(A)$

*<proof>*

**lemma** *edrel\_trans*:  $Transset(A) \implies y \in A \implies x \in domain(y) \implies \langle x, y \rangle \in edrel(A)$

*<proof>*

**lemma** *domain\_trans*:  $Transset(A) \implies y \in A \implies x \in domain(y) \implies x \in A$

*<proof>*

**lemma** *relation\_edrel* :  $relation(edrel(A))$

*<proof>*

**lemma** *field\_edrel* :  $field(edrel(A)) \subseteq A$

*<proof>*

**lemma** *edrel\_sub\_memrel*:  $edrel(A) \subseteq trancl(Memrel(eclose(A)))$

*<proof>*

**lemma** *wf\_edrel* :  $wf(edrel(A))$

*<proof>*

**lemma** *ed\_induction*:

**assumes**  $\bigwedge x. [\bigwedge y. ed(y,x) \implies Q(y)] \implies Q(x)$

**shows**  $Q(a)$

*<proof>*

**lemma** *dom\_under\_edrel\_eclose*:  $edrel(eclose(\{x\})) - \{x\} = domain(x)$

*<proof>*

**lemma** *ed\_eclose* :  $\langle y, z \rangle \in edrel(A) \implies y \in eclose(z)$

*<proof>*

**lemma** *tr\_edrel\_eclose* :  $\langle y, z \rangle \in edrel(eclose(\{x\}))^+ \implies y \in eclose(z)$

*<proof>*

**lemma** *restrict\_edrel\_eq* :

**assumes**  $z \in domain(x)$

**shows**  $edrel(eclose(\{x\}) \cap eclose(\{z\}) * eclose(\{z\}) = edrel(eclose(\{z\}))$   
 $\langle proof \rangle$

**lemma** *tr\_edrel\_subset* :

**assumes**  $z \in domain(x)$

**shows**  $tr\_down(edrel(eclose(\{x\})), z) \subseteq eclose(\{z\})$

$\langle proof \rangle$

**context** *M\_ctm*

**begin**

**lemma** *upairM* :  $x \in M \implies y \in M \implies \{x, y\} \in M$

$\langle proof \rangle$

**lemma** *singletonM* :  $a \in M \implies \{a\} \in M$

$\langle proof \rangle$

**lemma** *pairM* :  $x \in M \implies y \in M \implies \langle x, y \rangle \in M$

$\langle proof \rangle$

**lemma** *Rep\_simp* :  $Replace(u, \lambda y z . z = f(y)) = \{ f(y) . y \in u \}$

$\langle proof \rangle$

**end**

## 13.2 Values and check-names

**context** *forcing\_data*

**begin**

**definition**

$Hcheck :: [i, i] \Rightarrow i$  **where**

$Hcheck(z, f) == \{ \langle f^*y, one \rangle . y \in z \}$

**definition**

$check :: i \Rightarrow i$  **where**

$check(x) == transrec(x, Hcheck)$

**lemma** *checkD*:

$check(x) = wfrec(Memrel(eclose(\{x\})), x, Hcheck)$

$\langle proof \rangle$

**definition**

$rcheck :: i \Rightarrow i$  **where**

$rcheck(x) == Memrel(eclose(\{x\}))^+$

**lemma** *Hcheck\_trancl*:  $Hcheck(y, restrict(f, Memrel(eclose(\{x\})) - \{\{y\}\}))$

$= Hcheck(y, restrict(f, (Memrel(eclose(\{x\})) \hat{+}) - \{\{y\}\}))$

$\langle proof \rangle$

**lemma** *check\_trancl*:  $check(x) = wfrec(rcheck(x), x, Hcheck)$   
 $\langle proof \rangle$

**lemma** *rcheck\_in\_M* :  
 $x \in M \implies rcheck(x) \in M$   
 $\langle proof \rangle$

**lemma** *aux\_def\_check*:  $x \in y \implies$   
 $wfrec(Memrel(eclose(\{y\})), x, Hcheck) =$   
 $wfrec(Memrel(eclose(\{x\})), x, Hcheck)$   
 $\langle proof \rangle$

**lemma** *def\_check* :  $check(y) = \{ \langle check(w), one \rangle . w \in y \}$   
 $\langle proof \rangle$

**lemma** *def\_checkS* :  
**fixes**  $n$   
**assumes**  $n \in nat$   
**shows**  $check(succ(n)) = check(n) \cup \{ \langle check(n), one \rangle \}$   
 $\langle proof \rangle$

**lemma** *field\_Memrel2* :  $x \in M \implies field(Memrel(eclose(\{x\}))) \subseteq M$   
 $\langle proof \rangle$

**definition**  
 $Hv :: i \Rightarrow i \Rightarrow i$  **where**  
 $Hv(G, x, f) == \{ f'y .. y \in domain(x), \exists p \in P. \langle y, p \rangle \in x \wedge p \in G \}$

The funcion *val* interprets a name in  $M$  according to a (generic) filter  $G$ . Note the definition in terms of the well-founded recursor.

**definition**  
 $val :: i \Rightarrow i \Rightarrow i$  **where**  
 $val(G, \tau) == wfrec(edrel(eclose(\{\tau\})), \tau, Hv(G))$

**lemma** *aux\_def\_val*:  
**assumes**  $z \in domain(x)$   
**shows**  $wfrec(edrel(eclose(\{x\})), z, Hv(G)) = wfrec(edrel(eclose(\{z\})), z, Hv(G))$   
 $\langle proof \rangle$

The next lemma provides the usual recursive expression for the definition of *val*

**lemma** *def\_val*:  $val(G, x) = \{ val(G, t) .. t \in domain(x), \exists p \in P . \langle t, p \rangle \in x \wedge p \in G \}$

*<proof>*

**lemma** *val\_mono* :  $x \subseteq y \implies \text{val}(G,x) \subseteq \text{val}(G,y)$   
*<proof>*

Check-names are the canonical names for elements of the ground model.  
Here we show that this is the case.

**lemma** *valcheck* :  $\text{one} \in G \implies \text{one} \in P \implies \text{val}(G,\text{check}(y)) = y$   
*<proof>*

**lemma** *val\_of\_name* :  
 $\text{val}(G,\{x \in A \times P. Q(x)\}) = \{\text{val}(G,t) \mid t \in A, \exists p \in P. Q(\langle t,p \rangle) \wedge p \in G\}$   
*<proof>*

**lemma** *val\_of\_name\_alt* :  
 $\text{val}(G,\{x \in A \times P. Q(x)\}) = \{\text{val}(G,t) \mid t \in A, \exists p \in P \cap G. Q(\langle t,p \rangle)\}$   
*<proof>*

**lemma** *val\_only\_names*:  $\text{val}(F,\tau) = \text{val}(F,\{x \in \tau. \exists t \in \text{domain}(\tau). \exists p \in P. x = \langle t,p \rangle\})$

(**is**  $\_ = \text{val}(F,?name)$ )  
*<proof>*

**lemma** *val\_only\_pairs*:  $\text{val}(F,\tau) = \text{val}(F,\{x \in \tau. \exists t p. x = \langle t,p \rangle\})$   
*<proof>*

**lemma** *val\_subset\_domain\_times\_range*:  $\text{val}(F,\tau) \subseteq \text{val}(F,\text{domain}(\tau) \times \text{range}(\tau))$   
*<proof>*

**lemma** *val\_subset\_domain\_times\_P*:  $\text{val}(F,\tau) \subseteq \text{val}(F,\text{domain}(\tau) \times P)$   
*<proof>*

**definition**

*GenExt* ::  $i \Rightarrow i \quad (M[-])$   
**where**  $\text{GenExt}(G) == \{\text{val}(G,\tau). \tau \in M\}$

**lemma** *val\_of\_elem*:  $\langle \vartheta,p \rangle \in \pi \implies p \in G \implies p \in P \implies \text{val}(G,\vartheta) \in \text{val}(G,\pi)$   
*<proof>*

**lemma** *elem\_of\_val*:  $x \in \text{val}(G,\pi) \implies \exists \vartheta \in \text{domain}(\pi). \text{val}(G,\vartheta) = x$   
*<proof>*

**lemma** *elem\_of\_val\_pair*:  $x \in \text{val}(G,\pi) \implies \exists \vartheta. \exists p \in G. \langle \vartheta,p \rangle \in \pi \wedge \text{val}(G,\vartheta) = x$   
*<proof>*

**lemma** *elem\_of\_val\_pair'*:  
**assumes**  $\pi \in M \ x \in \text{val}(G,\pi)$   
**shows**  $\exists \vartheta \in M. \exists p \in G. \langle \vartheta,p \rangle \in \pi \wedge \text{val}(G,\vartheta) = x$

$\langle proof \rangle$

**lemma** *GenExtD*:

$x \in M[G] \implies \exists \tau \in M. x = val(G, \tau)$   
 $\langle proof \rangle$

**lemma** *GenExtI*:

$x \in M \implies val(G, x) \in M[G]$   
 $\langle proof \rangle$

**lemma** *Transset\_MG* :  $Transset(M[G])$

$\langle proof \rangle$

**lemmas** *transitivity\_MG* =  $Transset\_intf[OF\ Transset\_MG]$

**lemma** *check\_n\_M* :

**fixes**  $n$

**assumes**  $n \in nat$

**shows**  $check(n) \in M$

$\langle proof \rangle$

**definition**

$PHcheck :: [i, i, i, i] \Rightarrow o$  **where**

$PHcheck(o, f, y, p) == p \in M \wedge (\exists fy[\#\#M]. fun\_apply(\#\#M, f, y, fy) \wedge pair(\#\#M, fy, o, p))$

**definition**

$is\_Hcheck :: [i, i, i, i] \Rightarrow o$  **where**

$is\_Hcheck(o, z, f, hc) == is\_Replace(\#\#M, z, PHcheck(o, f), hc)$

**lemma** *one\_in\_M*:  $one \in M$

$\langle proof \rangle$

**lemma** *def\_PHcheck*:

**assumes**

$z \in M\ f \in M$

**shows**

$Hcheck(z, f) = Replace(z, PHcheck(one, f))$

$\langle proof \rangle$

**definition**

$PHcheck\_fm :: [i, i, i, i] \Rightarrow i$  **where**

$PHcheck\_fm(o, f, y, p) == Exists(And(fun\_apply\_fm(succ(f), succ(y), 0), pair\_fm(0, succ(o), succ(p))))$

**lemma** *PHcheck\_type* [TC]:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; u \in \text{nat} \rrbracket \implies \text{PHcheck\_fm}(x,y,z,u) \in \text{formula}$   
*<proof>*

**lemma** *sats\_PHcheck\_fm* [simp]:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; u \in \text{nat}; \text{env} \in \text{list}(M) \rrbracket$   
 $\implies \text{sats}(M, \text{PHcheck\_fm}(x,y,z,u), \text{env}) \longleftrightarrow$   
 $\text{PHcheck}(\text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}), \text{nth}(u, \text{env}))$   
*<proof>*

**definition**

*is\_Hcheck\_fm* ::  $[i, i, i, i] \Rightarrow i$  **where**  
*is\_Hcheck\_fm*( $o, z, f, hc$ ) == *Replace\_fm*( $z, \text{PHcheck\_fm}(\text{succ}(\text{succ}(o)), \text{succ}(\text{succ}(f))), 0, 1, hc$ )

**lemma** *is\_Hcheck\_type* [TC]:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; u \in \text{nat} \rrbracket \implies \text{is\_Hcheck\_fm}(x,y,z,u) \in \text{formula}$   
*<proof>*

**lemma** *sats\_is\_Hcheck\_fm* [simp]:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; u \in \text{nat}; \text{env} \in \text{list}(M) \rrbracket$   
 $\implies \text{sats}(M, \text{is\_Hcheck\_fm}(x,y,z,u), \text{env}) \longleftrightarrow$   
 $\text{is\_Hcheck}(\text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}), \text{nth}(u, \text{env}))$   
*<proof>*

**lemma** *wfrec\_Hcheck* :

**assumes**

$X \in M$

**shows**

$\text{wfrec\_replacement}(\#\#M, \text{is\_Hcheck}(\text{one}), \text{rcheck}(X))$

*<proof>*

**lemma** *repl\_PHcheck* :

**assumes**

$f \in M$

**shows**

$\text{strong\_replacement}(\#\#M, \text{PHcheck}(\text{one}, f))$

*<proof>*

**lemma** *univ\_PHcheck* :  $\llbracket z \in M; f \in M \rrbracket \implies \text{univalent}(\#\#M, z, \text{PHcheck}(\text{one}, f))$

*<proof>*

**lemma** *relation2\_Hcheck* :

$\text{relation2}(\#\#M, \text{is\_Hcheck}(\text{one}), \text{Hcheck})$

*<proof>*

**lemma** *PHcheck\_closed* :  
 $\llbracket z \in M ; f \in M ; x \in z ; PHcheck(one, f, x, y) \rrbracket \implies (\#\#M)(y)$   
 $\langle proof \rangle$

**lemma** *Hcheck\_closed* :  
 $\forall y \in M. \forall g \in M. function(g) \longrightarrow Hcheck(y, g) \in M$   
 $\langle proof \rangle$

**lemma** *wf\_rcheck* :  $x \in M \implies wf(rcheck(x))$   
 $\langle proof \rangle$

**lemma** *trans\_rcheck* :  $x \in M \implies trans(rcheck(x))$   
 $\langle proof \rangle$

**lemma** *relation\_rcheck* :  $x \in M \implies relation(rcheck(x))$   
 $\langle proof \rangle$

**lemma** *check\_in\_M* :  $x \in M \implies check(x) \in M$   
 $\langle proof \rangle$

**end**

**definition**

*is\_singleton* ::  $[i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_singleton(A, x, z) == \exists c[A]. empty(A, c) \wedge is\_cons(A, x, c, z)$

**lemma** (**in** *M\_trivial*) *singleton\_abs[simp]* :  $\llbracket M(x) ; M(s) \rrbracket \implies is\_singleton(M, x, s)$   
 $\longleftrightarrow s = \{x\}$   
 $\langle proof \rangle$

**definition**

*singleton\_fm* ::  $[i, i] \Rightarrow i$  **where**  
 $singleton\_fm(i, j) == Exists(And(empty_fm(0), cons_fm(succ(i), 0, succ(j))))$

**lemma** *singleton\_type[TC]* :  $\llbracket x \in nat ; y \in nat \rrbracket \implies singleton\_fm(x, y) \in formula$   
 $\langle proof \rangle$

**lemma** *sats\_singleton\_fm*:

$\llbracket i \in nat ; j \in nat ; env \in list(A) \rrbracket$   
 $\implies sats(A, singleton\_fm(i, j), env) \longleftrightarrow is\_singleton(\#\#A, nth(i, env), nth(j, env))$   
 $\langle proof \rangle$

**lemma** *is\_singleton\_iff\_sats*:

$\llbracket nth(i, env) = x ; nth(j, env) = y ;$   
 $i \in nat ; j \in nat ; env \in list(A) \rrbracket$   
 $\implies is\_singleton(\#\#A, x, y) \longleftrightarrow sats(A, singleton\_fm(i, j), env)$   
 $\langle proof \rangle$



**context** *forcing\_data* **begin**

**definition**

$is\_rcheck :: [i,i] \Rightarrow o$  **where**  
 $is\_rcheck(x,z) == \exists r \in M. tran\_closure(\#\#M,r,z) \wedge (\exists ec \in M. membership(\#\#M,ec,r))$   
 $\wedge$   
 $(\exists s \in M. is\_singleton(\#\#M,x,s) \wedge is\_eclose(\#\#M,s,ec))$

**lemma** *rcheck\_abs* :

$\llbracket x \in M ; r \in M \rrbracket \Longrightarrow is\_rcheck(x,r) \longleftrightarrow r = rcheck(x)$   
(*proof*)

**schematic\_goal** *rcheck\_fm\_auto*:

**assumes**

$nth(i,env) = x \quad nth(j,env) = z$   
 $i \in nat \quad j \in nat \quad env \in list(M)$

**shows**

$is\_rcheck(x,z) \longleftrightarrow sats(M,?rch(i,j),env)$   
(*proof*)

(*ML*)

**lemma** *sats\_rcheck\_fm* :

**assumes**

$i \in nat \quad j \in nat \quad i < length(env) \quad j < length(env) \quad env \in list(M)$

**shows**

$sats(M,rcheck\_fm(i,j),env) \longleftrightarrow is\_rcheck(nth(i,env),nth(j,env))$   
(*proof*)

**lemma** *rcheck\_fm\_type*[*TC*] :

$\llbracket x \in nat ; y \in nat \rrbracket \Longrightarrow rcheck\_fm(x,y) \in formula$   
(*proof*)

**definition**

$is\_check :: [i,i] \Rightarrow o$  **where**  
 $is\_check(x,z) == \exists rch \in M. is\_rcheck(x,rch) \wedge is\_wfrec(\#\#M,is\_Hcheck(one),rch,x,z)$

**lemma** *check\_abs* :

**assumes**

$x \in M \quad z \in M$

**shows**

$is\_check(x,z) \longleftrightarrow z = check(x)$

(*proof*)

**definition**

$check\_fm :: [i,i,i] \Rightarrow i$  **where**  
 $check\_fm(x,o,z) \equiv Exists(And(rcheck\_fm(1\#+x,0),$

$is\_wfrec\_fm(is\_Hcheck\_fm(6\# + o, 2, 1, 0), 0, 1\# + x, 1\# + z))$

**lemma** *check\_fm\_type*[*TC*] :  
 $\llbracket x \in nat; o \in nat; z \in nat \rrbracket \implies check\_fm(x, o, z) \in formula$   
 <proof>

**lemma** *sats\_check\_fm* :  
**assumes**  
 $nth(o, env) = one \ x \in nat \ z \in nat \ o \in nat \ env \in list(M) \ x < length(env) \ z < length(env)$   
**shows**  
 $sats(M, check\_fm(x, o, z), env) \longleftrightarrow is\_check(nth(x, env), nth(z, env))$   
 <proof>

**lemma** *check\_replacement*:  
 $\{check(x). x \in P\} \in M$   
 <proof>

**lemma** *pair\_check* :  $\llbracket p \in M ; y \in M \rrbracket \implies (\exists c \in M. is\_check(p, c) \wedge pair(\#\#M, c, p, y))$   
 $\longleftrightarrow y = \langle check(p), p \rangle$   
 <proof>

**lemma** *M\_subset\_MG* :  $one \in G \implies M \subseteq M[G]$   
 <proof>

The name for the generic filter

**definition**  
 $G\_dot :: i \text{ where}$   
 $G\_dot == \{\langle check(p), p \rangle . p \in P\}$

**lemma** *G\_dot\_in\_M* :  
 $G\_dot \in M$   
 <proof>

**lemma** *val\_G\_dot* :  
**assumes**  $G \subseteq P$   
 $one \in G$   
**shows**  $val(G, G\_dot) = G$   
 <proof>

**lemma** *G\_in\_Gen\_Ext* :  
**assumes**  $G \subseteq P$  and  $one \in G$   
**shows**  $G \in M[G]$   
 <proof>

**lemma** *fst\_snd\_closed*:  $p \in M \implies \text{fst}(p) \in M \wedge \text{snd}(p) \in M$   
 ⟨*proof*⟩

**end**

**locale** *G\_generic* = *forcing\_data* +  
**fixes** *G* :: *i*  
**assumes** *generic* : *M\_generic*(*G*)  
**begin**

**lemma** *zero\_in\_MG* :  
 $0 \in M[G]$   
 ⟨*proof*⟩

**lemma** *G\_nonempty*:  $G \neq 0$   
 ⟨*proof*⟩

**end**

**end**

## 14 Well-founded relation on names

**theory** *freqR* **imports** *Names Synthetic\_Definition* **begin**

**lemmas** *sep\_rules'* = *nth\_0 nth\_ConsI FOL\_iff\_sats function\_iff\_sats*  
*fun\_plus\_iff\_sats*  
*omega\_iff\_sats FOL\_sats\_iff*

*freqR* is the well-founded relation on names that allows us to define forcing for atomic formulas.

**definition**

*is\_hcomp* ::  $[i \Rightarrow o, i \Rightarrow i \Rightarrow o, i \Rightarrow i \Rightarrow o, i, i] \Rightarrow o$  **where**  
*is\_hcomp*(*M, is\_f, is\_g, a, w*) ==  $\exists z[M]. \text{is}_g(a, z) \wedge \text{is}_f(z, w)$

**lemma** (in *M\_trivial*) *hcomp\_abs*:

**assumes**

*is\_f\_abs*:  $\bigwedge a z. M(a) \implies M(z) \implies \text{is}_f(a, z) \longleftrightarrow z = f(a)$  **and**  
*is\_g\_abs*:  $\bigwedge a z. M(a) \implies M(z) \implies \text{is}_g(a, z) \longleftrightarrow z = g(a)$  **and**  
*g\_closed*:  $\bigwedge a. M(a) \implies M(g(a))$   
 $M(a) M(w)$

**shows**

*is\_hcomp*(*M, is\_f, is\_g, a, w*)  $\longleftrightarrow w = f(g(a))$   
 ⟨*proof*⟩

**definition**

*hcomp\_fm* ::  $[i \Rightarrow i \Rightarrow i, i \Rightarrow i \Rightarrow i, i, i] \Rightarrow i$  **where**  
*hcomp\_fm*(*pf, pg, a, w*)  $\equiv \text{Exists}(\text{And}(\text{pg}(\text{succ}(a)), 0), \text{pf}(0, \text{succ}(w)))$

**lemma** *sats\_hcomp\_fm*:

**assumes**

$f\_iff\_sats: \bigwedge a b z. a \in nat \implies b \in nat \implies z \in M \implies$   
 $is\_f(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pf(a, b), Cons(z, env))$

**and**

$g\_iff\_sats: \bigwedge a b z. a \in nat \implies b \in nat \implies z \in M \implies$   
 $is\_g(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pg(a, b), Cons(z, env))$

**and**

$a \in nat \ w \in nat \ env \in list(M)$

**shows**

$sats(M, hcomp\_fm(pf, pg, a, w), env) \longleftrightarrow is\_hcomp(\#\#M, is\_f, is\_g, nth(a, env), nth(w, env))$

*<proof>*

**definition**

$f\_type :: i \Rightarrow i$  **where**  
 $f\_type == fst$

**definition**

$name1 :: i \Rightarrow i$  **where**  
 $name1(x) == fst(snd(x))$

**definition**

$name2 :: i \Rightarrow i$  **where**  
 $name2(x) == fst(snd(snd(x)))$

**definition**

$cond\_of :: i \Rightarrow i$  **where**  
 $cond\_of(x) == snd(snd(snd((x))))$

**lemma** *components\_simp*:

$f\_type(<f, n1, n2, c>) = f$   
 $name1(<f, n1, n2, c>) = n1$   
 $name2(<f, n1, n2, c>) = n2$   
 $cond\_of(<f, n1, n2, c>) = c$   
*<proof>*

**definition** *eclose\_n* ::  $[i \Rightarrow i, i] \Rightarrow i$  **where**

$eclose\_n(name, x) = eclose(\{name(x)\})$

**definition**

$ecloseN :: i \Rightarrow i$  **where**  
 $ecloseN(x) = eclose\_n(name1, x) \cup eclose\_n(name2, x)$

**lemma** *components\_in\_eclose* :

$n1 \in ecloseN(<f, n1, n2, c>)$   
 $n2 \in ecloseN(<f, n1, n2, c>)$

*<proof>*

**lemmas** *names\_simp* = *components\_simp*(2) *components\_simp*(3)

**lemma** *ecloseNI1* :

**assumes**  $x \in \text{eclose}(n1)$

**shows**  $x \in \text{ecloseN}(\langle f, n1, n2, c \rangle)$

*<proof>*

**lemma** *ecloseNI2* :

**assumes**  $y \in \text{eclose}(n2)$

**shows**  $y \in \text{ecloseN}(\langle f, n1, n2, c \rangle)$

*<proof>*

**lemmas** *ecloseNI* = *ecloseNI1* *ecloseNI2*

**lemma** *ecloseN\_mono* :

**assumes**  $u \in \text{ecloseN}(x)$   $\text{name1}(x) \in \text{ecloseN}(y)$   $\text{name2}(x) \in \text{ecloseN}(y)$

**shows**  $u \in \text{ecloseN}(y)$

*<proof>*

**definition**

*isfst* ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**

$\text{isfst}(M, x, t) \equiv (\exists z[M]. \text{pair}(M, t, z, x)) \vee$

$(\neg(\exists z[M]. \exists w[M]. \text{pair}(M, w, z, x)) \wedge \text{empty}(M, t))$

**definition**

*fst\_fm* ::  $[i, i] \Rightarrow i$  **where**

$\text{fst\_fm}(x, t) \equiv \text{Or}(\text{Exists}(\text{pair\_fm}(\text{succ}(t), 0, \text{succ}(x))),$

$\text{And}(\text{Neg}(\text{Exists}(\text{Exists}(\text{pair\_fm}(0, 1, 2 \ \#\ + \ x)))), \text{empty\_fm}(t)))$

**lemma** *satsfst\_fm* :

$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$

$\implies \text{sats}(A, \text{fst\_fm}(x, y), \text{env}) \longleftrightarrow$

$\text{isfst}(\#\ # \ A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}))$

*<proof>*

**definition**

*isftype* ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**

$\text{isftype} \equiv \text{isfst}$

**definition**

*ftype\_fm* ::  $[i, i] \Rightarrow i$  **where**

$\text{ftype\_fm} \equiv \text{fst\_fm}$

**lemma** *satsftype\_fm* :

$$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$$

$$\implies \text{sats}(A, \text{ftype\_fm}(x,y), \text{env}) \longleftrightarrow$$

$$\text{is\_ftype}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$$

$$\langle \text{proof} \rangle$$

**lemma** *is\_ftype\_iff\_sats*:

**assumes**

$\text{nth}(a,\text{env}) = aa \ \text{nth}(b,\text{env}) = bb \ a \in \text{nat} \ b \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$\text{is\_ftype}(\#\#A, aa, bb) \longleftrightarrow \text{sats}(A, \text{ftype\_fm}(a,b), \text{env})$

$\langle \text{proof} \rangle$

**definition**

*is\_snd* ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**

$\text{is\_snd}(M, x, t) == (\exists z[M]. \text{pair}(M, z, t, x)) \vee$

$(\neg(\exists z[M]. \exists w[M]. \text{pair}(M, z, w, x)) \wedge \text{empty}(M, t))$

**definition**

*snd\_fm* ::  $[i, i] \Rightarrow i$  **where**

$\text{snd\_fm}(x, t) \equiv \text{Or}(\text{Exists}(\text{pair\_fm}(0, \text{succ}(t), \text{succ}(x))),$

$\text{And}(\text{Neg}(\text{Exists}(\text{Exists}(\text{pair\_fm}(1, 0, 2 \ \#\# \ x)))), \text{empty\_fm}(t)))$

**lemma** *sats\_snd\_fm* :

$$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$$

$$\implies \text{sats}(A, \text{snd\_fm}(x,y), \text{env}) \longleftrightarrow$$

$$\text{is\_snd}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$$

$\langle \text{proof} \rangle$

**definition**

*is\_name1* ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**

$\text{is\_name1}(M, x, t2) == \text{is\_hcomp}(M, \text{is\_fst}(M), \text{is\_snd}(M), x, t2)$

**definition**

*name1\_fm* ::  $[i, i] \Rightarrow i$  **where**

$\text{name1\_fm}(x, t) \equiv \text{hcomp\_fm}(\text{fst\_fm}, \text{snd\_fm}, x, t)$

**lemma** *sats\_name1\_fm* :

$$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$$

$$\implies \text{sats}(A, \text{name1\_fm}(x,y), \text{env}) \longleftrightarrow$$

$$\text{is\_name1}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$$

$\langle \text{proof} \rangle$

**lemma** *is\_name1\_iff\_sats*:

**assumes**

$\text{nth}(a,\text{env}) = aa \ \text{nth}(b,\text{env}) = bb \ a \in \text{nat} \ b \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$\text{is\_name1}(\#\#A, aa, bb) \longleftrightarrow \text{sats}(A, \text{name1\_fm}(a,b), \text{env})$

$\langle \text{proof} \rangle$

**definition**

$is\_snd\_snd :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $is\_snd\_snd(M, x, t) == is\_hcomp(M, is\_snd(M), is\_snd(M), x, t)$

**definition**

$snd\_snd\_fm :: [i, i] \Rightarrow i$  **where**  
 $snd\_snd\_fm(x, t) == hcomp\_fm(snd\_fm, snd\_fm, x, t)$

**lemma sats\_snd2\_fm :**

$\llbracket x \in nat; y \in nat; env \in list(A) \rrbracket$   
 $\implies sats(A, snd\_snd\_fm(x, y), env) \longleftrightarrow$   
 $is\_snd\_snd(\#\#A, nth(x, env), nth(y, env))$   
 $\langle proof \rangle$

**definition**

$is\_name2 :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $is\_name2(M, x, t3) == is\_hcomp(M, is\_fst(M), is\_snd\_snd(M), x, t3)$

**definition**

$name2\_fm :: [i, i] \Rightarrow i$  **where**  
 $name2\_fm(x, t3) \equiv hcomp\_fm(fst\_fm, snd\_snd\_fm, x, t3)$

**lemma sats\_name2\_fm :**

$\llbracket x \in nat; y \in nat; env \in list(A) \rrbracket$   
 $\implies sats(A, name2\_fm(x, y), env) \longleftrightarrow$   
 $is\_name2(\#\#A, nth(x, env), nth(y, env))$   
 $\langle proof \rangle$

**lemma is\_name2\_iff\_sats:****assumes**

$nth(a, env) = aa \quad nth(b, env) = bb \quad a \in nat \quad b \in nat \quad env \in list(A)$

**shows**

$is\_name2(\#\#A, aa, bb) \longleftrightarrow sats(A, name2\_fm(a, b), env)$   
 $\langle proof \rangle$

**definition**

$is\_cond\_of :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $is\_cond\_of(M, x, t4) == is\_hcomp(M, is\_snd(M), is\_snd\_snd(M), x, t4)$

**definition**

$cond\_of\_fm :: [i, i] \Rightarrow i$  **where**  
 $cond\_of\_fm(x, t4) \equiv hcomp\_fm(snd\_fm, snd\_snd\_fm, x, t4)$

**lemma sats\_cond\_of\_fm :**

$\llbracket x \in nat; y \in nat; env \in list(A) \rrbracket$   
 $\implies sats(A, cond\_of\_fm(x, y), env) \longleftrightarrow$   
 $is\_cond\_of(\#\#A, nth(x, env), nth(y, env))$   
 $\langle proof \rangle$

**lemma** *is\_cond\_of\_iff\_sats*:

**assumes**

$nth(a, env) = aa \quad nth(b, env) = bb \quad a \in nat \quad b \in nat \quad env \in list(A)$

**shows**

$is\_cond\_of(\#\#A, aa, bb) \longleftrightarrow sats(A, cond\_of\_fm(a, b), env)$   
(*proof*)

**lemma** *components\_type*[*TC*] :

**assumes**  $a \in nat \quad b \in nat$

**shows**

$f\_type\_fm(a, b) \in formula$   
 $name1\_fm(a, b) \in formula$   
 $name2\_fm(a, b) \in formula$   
 $cond\_of\_fm(a, b) \in formula$

(*proof*)

**lemmas** *sats\_components\_fm* = *sats\_f\_type\_fm* *sats\_name1\_fm* *sats\_name2\_fm* *sats\_cond\_of\_fm*

**lemmas** *components\_iff\_sats* = *is\_f\_type\_iff\_sats* *is\_name1\_iff\_sats* *is\_name2\_iff\_sats*  
*is\_cond\_of\_iff\_sats*

**lemmas** *components\_defs* = *fst\_fm\_def* *f\_type\_fm\_def* *snd\_fm\_def* *snd\_snd\_fm\_def* *hcomp\_fm\_def*  
*name1\_fm\_def* *name2\_fm\_def* *cond\_of\_fm\_def*

**definition**

$is\_eclose\_n :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_eclose\_n(N, is\_name, en, t) ==$   
 $\exists n1[N]. \exists s1[N]. is\_name(N, t, n1) \wedge is\_singleton(N, n1, s1) \wedge is\_eclose(N, s1, en)$

**definition**

$eclose\_n1\_fm :: [i, i] \Rightarrow i$  **where**  
 $eclose\_n1\_fm(m, t) == Exists(Exists(And(And(name1\_fm(t\#\#+2, 0), singleton\_fm(0, 1)),$   
 $is\_eclose\_fm(1, m\#\#+2))))$

**definition**

$eclose\_n2\_fm :: [i, i] \Rightarrow i$  **where**  
 $eclose\_n2\_fm(m, t) == Exists(Exists(And(And(name2\_fm(t\#\#+2, 0), singleton\_fm(0, 1)),$   
 $is\_eclose\_fm(1, m\#\#+2))))$

**definition**

$is\_ecloseN :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_ecloseN(N, en, t) == \exists en1[N]. \exists en2[N].$   
 $is\_eclose\_n(N, is\_name1, en1, t) \wedge is\_eclose\_n(N, is\_name2, en2, t) \wedge$   
 $union(N, en1, en2, en)$

**definition**

$ecloseN\_fm :: [i, i] \Rightarrow i$  **where**  
 $ecloseN\_fm(en, t) == Exists(Exists(And(eclose\_n1\_fm(1, t\#\#+2),$



$And(eclose\_n2\_fm(0,t\#+2),union\_fm(1,0,en\#+2))))$

**lemma** *ecloseN\_fm\_type* [TC] :  
 $\llbracket en \in nat ; t \in nat \rrbracket \implies ecloseN\_fm(en,t) \in formula$   
 <proof>

**lemma** *sats\_ecloseN\_fm* [simp]:  
 $\llbracket en \in nat ; t \in nat ; env \in list(A) \rrbracket$   
 $\implies sats(A, ecloseN\_fm(en,t), env) \longleftrightarrow is\_ecloseN(\#\#A,nth(en,env),nth(t,env))$   
 <proof>

**definition**

*frecR* ::  $i \Rightarrow i \Rightarrow o$  **where**  
 $frecR(x,y) \equiv$   
 $(ftype(x) = 1 \wedge ftype(y) = 0$   
 $\wedge (name1(x) \in domain(name1(y)) \cup domain(name2(y)) \wedge (name2(x) =$   
 $name1(y) \vee name2(x) = name2(y))))$   
 $\vee (ftype(x) = 0 \wedge ftype(y) = 1 \wedge name1(x) = name1(y) \wedge name2(x) \in$   
 $domain(name2(y)))$

**lemma** *frecR\_ftypeD* :  
**assumes** *frecR(x,y)*  
**shows**  $(ftype(x) = 0 \wedge ftype(y) = 1) \vee (ftype(x) = 1 \wedge ftype(y) = 0)$   
 <proof>

**lemma** *frecRI1*:  $s \in domain(n1) \vee s \in domain(n2) \implies frecR(\langle 1, s, n1, q \rangle, \langle 0, n1, n2, q \rangle)$   
 <proof>

**lemma** *frecRI1'*:  $s \in domain(n1) \cup domain(n2) \implies frecR(\langle 1, s, n1, q \rangle, \langle 0, n1, n2, q \rangle)$   
 <proof>

**lemma** *frecRI2*:  $s \in domain(n1) \vee s \in domain(n2) \implies frecR(\langle 1, s, n2, q \rangle, \langle 0, n1, n2, q \rangle)$   
 <proof>

**lemma** *frecRI2'*:  $s \in domain(n1) \cup domain(n2) \implies frecR(\langle 1, s, n2, q \rangle, \langle 0, n1, n2, q \rangle)$   
 <proof>

**lemma** *frecRI3*:  $\langle s, r \rangle \in n2 \implies frecR(\langle 0, n1, s, q \rangle, \langle 1, n1, n2, q \rangle)$   
 <proof>

**lemma** *frecRI3'*:  $s \in domain(n2) \implies frecR(\langle 0, n1, s, q \rangle, \langle 1, n1, n2, q \rangle)$   
 <proof>

**lemma** *frecR\_iff* :

$frecR(x,y) \longleftrightarrow$   
 $(ftype(x) = 1 \wedge ftype(y) = 0$   
 $\wedge (name1(x) \in domain(name1(y)) \cup domain(name2(y)) \wedge (name2(x) =$   
 $name1(y) \vee name2(x) = name2(y))))$   
 $\vee (ftype(x) = 0 \wedge ftype(y) = 1 \wedge name1(x) = name1(y) \wedge name2(x) \in$   
 $domain(name2(y)))$   
 ⟨proof⟩

**lemma** *frecR\_D1* :

$frecR(x,y) \implies ftype(y) = 0 \implies ftype(x) = 1 \wedge$   
 $(name1(x) \in domain(name1(y)) \cup domain(name2(y)) \wedge (name2(x) =$   
 $name1(y) \vee name2(x) = name2(y)))$   
 ⟨proof⟩

**lemma** *frecR\_D2* :

$frecR(x,y) \implies ftype(y) = 1 \implies ftype(x) = 0 \wedge$   
 $ftype(x) = 0 \wedge ftype(y) = 1 \wedge name1(x) = name1(y) \wedge name2(x) \in$   
 $domain(name2(y))$   
 ⟨proof⟩

**lemma** *frecR\_DI* :

**assumes**  $frecR(\langle a,b,c,d \rangle, \langle ftype(y), name1(y), name2(y), cond\_of(y) \rangle)$   
**shows**  $frecR(\langle a,b,c,d \rangle, y)$   
 ⟨proof⟩

**definition**

$is\_frecR :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_frecR(M,x,y) \equiv \exists ftx[M]. \exists n1x[M]. \exists n2x[M]. \exists fty[M]. \exists n1y[M]. \exists n2y[M].$   
 $\exists dn1[M]. \exists dn2[M].$   
 $is\_ftype(M,x,ftx) \wedge is\_name1(M,x,n1x) \wedge is\_name2(M,x,n2x) \wedge$   
 $is\_ftype(M,y,fty) \wedge is\_name1(M,y,n1y) \wedge is\_name2(M,y,n2y)$   
 $\wedge is\_domain(M,n1y,dn1) \wedge is\_domain(M,n2y,dn2) \wedge$   
 $( (number1(M,ftx) \wedge empty(M,fty) \wedge (n1x \in dn1 \vee n1x \in dn2) \wedge (n2x$   
 $= n1y \vee n2x = n2y))$   
 $\vee (empty(M,ftx) \wedge number1(M,fty) \wedge n1x = n1y \wedge n2x \in dn2))$

**schematic\_goal** *sats\_frecR\_fm\_auto*:

**assumes**  
 $a \in nat \ b \in nat \ env \in list(A)$   
**shows**  
 $is\_frecR(\#\#A, nth(a, env), nth(b, env)) \longleftrightarrow sats(A, ?fr\_fm(a), env)$   
 ⟨proof⟩

⟨ML⟩

**lemma** *frecR\_fm\_type[TC]* :

$\llbracket a \in nat; b \in nat \rrbracket \implies frecR\_fm(a,b) \in formula$   
 ⟨proof⟩

**lemma** *sats\_frecR\_fm* :  
**assumes**  $a \in \text{nat } b \in \text{nat } \text{env} \in \text{list}(A)$   
**shows**  $\text{sats}(A, \text{frecR\_fm}(a, b), \text{env}) \longleftrightarrow \text{is\_frecR}(\#\#A, \text{nth}(a, \text{env}), \text{nth}(b, \text{env}))$   
 $\langle \text{proof} \rangle$

**lemma** *is\_frecR\_iff\_sats*:  
**assumes**  
 $\text{nth}(a, \text{env}) = aa \ \text{nth}(b, \text{env}) = bb \ a \in \text{nat } b \in \text{nat } \text{env} \in \text{list}(A)$   
**shows**  
 $\text{is\_frecR}(\#\#A, aa, bb) \longleftrightarrow \text{sats}(A, \text{frecR\_fm}(a, b), \text{env})$   
 $\langle \text{proof} \rangle$

**lemma** *eq\_ftypep\_not\_frecR*:  
**assumes**  $\text{ftype}(x) = \text{ftype}(y)$   
**shows**  $\neg \text{frecR}(x, y)$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{rank\_names} :: i \Rightarrow i$  **where**  
 $\text{rank\_names}(x) == \max(\text{rank}(\text{name1}(x)), \text{rank}(\text{name2}(x)))$

**lemma** *rank\_names\_types* [TC]:  
**shows**  $\text{Ord}(\text{rank\_names}(x))$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{mtype\_form} :: i \Rightarrow i$  **where**  
 $\text{mtype\_form}(x) == \text{if } \text{rank}(\text{name1}(x)) < \text{rank}(\text{name2}(x)) \text{ then } 0 \text{ else } 2$

**definition**  
 $\text{type\_form} :: i \Rightarrow i$  **where**  
 $\text{type\_form}(x) == \text{if } \text{ftype}(x) = 0 \text{ then } 1 \text{ else } \text{mtype\_form}(x)$

**lemma** *type\_form\_tc* [TC]:  
**shows**  $\text{type\_form}(x) \in \mathcal{3}$   
 $\langle \text{proof} \rangle$

**lemma** *frecR\_le\_rnk\_names* :  
**assumes**  $\text{frecR}(x, y)$   
**shows**  $\text{rank\_names}(x) \leq \text{rank\_names}(y)$   
 $\langle \text{proof} \rangle$

**definition**  
 $\Gamma :: i \Rightarrow i$  **where**

$\Gamma(x) = 3 ** rank\_names(x) ++ type\_form(x)$

**lemma**  $\Gamma\_type$  [TC]:  
**shows**  $Ord(\Gamma(x))$   
 ⟨proof⟩

**lemma**  $\Gamma\_mono$  :  
**assumes**  $frecR(x,y)$   
**shows**  $\Gamma(x) < \Gamma(y)$   
 ⟨proof⟩

**definition**  
 $frecrel :: i \Rightarrow i$  **where**  
 $frecrel(A) \equiv Rrel(frecR,A)$

**lemma**  $frecrelI$  :  
**assumes**  $x \in A \ y \in A \ frecR(x,y)$   
**shows**  $\langle x,y \rangle \in frecrel(A)$   
 ⟨proof⟩

**lemma**  $frecrelD$  :  
**assumes**  $\langle x,y \rangle \in frecrel(A1 \times A2 \times A3 \times A4)$   
**shows**  $f_{type}(x) \in A1 \ f_{type}(y) \in A1$   
 $name1(x) \in A2 \ name1(y) \in A2 \ name2(x) \in A3 \ name2(y) \in A3$   
 $cond\_of(x) \in A4 \ cond\_of(y) \in A4$   
 $frecR(x,y)$   
 ⟨proof⟩

**lemma**  $wf\_frecrel$  :  
**shows**  $wf(frecrel(A))$   
 ⟨proof⟩

**lemma**  $core\_induction\_aux$ :  
**fixes**  $A1 \ A2 :: i$   
**assumes**  
 $Transset(A1)$   
 $\bigwedge \tau \ \vartheta \ p. \ p \in A2 \Longrightarrow \llbracket \bigwedge q \ \sigma. \llbracket q \in A2 ; \sigma \in domain(\vartheta) \rrbracket \Longrightarrow Q(0,\tau,\sigma,q) \rrbracket \Longrightarrow$   
 $Q(1,\tau,\vartheta,p)$   
 $\bigwedge \tau \ \vartheta \ p. \ p \in A2 \Longrightarrow \llbracket \bigwedge q \ \sigma. \llbracket q \in A2 ; \sigma \in domain(\tau) \cup domain(\vartheta) \rrbracket \Longrightarrow$   
 $Q(1,\sigma,\tau,q) \wedge Q(1,\sigma,\vartheta,q) \rrbracket \Longrightarrow Q(0,\tau,\vartheta,p)$   
**shows**  $a \in 2 \times A1 \times A1 \times A2 \Longrightarrow Q(ftype(a),name1(a),name2(a),cond\_of(a))$   
 ⟨proof⟩

**lemma**  $def\_frecrel$  :  $frecrel(A) = \{z \in A \times A. \exists x \ y. z = \langle x, y \rangle \wedge frecR(x,y)\}$   
 ⟨proof⟩

**lemma**  $frecrel\_fst\_snd$ :  
 $frecrel(A) = \{z \in A \times A .$

$$\begin{aligned}
& \text{ftype}(\text{fst}(z)) = 1 \wedge \\
& \text{ftype}(\text{snd}(z)) = 0 \wedge \text{name1}(\text{fst}(z)) \in \text{domain}(\text{name1}(\text{snd}(z))) \cup \text{do-} \\
& \text{main}(\text{name2}(\text{snd}(z))) \wedge \\
& (\text{name2}(\text{fst}(z)) = \text{name1}(\text{snd}(z)) \vee \text{name2}(\text{fst}(z)) = \text{name2}(\text{snd}(z))) \\
& \vee (\text{ftype}(\text{fst}(z)) = 0 \wedge \\
& \text{ftype}(\text{snd}(z)) = 1 \wedge \text{name1}(\text{fst}(z)) = \text{name1}(\text{snd}(z)) \wedge \text{name2}(\text{fst}(z)) \in \\
& \text{domain}(\text{name2}(\text{snd}(z)))) \} \\
& \langle \text{proof} \rangle
\end{aligned}$$

**end**

## 15 Arities of internalized formulas

**theory** *Arities*

**imports** *FrecR*

*ZF-Constructible-Trans.Formula*

*ZF-Constructible-Trans.L\_axioms*

**begin**

**lemma** *arity\_upair\_fm* :  $\llbracket t1 \in \text{nat} ; t2 \in \text{nat} ; up \in \text{nat} \rrbracket \implies$   
 $\text{arity}(\text{upair\_fm}(t1, t2, up)) = \bigcup \{ \text{succ}(t1), \text{succ}(t2), \text{succ}(up) \}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_pair\_fm* :  $\llbracket t1 \in \text{nat} ; t2 \in \text{nat} ; p \in \text{nat} \rrbracket \implies$   
 $\text{arity}(\text{pair\_fm}(t1, t2, p)) = \bigcup \{ \text{succ}(t1), \text{succ}(t2), \text{succ}(p) \}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_composition\_fm* :  
 $\llbracket r \in \text{nat} ; s \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{composition\_fm}(r, s, t)) = \bigcup \{ \text{succ}(r),$   
 $\text{succ}(s), \text{succ}(t) \}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_domain\_fm* :  
 $\llbracket r \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{domain\_fm}(r, z)) = \text{succ}(r) \cup \text{succ}(z)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_range\_fm* :  
 $\llbracket r \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{range\_fm}(r, z)) = \text{succ}(r) \cup \text{succ}(z)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_union\_fm* :  
 $\llbracket x \in \text{nat} ; y \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{union\_fm}(x, y, z)) = \bigcup \{ \text{succ}(x), \text{succ}(y),$   
 $\text{succ}(z) \}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_image\_fm* :  
 $\llbracket x \in \text{nat} ; y \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{image\_fm}(x, y, z)) = \bigcup \{ \text{succ}(x), \text{succ}(y),$

$\text{succ}(z)\}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_pre\_image\_fm* :

$\llbracket x \in \text{nat} ; y \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{pre\_image\_fm}(x,y,z)) = \cup \{\text{succ}(x), \text{succ}(y), \text{succ}(z)\}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_big\_union\_fm* :

$\llbracket x \in \text{nat} ; y \in \text{nat} \rrbracket \implies \text{arity}(\text{big\_union\_fm}(x,y)) = \text{succ}(x) \cup \text{succ}(y)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_fun\_apply\_fm* :

$\llbracket x \in \text{nat} ; y \in \text{nat} ; f \in \text{nat} \rrbracket \implies$   
 $\text{arity}(\text{fun\_apply\_fm}(f,x,y)) = \text{succ}(f) \cup \text{succ}(x) \cup \text{succ}(y)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_field\_fm* :

$\llbracket r \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{field\_fm}(r,z)) = \text{succ}(r) \cup \text{succ}(z)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_empty\_fm* :

$\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{empty\_fm}(r)) = \text{succ}(r)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_succ\_fm* :

$\llbracket x \in \text{nat}; y \in \text{nat} \rrbracket \implies \text{arity}(\text{succ\_fm}(x,y)) = \text{succ}(x) \cup \text{succ}(y)$   
 $\langle \text{proof} \rangle$

**lemma** *number1arity\_fm* :

$\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{number1\_fm}(r)) = \text{succ}(r)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_function\_fm* :

$\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{function\_fm}(r)) = \text{succ}(r)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_relation\_fm* :

$\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{relation\_fm}(r)) = \text{succ}(r)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_restriction\_fm* :

$\llbracket r \in \text{nat} ; z \in \text{nat} ; A \in \text{nat} \rrbracket \implies \text{arity}(\text{restriction\_fm}(A,z,r)) = \text{succ}(A) \cup \text{succ}(r) \cup \text{succ}(z)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_typed\_function\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} ; y \in \text{nat} ; f \in \text{nat} \rrbracket \implies \\ & \text{arity}(\text{typed\_function\_fm}(f,x,y)) = \bigcup \{ \text{succ}(f), \text{succ}(x), \text{succ}(y) \} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_subset\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} ; y \in \text{nat} \rrbracket \implies \text{arity}(\text{subset\_fm}(x,y)) = \text{succ}(x) \cup \text{succ}(y) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_transset\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{transset\_fm}(x)) = \text{succ}(x) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_ordinal\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{ordinal\_fm}(x)) = \text{succ}(x) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_limit\_ordinal\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{limit\_ordinal\_fm}(x)) = \text{succ}(x) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_finite\_ordinal\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{finite\_ordinal\_fm}(x)) = \text{succ}(x) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_omega\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{omega\_fm}(x)) = \text{succ}(x) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_cartprod\_fm* :

$$\begin{aligned} & \llbracket A \in \text{nat} ; B \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{cartprod\_fm}(A,B,z)) = \text{succ}(A) \cup \text{succ}(B) \\ & \cup \text{succ}(z) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_fst\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{fst\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_snd\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{snd\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_snd\_snd\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{snd\_snd\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_fstype\_fm* :

$$\begin{aligned} & \llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{fstype\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t) \end{aligned}$$

*<proof>*

**lemma** *name1arity\_fm* :

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{name1\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$   
*<proof>*

**lemma** *name2arity\_fm* :

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{name2\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$   
*<proof>*

**lemma** *arity\_cond\_of\_fm* :

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{cond\_of\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$   
*<proof>*

**lemma** *arity\_singleton\_fm* :

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{singleton\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$   
*<proof>*

**lemma** *arity\_Memrel\_fm* :

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{Memrel\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$   
*<proof>*

**lemma** *arity\_quasinat\_fm* :

$\llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{quasinat\_fm}(x)) = \text{succ}(x)$   
*<proof>*

**lemma** *arity\_is\_recfun\_fm* :

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$   
 $\text{arity}(\text{is\_recfun\_fm}(p,v,n,Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))$   
*<proof>*

**lemma** *arity\_is\_wfrec\_fm* :

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$   
 $\text{arity}(\text{is\_wfrec\_fm}(p,v,n,Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))$   
*<proof>*

**lemma** *arity\_is\_nat\_case\_fm* :

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$   
 $\text{arity}(\text{is\_nat\_case\_fm}(v,p,n,Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(i))$   
*<proof>*

**lemma** *arity\_iterates\_MH\_fm* :

**assumes**  $\text{isF} \in \text{formula} \ v \in \text{nat} \ n \in \text{nat} \ g \in \text{nat} \ z \in \text{nat} \ i \in \text{nat}$

$\text{arity}(\text{isF}) = i$

**shows**  $\text{arity}(\text{iterates\_MH\_fm}(\text{isF},v,n,g,z)) =$

$\text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(g) \cup \text{succ}(z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))$

*<proof>*

**lemma** *arity\_is\_iterates\_fm* :



**assumes**  $p \in \text{formula } v \in \text{nat } n \in \text{nat } Z \in \text{nat } i \in \text{nat}$   
 $\text{arity}(p) = i$   
**shows**  $\text{arity}(\text{is\_iterates\_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup$   
 $\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))))))))$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_eclose\_n\_fm* :  
**assumes**  $A \in \text{nat } x \in \text{nat } t \in \text{nat}$   
**shows**  $\text{arity}(\text{eclose\_n\_fm}(A, x, t)) = \text{succ}(A) \cup \text{succ}(x) \cup \text{succ}(t)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_mem\_eclose\_fm* :  
**assumes**  $x \in \text{nat } t \in \text{nat}$   
**shows**  $\text{arity}(\text{mem\_eclose\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_is\_eclose\_fm* :  
 $\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{is\_eclose\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$   
 $\langle \text{proof} \rangle$

**lemma** *eclose\_n1\_arity\_fm* :  
 $\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{eclose\_n1\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$   
 $\langle \text{proof} \rangle$

**lemma** *eclose\_n2\_arity\_fm* :  
 $\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{eclose\_n2\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_ecloseN\_fm* :  
 $\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{ecloseN\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_freR\_fm* :  
 $\llbracket a \in \text{nat}; b \in \text{nat} \rrbracket \implies \text{arity}(\text{freR\_fm}(a, b)) = \text{succ}(a) \cup \text{succ}(b)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_Collect\_fm* :  
**assumes**  $x \in \text{nat } y \in \text{nat } p \in \text{formula}$   
**shows**  $\text{arity}(\text{Collect\_fm}(x, p, y)) = \text{succ}(x) \cup \text{succ}(y) \cup \text{pred}(\text{arity}(p))$   
 $\langle \text{proof} \rangle$

**end**

## 16 The definition of forces

**theory** *Forces\_Definition* **imports** *Arities FrecR Synthetic\_Definition* **begin**

This is the core of our development.

## 16.1 The relation *frecrel*

### definition

$frecrelP :: [i \Rightarrow o, i] \Rightarrow o$  **where**  
 $frecrelP(M, xy) \equiv (\exists x[M]. \exists y[M]. pair(M, x, y, xy) \wedge is\_frecrelR(M, x, y))$

### definition

$frecrelP\_fm :: i \Rightarrow i$  **where**  
 $frecrelP\_fm(a) == Exists(Exists(And(pair\_fm(1, 0, a\#+2), frecrelR\_fm(1, 0))))$

### lemma *arity\_frecrelP\_fm* :

$a \in nat \implies arity(frecrelP\_fm(a)) = succ(a)$   
 $\langle proof \rangle$

### lemma *frecrelP\_fm\_type*[TC] :

$a \in nat \implies frecrelP\_fm(a) \in formula$   
 $\langle proof \rangle$

### lemma *sats\_frecrelP\_fm* :

**assumes**  $a \in nat \ env \in list(A)$   
**shows**  $sats(A, frecrelP\_fm(a), env) \longleftrightarrow frecrelP(\#\#A, nth(a, env))$   
 $\langle proof \rangle$

### lemma *frecrelP\_iff\_sats*:

**assumes**  
 $nth(a, env) = aa \ a \in nat \ env \in list(A)$   
**shows**  
 $frecrelP(\#\#A, aa) \longleftrightarrow sats(A, frecrelP\_fm(a), env)$   
 $\langle proof \rangle$

### definition

$is\_frecrel :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_frecrel(M, A, r) \equiv \exists A2[M]. cartprod(M, A, A, A2) \wedge is\_Collect(M, A2, frecrelP(M), r)$

### definition

$frecrel\_fm :: [i, i] \Rightarrow i$  **where**  
 $frecrel\_fm(a, r) \equiv Exists(And(cartprod\_fm(a\#+1, a\#+1, 0), Collect\_fm(0, frecrelP\_fm(0), r\#+1)))$

### lemma *frecrel\_fm\_type*[TC] :

$\llbracket a \in nat; b \in nat \rrbracket \implies frecrel\_fm(a, b) \in formula$   
 $\langle proof \rangle$

### lemma *arity\_frecrel\_fm* :

**assumes**  $a \in nat \ b \in nat$   
**shows**  $arity(frecrel\_fm(a, b)) = succ(a) \cup succ(b)$   
 $\langle proof \rangle$

**lemma** *sats\_frecrel\_fm* :

**assumes**

$a \in \text{nat} \quad r \in \text{nat} \quad \text{env} \in \text{list}(A)$

**shows**

$\text{sats}(A, \text{frecrel\_fm}(a, r), \text{env})$

$\longleftrightarrow \text{is\_frecrel}(\#\#A, \text{nth}(a, \text{env}), \text{nth}(r, \text{env}))$

*<proof>*

**lemma** *is\_frecrel\_iff\_sats*:

**assumes**

$\text{nth}(a, \text{env}) = aa \quad \text{nth}(r, \text{env}) = rr \quad a \in \text{nat} \quad r \in \text{nat} \quad \text{env} \in \text{list}(A)$

**shows**

$\text{is\_frecrel}(\#\#A, aa, rr) \longleftrightarrow \text{sats}(A, \text{frecrel\_fm}(a, r), \text{env})$

*<proof>*

**definition**

*names\_below* ::  $i \Rightarrow i \Rightarrow i$  **where**

$\text{names\_below}(P, x) \equiv 2 \times \text{ecloseN}(x) \times \text{ecloseN}(x) \times P$

**lemma** *names\_belowsD*:

**assumes**  $x \in \text{names\_below}(P, z)$

**obtains**  $f \ n1 \ n2 \ p$  **where**

$x = \langle f, n1, n2, p \rangle \quad f \in 2 \quad n1 \in \text{ecloseN}(z) \quad n2 \in \text{ecloseN}(z) \quad p \in P$

*<proof>*

**definition**

*is\_names\_below* ::  $[i \Rightarrow o, i, i, i] \Rightarrow o$  **where**

$\text{is\_names\_below}(M, P, x, nb) == \exists p1[M]. \exists p0[M]. \exists t[M]. \exists ec[M].$

$\text{is\_ecloseN}(M, ec, x) \wedge \text{number2}(M, t) \wedge \text{cartprod}(M, ec, P, p0) \wedge \text{cartprod}(M, ec, p0, p1)$

$\wedge \text{cartprod}(M, t, p1, nb)$

**definition**

*number2\_fm* ::  $i \Rightarrow i$  **where**

$\text{number2\_fm}(a) == \text{Exists}(\text{And}(\text{number1\_fm}(0), \text{succ\_fm}(0, \text{succ}(a))))$

**lemma** *number2\_fm\_type*[TC] :

$a \in \text{nat} \implies \text{number2\_fm}(a) \in \text{formula}$

*<proof>*

**lemma** *number2arity\_fm* :

$a \in \text{nat} \implies \text{arity}(\text{number2\_fm}(a)) = \text{succ}(a)$

*<proof>*

**lemma** *sats\_number2\_fm* [simp]:

$[| x \in \text{nat}; \text{env} \in \text{list}(A) |]$

$\implies \text{sats}(A, \text{number2\_fm}(x), \text{env}) \longleftrightarrow \text{number2}(\#\#A, \text{nth}(x, \text{env}))$

*<proof>*

**definition**

$is\_names\_below\_fm :: [i, i, i] \Rightarrow i$  **where**  
 $is\_names\_below\_fm(P, x, nb) == \text{Exists}(\text{Exists}(\text{Exists}(\text{Exists}(\text{And}(\text{ecloseN\_fm}(0, x \# + 4), \text{And}(\text{number2\_fm}(1), \text{And}(\text{cartprod\_fm}(0, P \# + 4, 2), \text{And}(\text{cartprod\_fm}(0, 2, 3), \text{cartprod\_fm}(1, 3, nb \# + 4))))))))))$

**lemma** *arity\_is\_names\_below\_fm* :

$\llbracket P \in nat ; x \in nat ; nb \in nat \rrbracket \Longrightarrow \text{arity}(is\_names\_below\_fm(P, x, nb)) = \text{succ}(P) \cup \text{succ}(x) \cup \text{succ}(nb)$   
 $\langle proof \rangle$

**lemma** *is\_names\_below\_fm\_type*[TC]:

$\llbracket P \in nat ; x \in nat ; nb \in nat \rrbracket \Longrightarrow is\_names\_below\_fm(P, x, nb) \in formula$   
 $\langle proof \rangle$

**lemma** *sats\_is\_names\_below\_fm* :**assumes** $P \in nat \ x \in nat \ nb \in nat \ env \in list(A)$ **shows** $sats(A, is\_names\_below\_fm(P, x, nb), env)$  $\longleftrightarrow is\_names\_below(\#\#A, nth(P, env), nth(x, env), nth(nb, env))$  $\langle proof \rangle$ **definition**

$is\_tuple :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $is\_tuple(M, z, t1, t2, p, t) == \exists t1t2p[M]. \exists t2p[M]. \text{pair}(M, t2, p, t2p) \wedge \text{pair}(M, t1, t2p, t1t2p) \wedge \text{pair}(M, z, t1t2p, t)$

**definition**

$is\_tuple\_fm :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $is\_tuple\_fm(z, t1, t2, p, tup) = \text{Exists}(\text{Exists}(\text{And}(\text{pair\_fm}(t2 \# + 2, p \# + 2, 0), \text{And}(\text{pair\_fm}(t1 \# + 2, 0, 1), \text{pair\_fm}(z \# + 2, 1, tup \# + 2))))))$

**lemma** *arity\_is\_tuple\_fm* :  $\llbracket z \in nat ; t1 \in nat ; t2 \in nat ; p \in nat ; tup \in nat \rrbracket \Longrightarrow$ 

$\text{arity}(is\_tuple\_fm(z, t1, t2, p, tup)) = \bigcup \{ \text{succ}(z), \text{succ}(t1), \text{succ}(t2), \text{succ}(p), \text{succ}(tup) \}$   
 $\langle proof \rangle$

**lemma** *is\_tuple\_fm\_type*[TC] :

$z \in nat \Longrightarrow t1 \in nat \Longrightarrow t2 \in nat \Longrightarrow p \in nat \Longrightarrow tup \in nat \Longrightarrow is\_tuple\_fm(z, t1, t2, p, tup) \in formula$

 $\langle proof \rangle$ **lemma** *sats\_is\_tuple\_fm* :**assumes**

$z \in \text{nat } t1 \in \text{nat } t2 \in \text{nat } p \in \text{nat } \text{tup} \in \text{nat } \text{env} \in \text{list}(A)$   
**shows**  
 $\text{sats}(A, \text{is\_tuple\_fm}(z, t1, t2, p, \text{tup}), \text{env})$   
 $\longleftrightarrow \text{is\_tuple}(\#\#A, \text{nth}(z, \text{env}), \text{nth}(t1, \text{env}), \text{nth}(t2, \text{env}), \text{nth}(p, \text{env}), \text{nth}(\text{tup}, \text{env}))$   
 $\langle \text{proof} \rangle$

**lemma** *is\_tuple\_iff\_sats*:

**assumes**  
 $\text{nth}(a, \text{env}) = aa \ \text{nth}(b, \text{env}) = bb \ \text{nth}(c, \text{env}) = cc \ \text{nth}(d, \text{env}) = dd \ \text{nth}(e, \text{env}) = ee$   
 $a \in \text{nat } b \in \text{nat } c \in \text{nat } d \in \text{nat } e \in \text{nat } \text{env} \in \text{list}(A)$   
**shows**  
 $\text{is\_tuple}(\#\#A, aa, bb, cc, dd, ee) \longleftrightarrow \text{sats}(A, \text{is\_tuple\_fm}(a, b, c, d, e), \text{env})$   
 $\langle \text{proof} \rangle$

## 16.2 Definition of *forces* for equality and membership

**definition**

$\text{eq\_case} :: [i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{eq\_case}(t1, t2, p, P, \text{leq}, f) \equiv \forall s. s \in \text{domain}(t1) \cup \text{domain}(t2) \longrightarrow$   
 $(\forall q. q \in P \wedge \langle q, p \rangle \in \text{leq} \longrightarrow (f' \langle 1, s, t1, q \rangle = 1 \longleftrightarrow f' \langle 1, s, t2, q \rangle = 1))$

**definition**

$\text{is\_eq\_case} :: [i \Rightarrow o, i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{is\_eq\_case}(M, t1, t2, p, P, \text{leq}, f) \equiv$   
 $\forall s[M]. (\exists d[M]. \text{is\_domain}(M, t1, d) \wedge s \in d) \vee (\exists d[M]. \text{is\_domain}(M, t2, d) \wedge s \in d)$   
 $\longrightarrow (\forall q[M]. q \in P \wedge (\exists qp[M]. \text{pair}(M, q, p, qp) \wedge qp \in \text{leq}) \longrightarrow$   
 $(\exists \text{ost1}q[M]. \exists \text{ost2}q[M]. \exists o[M]. \exists \text{vf1}[M]. \exists \text{vf2}[M].$   
 $\text{is\_tuple}(M, o, s, t1, q, \text{ost1}q) \wedge$   
 $\text{is\_tuple}(M, o, s, t2, q, \text{ost2}q) \wedge \text{number1}(M, o) \wedge$   
 $\text{fun\_apply}(M, f, \text{ost1}q, \text{vf1}) \wedge \text{fun\_apply}(M, f, \text{ost2}q, \text{vf2}) \wedge$   
 $(\text{vf1} = o \longleftrightarrow \text{vf2} = o)))$

**definition**

$\text{mem\_case} :: [i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{mem\_case}(t1, t2, p, P, \text{leq}, f) \equiv \forall v \in P. \langle v, p \rangle \in \text{leq} \longrightarrow$   
 $(\exists q. \exists s. \exists r. r \in P \wedge q \in P \wedge \langle q, v \rangle \in \text{leq} \wedge \langle s, r \rangle \in t2 \wedge \langle q, r \rangle \in \text{leq} \wedge$   
 $f' \langle 0, t1, s, q \rangle = 1)$

**definition**

$\text{is\_mem\_case} :: [i \Rightarrow o, i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{is\_mem\_case}(M, t1, t2, p, P, \text{leq}, f) \equiv \forall v[M]. \forall vp[M]. v \in P \wedge \text{pair}(M, v, p, vp) \wedge$   
 $vp \in \text{leq} \longrightarrow$   
 $(\exists q[M]. \exists s[M]. \exists r[M]. \exists qv[M]. \exists sr[M]. \exists qr[M]. \exists z[M]. \exists \text{zt1sq}[M]. \exists o[M].$   
 $r \in P \wedge q \in P \wedge \text{pair}(M, q, v, qv) \wedge \text{pair}(M, s, r, sr) \wedge \text{pair}(M, q, r, qr) \wedge$

$$\text{empty}(M,z) \wedge \text{is\_tuple}(M,z,t1,s,q,zt1sq) \wedge \\ \text{number1}(M,o) \wedge qv \in \text{leq} \wedge sr \in t2 \wedge qr \in \text{leq} \wedge \text{fun\_apply}(M,f,zt1sq,o)$$

**schematic\_goal** *sats\_is\_mem\_case\_fm\_auto*:

**assumes**

$$n1 \in \text{nat} \ n2 \in \text{nat} \ p \in \text{nat} \ P \in \text{nat} \ \text{leq} \in \text{nat} \ f \in \text{nat} \ \text{env} \in \text{list}(A)$$

**shows**

$$\text{is\_mem\_case}(\#\#A, \text{nth}(n1, \text{env}), \text{nth}(n2, \text{env}), \text{nth}(p, \text{env}), \text{nth}(P, \text{env}), \text{nth}(\text{leq}, \\ \text{env}), \text{nth}(f, \text{env})) \\ \longleftrightarrow \text{sats}(A, ?\text{imc\_fm}(n1, n2, p, P, \text{leq}, f), \text{env}) \\ \langle \text{proof} \rangle$$

$\langle ML \rangle$

**lemma** *arity\_mem\_case\_fm* :

**assumes**

$$n1 \in \text{nat} \ n2 \in \text{nat} \ p \in \text{nat} \ P \in \text{nat} \ \text{leq} \in \text{nat} \ f \in \text{nat}$$

**shows**

$$\text{arity}(\text{mem\_case\_fm}(n1, n2, p, P, \text{leq}, f)) = \\ \text{succ}(n1) \cup \text{succ}(n2) \cup \text{succ}(p) \cup \text{succ}(P) \cup \text{succ}(\text{leq}) \cup \text{succ}(f) \\ \langle \text{proof} \rangle$$

**schematic\_goal** *sats\_is\_eq\_case\_fm\_auto*:

**assumes**

$$n1 \in \text{nat} \ n2 \in \text{nat} \ p \in \text{nat} \ P \in \text{nat} \ \text{leq} \in \text{nat} \ f \in \text{nat} \ \text{env} \in \text{list}(A)$$

**shows**

$$\text{is\_eq\_case}(\#\#A, \text{nth}(n1, \text{env}), \text{nth}(n2, \text{env}), \text{nth}(p, \text{env}), \text{nth}(P, \text{env}), \text{nth}(\text{leq}, \\ \text{env}), \text{nth}(f, \text{env})) \\ \longleftrightarrow \text{sats}(A, ?\text{iec\_fm}(n1, n2, p, P, \text{leq}, f), \text{env}) \\ \langle \text{proof} \rangle$$

$\langle ML \rangle$

**lemma** *arity\_eq\_case\_fm* :

**assumes**

$$n1 \in \text{nat} \ n2 \in \text{nat} \ p \in \text{nat} \ P \in \text{nat} \ \text{leq} \in \text{nat} \ f \in \text{nat}$$

**shows**

$$\text{arity}(\text{eq\_case\_fm}(n1, n2, p, P, \text{leq}, f)) = \\ \text{succ}(n1) \cup \text{succ}(n2) \cup \text{succ}(p) \cup \text{succ}(P) \cup \text{succ}(\text{leq}) \cup \text{succ}(f) \\ \langle \text{proof} \rangle$$

**lemma** *mem\_case\_fm\_type[TC]* :

$$\llbracket n1 \in \text{nat}; n2 \in \text{nat}; p \in \text{nat}; P \in \text{nat}; \text{leq} \in \text{nat}; f \in \text{nat} \rrbracket \implies \text{mem\_case\_fm}(n1, n2, p, P, \text{leq}, f) \in \text{formula} \\ \langle \text{proof} \rangle$$

**lemma** *eq\_case\_fm\_type[TC]* :

$$\llbracket n1 \in \text{nat}; n2 \in \text{nat}; p \in \text{nat}; P \in \text{nat}; \text{leq} \in \text{nat}; f \in \text{nat} \rrbracket \implies \text{eq\_case\_fm}(n1, n2, p, P, \text{leq}, f) \in \text{formula}$$

*<proof>*

**lemma** *sats\_eq\_case\_fm* :

**assumes**

$n1 \in \text{nat } n2 \in \text{nat } p \in \text{nat } P \in \text{nat } \text{leq} \in \text{nat } f \in \text{nat } \text{env} \in \text{list}(A)$

**shows**

$\text{sats}(A, \text{eq\_case\_fm}(n1, n2, p, P, \text{leq}, f), \text{env}) \longleftrightarrow$

$\text{is\_eq\_case}(\#\#A, \text{nth}(n1, \text{env}), \text{nth}(n2, \text{env}), \text{nth}(p, \text{env}), \text{nth}(P, \text{env}), \text{nth}(\text{leq}, \text{env}), \text{nth}(f, \text{env}))$

*<proof>*

**lemma** *sats\_mem\_case\_fm* :

**assumes**

$n1 \in \text{nat } n2 \in \text{nat } p \in \text{nat } P \in \text{nat } \text{leq} \in \text{nat } f \in \text{nat } \text{env} \in \text{list}(A)$

**shows**

$\text{sats}(A, \text{mem\_case\_fm}(n1, n2, p, P, \text{leq}, f), \text{env}) \longleftrightarrow$

$\text{is\_mem\_case}(\#\#A, \text{nth}(n1, \text{env}), \text{nth}(n2, \text{env}), \text{nth}(p, \text{env}), \text{nth}(P, \text{env}), \text{nth}(\text{leq}, \text{env}), \text{nth}(f, \text{env}))$

*<proof>*

**lemma** *mem\_case\_iff\_sats*:

**assumes**

$n1 \in \text{nat } n2 \in \text{nat } p \in \text{nat } P \in \text{nat } \text{leq} \in \text{nat } f \in \text{nat } \text{env} \in \text{list}(A)$

$\text{nth}(n1, \text{env}) = nn1 \text{ nth}(n2, \text{env}) = nn2 \text{ nth}(p, \text{env}) = pp \text{ nth}(P, \text{env}) = PP$

$\text{nth}(\text{leq}, \text{env}) = \text{lleq} \text{ nth}(f, \text{env}) = \text{ff}$

**shows**

$\text{is\_mem\_case}(\#\#A, nn1, nn2, pp, PP, \text{lleq}, \text{ff})$

$\longleftrightarrow \text{sats}(A, \text{mem\_case\_fm}(n1, n2, p, P, \text{leq}, f), \text{env})$

*<proof>*

**lemma** *eq\_case\_iff\_sats* :

**assumes**

$n1 \in \text{nat } n2 \in \text{nat } p \in \text{nat } P \in \text{nat } \text{leq} \in \text{nat } f \in \text{nat } \text{env} \in \text{list}(A)$

$\text{nth}(n1, \text{env}) = nn1 \text{ nth}(n2, \text{env}) = nn2 \text{ nth}(p, \text{env}) = pp \text{ nth}(P, \text{env}) = PP$

$\text{nth}(\text{leq}, \text{env}) = \text{lleq} \text{ nth}(f, \text{env}) = \text{ff}$

**shows**

$\text{is\_eq\_case}(\#\#A, nn1, nn2, pp, PP, \text{lleq}, \text{ff})$

$\longleftrightarrow \text{sats}(A, \text{eq\_case\_fm}(n1, n2, p, P, \text{leq}, f), \text{env})$

*<proof>*

**definition**

*Hfrc* ::  $[i, i, i, i] \Rightarrow o$  **where**

$\text{Hfrc}(P, \text{leq}, \text{fnnc}, f) \equiv \exists ft. \exists n1. \exists n2. \exists c. c \in P \wedge \text{fnnc} = \langle ft, n1, n2, c \rangle \wedge$

$(ft = 0 \wedge \text{eq\_case}(n1, n2, c, P, \text{leq}, f)$

$\vee ft = 1 \wedge \text{mem\_case}(n1, n2, c, P, \text{leq}, f))$

**definition**

*is\_Hfrc* ::  $[i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**

$\text{is\_Hfrc}(M, P, \text{leq}, \text{fnnc}, f) \equiv$

$$\begin{aligned} & \exists ft[M]. \exists n1[M]. \exists n2[M]. \exists co[M]. \\ & co \in P \wedge is\_tuple(M, ft, n1, n2, co, fnnc) \wedge \\ & ( (empty(M, ft) \wedge is\_eq\_case(M, n1, n2, co, P, leq, f)) \\ & \vee (number1(M, ft) \wedge is\_mem\_case(M, n1, n2, co, P, leq, f))) \end{aligned}$$

**definition**

$Hfrc\_fm :: [i, i, i, i] \Rightarrow i$  **where**  
 $Hfrc\_fm(P, leq, fnnc, f) \equiv$   
 $Exists(Exists(Exists(Exists($   
 $And(Member(0, P \# + 4), And(is\_tuple\_fm(3, 2, 1, 0, fnnc \# + 4),$   
 $Or(And(empty\_fm(3), eq\_case\_fm(2, 1, 0, P \# + 4, leq \# + 4, f \# + 4)),$   
 $And(number1\_fm(3), mem\_case\_fm(2, 1, 0, P \# + 4, leq \# + 4, f \# + 4)))))))))$

**lemma**  $Hfrc\_fm\_type[TC]$  :

$\llbracket P \in nat; leq \in nat; fnnc \in nat; f \in nat \rrbracket \Longrightarrow Hfrc\_fm(P, leq, fnnc, f) \in formula$   
 $\langle proof \rangle$

**lemma**  $arity\_Hfrc\_fm$  :

**assumes**

$P \in nat \ leq \in nat \ fnnc \in nat \ f \in nat$

**shows**

$arity(Hfrc\_fm(P, leq, fnnc, f)) = succ(P) \cup succ(leq) \cup succ(fnnc) \cup succ(f)$

$\langle proof \rangle$

**lemma**  $sats\_Hfrc\_fm$ :

**assumes**

$P \in nat \ leq \in nat \ fnnc \in nat \ f \in nat \ env \in list(A)$

**shows**

$sats(A, Hfrc\_fm(P, leq, fnnc, f), env)$

$\longleftrightarrow is\_Hfrc(\#\#A, nth(P, env), nth(leq, env), nth(fnnc, env), nth(f, env))$

$\langle proof \rangle$

**lemma**  $Hfrc\_iff\_sats$ :

**assumes**

$P \in nat \ leq \in nat \ fnnc \in nat \ f \in nat \ env \in list(A)$

$nth(P, env) = PP \ nth(leq, env) = lleq \ nth(fnnc, env) = ffnnc \ nth(f, env) = ff$

**shows**

$is\_Hfrc(\#\#A, PP, lleq, ffnnc, ff)$

$\longleftrightarrow sats(A, Hfrc\_fm(P, leq, fnnc, f), env)$

$\langle proof \rangle$

**definition**

$is\_Hfrc\_at :: [i \Rightarrow o, i, i, i, i, i] \Rightarrow o$  **where**  
 $is\_Hfrc\_at(M, P, leq, fnnc, f, z) \equiv$   
 $(empty(M, z) \wedge \neg is\_Hfrc(M, P, leq, fnnc, f))$   
 $\vee (number1(M, z) \wedge is\_Hfrc(M, P, leq, fnnc, f))$

**definition**

$Hfrc\_at\_fm :: [i, i, i, i, i] \Rightarrow i$  **where**



$$\text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z) \equiv \text{Or}(\text{And}(\text{empty\_fm}(z), \text{Neg}(\text{Hfrc\_fm}(P, \text{leq}, \text{fnnc}, f))), \text{And}(\text{number1\_fm}(z), \text{Hfrc\_fm}(P, \text{leq}, \text{fnnc}, f)))$$

**lemma** *arity\_Hfrc\_at\_fm* :

**assumes**

$$P \in \text{nat} \quad \text{leq} \in \text{nat} \quad \text{fnnc} \in \text{nat} \quad f \in \text{nat} \quad z \in \text{nat}$$

**shows**

$$\begin{aligned} \text{arity}(\text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z)) &= \text{succ}(P) \cup \text{succ}(\text{leq}) \cup \text{succ}(\text{fnnc}) \cup \text{succ}(f) \\ &\cup \text{succ}(z) \\ &\langle \text{proof} \rangle \end{aligned}$$

**lemma** *Hfrc\_at\_fm\_type[TC]* :

$$\llbracket P \in \text{nat}; \text{leq} \in \text{nat}; \text{fnnc} \in \text{nat}; f \in \text{nat}; z \in \text{nat} \rrbracket \implies \text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z) \in \text{formula}$$

*<proof>*

**lemma** *sats\_Hfrc\_at\_fm*:

**assumes**

$$P \in \text{nat} \quad \text{leq} \in \text{nat} \quad \text{fnnc} \in \text{nat} \quad f \in \text{nat} \quad z \in \text{nat} \quad \text{env} \in \text{list}(A)$$

**shows**

$$\begin{aligned} &\text{sats}(A, \text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z), \text{env}) \\ \longleftrightarrow &\text{is\_Hfrc\_at}(\#\#A, \text{nth}(P, \text{env}), \text{nth}(\text{leq}, \text{env}), \text{nth}(\text{fnnc}, \text{env}), \text{nth}(f, \text{env}), \text{nth}(z, \\ &\text{env})) \\ &\langle \text{proof} \rangle \end{aligned}$$

**lemma** *is\_Hfrc\_at\_iff\_sats*:

**assumes**

$$\begin{aligned} &P \in \text{nat} \quad \text{leq} \in \text{nat} \quad \text{fnnc} \in \text{nat} \quad f \in \text{nat} \quad z \in \text{nat} \quad \text{env} \in \text{list}(A) \\ &\text{nth}(P, \text{env}) = PP \quad \text{nth}(\text{leq}, \text{env}) = \text{lleq} \quad \text{nth}(\text{fnnc}, \text{env}) = \text{ffnnc} \\ &\text{nth}(f, \text{env}) = \text{ff} \quad \text{nth}(z, \text{env}) = \text{zz} \end{aligned}$$

**shows**

$$\begin{aligned} &\text{is\_Hfrc\_at}(\#\#A, PP, \text{lleq}, \text{ffnnc}, \text{ff}, \text{zz}) \\ \longleftrightarrow &\text{sats}(A, \text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z), \text{env}) \\ &\langle \text{proof} \rangle \end{aligned}$$

**lemma** *arity\_tran\_closure\_fm* :

$$\llbracket x \in \text{nat}; f \in \text{nat} \rrbracket \implies \text{arity}(\text{tran\_closure\_fm}(x, f)) = \text{succ}(x) \cup \text{succ}(f)$$

*<proof>*

### 16.3 The well-founded relation *forcerel*

**definition**

$$\begin{aligned} &\text{forcerel} :: i \Rightarrow i \Rightarrow i \quad \textbf{where} \\ &\text{forcerel}(P, x) \equiv \text{frecrel}(\text{names\_below}(P, x)) \hat{+} \end{aligned}$$

**definition**

$$\begin{aligned} &\text{is\_forcerel} :: [i \Rightarrow o, i, i, i] \Rightarrow o \quad \textbf{where} \\ &\text{is\_forcerel}(M, P, x, z) \equiv \exists r[M]. \exists nb[M]. \text{tran\_closure}(M, r, z) \wedge \end{aligned}$$

$$(is\_names\_below(M, P, x, nb) \wedge is\_frecrel(M, nb, r))$$

**definition**

$forcere\_fm :: i \Rightarrow i \Rightarrow i \Rightarrow i$  **where**  
 $forcere\_fm(p, x, z) == \text{Exists}(\text{Exists}(\text{And}(\text{tran\_closure\_fm}(1, z\#\#2),$   
 $\text{And}(is\_names\_below\_fm(p\#\#2, x\#\#2, 0), frecrel\_fm(0, 1))))))$

**lemma** *arity\_forcere\_fm*:

$\llbracket p \in nat; x \in nat; z \in nat \rrbracket \Longrightarrow \text{arity}(forcere\_fm(p, x, z)) = \text{succ}(p) \cup \text{succ}(x) \cup \text{succ}(z)$

$\langle proof \rangle$

**lemma** *forcere\_fm\_type* [TC]:

$\llbracket p \in nat; x \in nat; z \in nat \rrbracket \Longrightarrow forcere\_fm(p, x, z) \in \text{formula}$

$\langle proof \rangle$

**lemma** *sats\_forcere\_fm*:

**assumes**

$p \in nat \ x \in nat \ z \in nat \ env \in \text{list}(A)$

**shows**

$\text{sats}(A, forcere\_fm(p, x, z), env) \longleftrightarrow is\_forcere(\#\#A, nth(p, env), nth(x, env), nth(z, env))$

$\langle proof \rangle$

## 16.4 *frc\_at*, forcing for atomic formulas

**definition**

$frc\_at :: [i, i, i] \Rightarrow i$  **where**  
 $frc\_at(P, leq, fnnc) \equiv wfrec(frecrel(\text{names\_below}(P, fnnc), fnnc,$   
 $\lambda x f. \text{bool\_of\_o}(Hfrc(P, leq, x, f)))$

**definition**

$is\_frc\_at :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $is\_frc\_at(M, P, leq, x, z) \equiv \exists r[M]. is\_forcere(M, P, x, r) \wedge$   
 $is\_wfrec(M, is\_Hfrc\_at(M, P, leq), r, x, z)$

**definition**

$frc\_at\_fm :: [i, i, i, i] \Rightarrow i$  **where**  
 $frc\_at\_fm(p, l, x, z) == \text{Exists}(\text{And}(\text{forcere\_fm}(\text{succ}(p), \text{succ}(x), 0),$   
 $is\_wfrec\_fm(Hfrc\_at\_fm(6\#\#+p, 6\#\#+l, 2, 1, 0), 0, \text{succ}(x), \text{succ}(z))))))$

**lemma** *frc\_at\_fm\_type* [TC] :

$\llbracket p \in nat; l \in nat; x \in nat; z \in nat \rrbracket \Longrightarrow frc\_at\_fm(p, l, x, z) \in \text{formula}$

$\langle proof \rangle$

**lemma** *arity\_frc\_at\_fm* :

**assumes**  $p \in \text{nat } l \in \text{nat } x \in \text{nat } z \in \text{nat}$   
**shows**  $\text{arity}(\text{frc\_at\_fm}(p, l, x, z)) = \text{succ}(p) \cup \text{succ}(l) \cup \text{succ}(x) \cup \text{succ}(z)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sats\_frc\_at\_fm}$  :

**assumes**  
 $p \in \text{nat } l \in \text{nat } i \in \text{nat } j \in \text{nat } \text{env} \in \text{list}(A) \ i < \text{length}(\text{env}) \ j < \text{length}(\text{env})$   
**shows**  
 $\text{sats}(A, \text{frc\_at\_fm}(p, l, i, j), \text{env}) \longleftrightarrow$   
 $\text{is\_frc\_at}(\#\#A, \text{nth}(p, \text{env}), \text{nth}(l, \text{env}), \text{nth}(i, \text{env}), \text{nth}(j, \text{env}))$   
 $\langle \text{proof} \rangle$

**definition**

$\text{forces\_eq}' :: [i, i, i, i] \Rightarrow o$  **where**  
 $\text{forces\_eq}'(P, l, p, t1, t2) \equiv \text{frc\_at}(P, l, \langle 0, t1, t2, p \rangle) = 1$

**definition**

$\text{forces\_mem}' :: [i, i, i, i] \Rightarrow o$  **where**  
 $\text{forces\_mem}'(P, l, p, t1, t2) \equiv \text{frc\_at}(P, l, \langle 1, t1, t2, p \rangle) = 1$

**definition**

$\text{forces\_neq}' :: [i, i, i, i] \Rightarrow o$  **where**  
 $\text{forces\_neq}'(P, l, p, t1, t2) \equiv \neg (\exists q \in P. \langle q, p \rangle \in l \wedge \text{forces\_eq}'(P, l, q, t1, t2))$

**definition**

$\text{forces\_nmem}' :: [i, i, i, i] \Rightarrow o$  **where**  
 $\text{forces\_nmem}'(P, l, p, t1, t2) \equiv \neg (\exists q \in P. \langle q, p \rangle \in l \wedge \text{forces\_mem}'(P, l, q, t1, t2))$

**definition**

$\text{is\_forces\_eq}' :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $\text{is\_forces\_eq}'(M, P, l, p, t1, t2) == \exists o[M]. \exists z[M]. \exists t[M]. \text{number1}(M, o) \wedge \text{empty}(M, z)$   
 $\wedge$   
 $\text{is\_tuple}(M, z, t1, t2, p, t) \wedge \text{is\_frc\_at}(M, P, l, t, o)$

**definition**

$\text{is\_forces\_mem}' :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $\text{is\_forces\_mem}'(M, P, l, p, t1, t2) == \exists o[M]. \exists t[M]. \text{number1}(M, o) \wedge$   
 $\text{is\_tuple}(M, o, t1, t2, p, t) \wedge \text{is\_frc\_at}(M, P, l, t, o)$

**definition**

$\text{is\_forces\_neq}' :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $\text{is\_forces\_neq}'(M, P, l, p, t1, t2) \equiv$   
 $\neg (\exists q[M]. q \in P \wedge (\exists qp[M]. \text{pair}(M, q, p, qp) \wedge qp \in l \wedge \text{is\_forces\_eq}'(M, P, l, q, t1, t2)))$

**definition**

$is\_forces\_nmem' :: [i \Rightarrow o, i, i, i, i, i] \Rightarrow o$  **where**  
 $is\_forces\_nmem'(M, P, l, p, t1, t2) \equiv$   
 $\neg (\exists q[M]. \exists qp[M]. q \in P \wedge pair(M, q, p, qp) \wedge qp \in l \wedge is\_forces\_mem'(M, P, l, q, t1, t2))$

**definition**

$forces\_eq\_fm :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $forces\_eq\_fm(p, l, q, t1, t2) \equiv$   
 $Exists(Exists(Exists(And(number1\_fm(2), And(empty\_fm(1),$   
 $And(is\_tuple\_fm(1, t1\#+3, t2\#+3, q\#+3, 0), frc\_at\_fm(p\#+3, l\#+3, 0, 2)$   
 $))))))$

**definition**

$forces\_mem\_fm :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $forces\_mem\_fm(p, l, q, t1, t2) \equiv Exists(Exists(And(number1\_fm(1),$   
 $And(is\_tuple\_fm(1, t1\#+2, t2\#+2, q\#+2, 0), frc\_at\_fm(p\#+2, l\#+2, 0, 1))))))$

**definition**

$forces\_neq\_fm :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $forces\_neq\_fm(p, l, q, t1, t2) \equiv Neg(Exists(Exists(And(Member(1, p\#+2),$   
 $And(pair\_fm(1, q\#+2, 0), And(Member(0, l\#+2), forces\_eq\_fm(p\#+2, l\#+2, 1, t1\#+2, t2\#+2))))))))$

**definition**

$forces\_nmem\_fm :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $forces\_nmem\_fm(p, l, q, t1, t2) \equiv Neg(Exists(Exists(And(Member(1, p\#+2),$   
 $And(pair\_fm(1, q\#+2, 0), And(Member(0, l\#+2), forces\_mem\_fm(p\#+2, l\#+2, 1, t1\#+2, t2\#+2))))))))$

**lemma**  $forces\_eq\_fm\_type$  [TC]:

$\llbracket p \in nat; l \in nat; q \in nat; t1 \in nat; t2 \in nat \rrbracket \Longrightarrow forces\_eq\_fm(p, l, q, t1, t2) \in formula$   
 $\langle proof \rangle$

**lemma**  $forces\_mem\_fm\_type$  [TC]:

$\llbracket p \in nat; l \in nat; q \in nat; t1 \in nat; t2 \in nat \rrbracket \Longrightarrow forces\_mem\_fm(p, l, q, t1, t2) \in formula$   
 $\langle proof \rangle$

**lemma**  $forces\_neq\_fm\_type$  [TC]:

$\llbracket p \in nat; l \in nat; q \in nat; t1 \in nat; t2 \in nat \rrbracket \Longrightarrow forces\_neq\_fm(p, l, q, t1, t2) \in formula$   
 $\langle proof \rangle$

**lemma**  $forces\_nmem\_fm\_type$  [TC]:

$\llbracket p \in nat; l \in nat; q \in nat; t1 \in nat; t2 \in nat \rrbracket \Longrightarrow forces\_nmem\_fm(p, l, q, t1, t2) \in formula$   
 $\langle proof \rangle$

**lemma**  $arity\_forces\_eq\_fm$  :

$p \in nat \Longrightarrow l \in nat \Longrightarrow q \in nat \Longrightarrow t1 \in nat \Longrightarrow t2 \in nat \Longrightarrow$

$arity(forces\_eq\_fm(p,l,q,t1,t2)) = succ(t1) \cup succ(t2) \cup succ(q) \cup succ(p) \cup succ(l)$   
 ⟨proof⟩

**lemma** *arity\_forces\_mem\_fm* :  
 $p \in nat \implies l \in nat \implies q \in nat \implies t1 \in nat \implies t2 \in nat \implies$   
 $arity(forces\_mem\_fm(p,l,q,t1,t2)) = succ(t1) \cup succ(t2) \cup succ(q) \cup succ(p) \cup succ(l)$   
 ⟨proof⟩

**lemma** *sats\_forces\_eq'\_fm*:  
**assumes**  $p \in nat \ l \in nat \ q \in nat \ t1 \in nat \ t2 \in nat \ env \in list(M)$   
**shows**  $sats(M, forces\_eq\_fm(p,l,q,t1,t2), env) \longleftrightarrow$   
 $is\_forces\_eq'(\#\#M, nth(p, env), nth(l, env), nth(q, env), nth(t1, env), nth(t2, env))$   
 ⟨proof⟩

**lemma** *sats\_forces\_mem'\_fm*:  
**assumes**  $p \in nat \ l \in nat \ q \in nat \ t1 \in nat \ t2 \in nat \ env \in list(M)$   
**shows**  $sats(M, forces\_mem\_fm(p,l,q,t1,t2), env) \longleftrightarrow$   
 $is\_forces\_mem'(\#\#M, nth(p, env), nth(l, env), nth(q, env), nth(t1, env), nth(t2, env))$   
 ⟨proof⟩

**lemma** *sats\_forces\_neq'\_fm*:  
**assumes**  $p \in nat \ l \in nat \ q \in nat \ t1 \in nat \ t2 \in nat \ env \in list(M)$   
**shows**  $sats(M, forces\_neq\_fm(p,l,q,t1,t2), env) \longleftrightarrow$   
 $is\_forces\_neq'(\#\#M, nth(p, env), nth(l, env), nth(q, env), nth(t1, env), nth(t2, env))$   
 ⟨proof⟩

**lemma** *sats\_forces\_nmem'\_fm*:  
**assumes**  $p \in nat \ l \in nat \ q \in nat \ t1 \in nat \ t2 \in nat \ env \in list(M)$   
**shows**  $sats(M, forces\_nmem\_fm(p,l,q,t1,t2), env) \longleftrightarrow$   
 $is\_forces\_nmem'(\#\#M, nth(p, env), nth(l, env), nth(q, env), nth(t1, env), nth(t2, env))$   
 ⟨proof⟩

**context** *forcing\_data*  
**begin**

**lemma** *fst\_abs* [*simp*]:  
 $\llbracket x \in M; y \in M \rrbracket \implies is\_fst(\#\#M, x, y) \longleftrightarrow y = fst(x)$   
 ⟨proof⟩

**lemma** *snd\_abs* [*simp*]:  
 $\llbracket x \in M; y \in M \rrbracket \implies is\_snd(\#\#M, x, y) \longleftrightarrow y = snd(x)$   
 ⟨proof⟩

**lemma** *fctype\_abs* [*simp*] :  
 $\llbracket x \in M; y \in M \rrbracket \implies is\_fctype(\#\#M, x, y) \longleftrightarrow y = fctype(x)$  ⟨proof⟩

**lemma** *name1\_abs[simp]* :  
 $\llbracket x \in M; y \in M \rrbracket \implies is\_name1(\#\#M, x, y) \longleftrightarrow y = name1(x)$   
 ⟨proof⟩

**lemma** *snd\_snd\_abs*:  
 $\llbracket x \in M; y \in M \rrbracket \implies is\_snd\_snd(\#\#M, x, y) \longleftrightarrow y = snd(snd(x))$   
 ⟨proof⟩

**lemma** *name2\_abs[simp]*:  
 $\llbracket x \in M; y \in M \rrbracket \implies is\_name2(\#\#M, x, y) \longleftrightarrow y = name2(x)$   
 ⟨proof⟩

**lemma** *cond\_of\_abs[simp]*:  
 $\llbracket x \in M; y \in M \rrbracket \implies is\_cond\_of(\#\#M, x, y) \longleftrightarrow y = cond\_of(x)$   
 ⟨proof⟩

**lemma** *tuple\_abs[simp]*:  
 $\llbracket z \in M; t1 \in M; t2 \in M; p \in M; t \in M \rrbracket \implies$   
 $is\_tuple(\#\#M, z, t1, t2, p, t) \longleftrightarrow t = \langle z, t1, t2, p \rangle$   
 ⟨proof⟩

**lemma** *oneN\_in\_M[simp]*:  $1 \in M$   
 ⟨proof⟩

**lemma** *twoN\_in\_M* :  $2 \in M$   
 ⟨proof⟩

**lemma** *comp\_in\_M*:  
 $p \preceq q \implies p \in M$   
 $p \preceq q \implies q \in M$   
 ⟨proof⟩

**lemma** *eq\_case\_abs [simp]*:  
**assumes**  
 $t1 \in M \ t2 \in M \ p \in M \ f \in M$   
**shows**  
 $is\_eq\_case(\#\#M, t1, t2, p, P, leq, f) \longleftrightarrow eq\_case(t1, t2, p, P, leq, f)$   
 ⟨proof⟩

**lemma** *mem\_case\_abs [simp]*:  
**assumes**  
 $t1 \in M \ t2 \in M \ p \in M \ f \in M$   
**shows**  
 $is\_mem\_case(\#\#M, t1, t2, p, P, leq, f) \longleftrightarrow mem\_case(t1, t2, p, P, leq, f)$   
 ⟨proof⟩

**lemma** *Hfrc\_abs*:

$\llbracket f\text{fnc} \in M; f \in M \rrbracket \implies$   
 $is\_Hfrc(\#\#M, P, leq, f\text{fnc}, f) \longleftrightarrow Hfrc(P, leq, f\text{fnc}, f)$   
 $\langle proof \rangle$

**lemma** *Hfrc\_at\_abs*:

$\llbracket f\text{fnc} \in M; f \in M; z \in M \rrbracket \implies$   
 $is\_Hfrc\_at(\#\#M, P, leq, f\text{fnc}, f, z) \longleftrightarrow z = \text{bool\_of\_o}(Hfrc(P, leq, f\text{fnc}, f))$   
 $\langle proof \rangle$

**lemma** *components\_closed* :

$x \in M \implies ftype(x) \in M$   
 $x \in M \implies name1(x) \in M$   
 $x \in M \implies name2(x) \in M$   
 $x \in M \implies cond\_of(x) \in M$   
 $\langle proof \rangle$

**lemma** *ecloseN\_closed*:

$(\#\#M)(A) \implies (\#\#M)(ecloseN(A))$   
 $(\#\#M)(A) \implies (\#\#M)(eclose\_n(name1, A))$   
 $(\#\#M)(A) \implies (\#\#M)(eclose\_n(name2, A))$   
 $\langle proof \rangle$

**lemma** *is\_eclose\_n\_abs* :

**assumes**  $x \in M$   $ec \in M$   
**shows**  $is\_eclose\_n(\#\#M, is\_name1, ec, x) \longleftrightarrow ec = eclose\_n(name1, x)$   
 $is\_eclose\_n(\#\#M, is\_name2, ec, x) \longleftrightarrow ec = eclose\_n(name2, x)$   
 $\langle proof \rangle$

**lemma** *is\_ecloseN\_abs* :

$\llbracket x \in M; ec \in M \rrbracket \implies is\_ecloseN(\#\#M, ec, x) \longleftrightarrow ec = ecloseN(x)$   
 $\langle proof \rangle$

**lemma** *frecR\_abs* :

$x \in M \implies y \in M \implies frecR(x, y) \longleftrightarrow is\_frecR(\#\#M, x, y)$   
 $\langle proof \rangle$

**lemma** *frecrelP\_abs* :

$z \in M \implies frecrelP(\#\#M, z) \longleftrightarrow (\exists x y. z = \langle x, y \rangle \wedge frecR(x, y))$   
 $\langle proof \rangle$

**lemma** *frecrel\_abs*:

**assumes**  
 $A \in M$   $r \in M$   
**shows**  
 $is\_frecrel(\#\#M, A, r) \longleftrightarrow r = frecrel(A)$   
 $\langle proof \rangle$

**lemma** *frecrel\_closed*:

**assumes**  
 $x \in M$   
**shows**  
 $\text{frecrel}(x) \in M$   
 $\langle \text{proof} \rangle$

**lemma** *field\_frecrel* :  $\text{field}(\text{frecrel}(\text{names\_below}(P,x))) \subseteq \text{names\_below}(P,x)$   
 $\langle \text{proof} \rangle$

**lemma** *forcerelD* :  $uv \in \text{forcerel}(P,x) \implies uv \in \text{names\_below}(P,x) \times \text{names\_below}(P,x)$   
 $\langle \text{proof} \rangle$

**lemma** *wf\_forcerel* :  
 $wf(\text{forcerel}(P,x))$   
 $\langle \text{proof} \rangle$

**lemma** *restrict\_trancl\_forcerel*:  
**assumes**  $\text{frecR}(w,y)$   
**shows**  $\text{restrict}(f, \text{frecrel}(\text{names\_below}(P,x)) - \{\!-\{y\}\}) 'w$   
 $= \text{restrict}(f, \text{forcerel}(P,x) - \{\!-\{y\}\}) 'w$   
 $\langle \text{proof} \rangle$

**lemma** *names\_belowI* :  
**assumes**  $\text{frecR}(\langle ft, n1, n2, p \rangle, \langle a, b, c, d \rangle)$   $p \in P$   
**shows**  $\langle ft, n1, n2, p \rangle \in \text{names\_below}(P, \langle a, b, c, d \rangle)$  (**is**  $?x \in \text{names\_below}(-, ?y)$ )  
 $\langle \text{proof} \rangle$

**lemma** *names\_below\_tr* :  
**assumes**  $x \in \text{names\_below}(P,y)$   
 $y \in \text{names\_below}(P,z)$   
**shows**  $x \in \text{names\_below}(P,z)$   
 $\langle \text{proof} \rangle$

**lemma** *arg\_into\_names\_below2* :  
**assumes**  $\langle x, y \rangle \in \text{frecrel}(\text{names\_below}(P,z))$   
**shows**  $x \in \text{names\_below}(P,y)$   
 $\langle \text{proof} \rangle$

**lemma** *arg\_into\_names\_below* :  
**assumes**  $\langle x, y \rangle \in \text{frecrel}(\text{names\_below}(P,z))$   
**shows**  $x \in \text{names\_below}(P,x)$   
 $\langle \text{proof} \rangle$

**lemma** *forcerel\_arg\_into\_names\_below* :  
**assumes**  $\langle x, y \rangle \in \text{forcerel}(P,z)$   
**shows**  $x \in \text{names\_below}(P,x)$   
 $\langle \text{proof} \rangle$

**lemma** *names\_below\_mono* :



**assumes**  $\langle x,y \rangle \in \text{frecrel}(\text{names\_below}(P,z))$   
**shows**  $\text{names\_below}(P,x) \subseteq \text{names\_below}(P,y)$   
 $\langle \text{proof} \rangle$

**lemma** *frecrel\_mono* :  
**assumes**  $\langle x,y \rangle \in \text{frecrel}(\text{names\_below}(P,z))$   
**shows**  $\text{frecrel}(\text{names\_below}(P,x)) \subseteq \text{frecrel}(\text{names\_below}(P,y))$   
 $\langle \text{proof} \rangle$

**lemma** *forcerel\_mono2* :  
**assumes**  $\langle x,y \rangle \in \text{frecrel}(\text{names\_below}(P,z))$   
**shows**  $\text{forcerel}(P,x) \subseteq \text{forcerel}(P,y)$   
 $\langle \text{proof} \rangle$

**lemma** *forcerel\_mono\_aux* :  
**assumes**  $\langle x,y \rangle \in \text{frecrel}(\text{names\_below}(P, w)) \wedge$   
**shows**  $\text{forcerel}(P,x) \subseteq \text{forcerel}(P,y)$   
 $\langle \text{proof} \rangle$

**lemma** *forcerel\_mono* :  
**assumes**  $\langle x,y \rangle \in \text{forcerel}(P,z)$   
**shows**  $\text{forcerel}(P,x) \subseteq \text{forcerel}(P,y)$   
 $\langle \text{proof} \rangle$

**lemma** *aux*:  $x \in \text{names\_below}(P, w) \implies \langle x,y \rangle \in \text{forcerel}(P,z) \implies$   
 $(y \in \text{names\_below}(P, w) \longrightarrow \langle x,y \rangle \in \text{forcerel}(P,w))$   
 $\langle \text{proof} \rangle$

**lemma** *forcerel\_eq* :  
**assumes**  $\langle z,x \rangle \in \text{forcerel}(P,x)$   
**shows**  $\text{forcerel}(P,z) = \text{forcerel}(P,x) \cap \text{names\_below}(P,z) \times \text{names\_below}(P,z)$   
 $\langle \text{proof} \rangle$

**lemma** *forcerel\_below\_aux* :  
**assumes**  $\langle z,x \rangle \in \text{forcerel}(P,x) \wedge \langle u,z \rangle \in \text{forcerel}(P,x)$   
**shows**  $u \in \text{names\_below}(P,z)$   
 $\langle \text{proof} \rangle$

**lemma** *forcerel\_below* :  
**assumes**  $\langle z,x \rangle \in \text{forcerel}(P,x)$   
**shows**  $\text{forcerel}(P,x) - \{z\} \subseteq \text{names\_below}(P,z)$   
 $\langle \text{proof} \rangle$

**lemma** *relation\_forcerel* :  
**shows**  $\text{relation}(\text{forcerel}(P,z)) \text{ trans}(\text{forcerel}(P,z))$   
 $\langle \text{proof} \rangle$

**lemma** *Hfrc\_restrict\_trancl*:  $\text{bool\_of\_o}(\text{Hfrc}(P, \text{leq}, y, \text{restrict}(f, \text{frecrel}(\text{names\_below}(P,x)) -$   
 $\{y\}))$

= bool\_of\_o(Hfrc(P, leq, y, restrict(f, (frecrel(names\_below(P, x)) ^+) - "{y})))  
 ⟨proof⟩

**lemma** *frc\_at\_trancl*:  $frc\_at(P, leq, z) = wfrec(forcerel(P, z), z, \lambda x f. bool\_of\_o(Hfrc(P, leq, x, f)))$   
 ⟨proof⟩

**lemma** *forcerelI1* :  
 assumes  $n1 \in domain(b) \vee n1 \in domain(c) \ p \in P \ d \in P$   
 shows  $\langle \langle 1, n1, b, p \rangle, \langle 0, b, c, d \rangle \rangle \in forcerel(P, \langle 0, b, c, d \rangle)$   
 ⟨proof⟩

**lemma** *forcerelI2* :  
 assumes  $n1 \in domain(b) \vee n1 \in domain(c) \ p \in P \ d \in P$   
 shows  $\langle \langle 1, n1, c, p \rangle, \langle 0, b, c, d \rangle \rangle \in forcerel(P, \langle 0, b, c, d \rangle)$   
 ⟨proof⟩

**lemma** *forcerelI3* :  
 assumes  $\langle n2, r \rangle \in c \ p \in P \ d \in P \ r \in P$   
 shows  $\langle \langle 0, b, n2, p \rangle, \langle 1, b, c, d \rangle \rangle \in forcerel(P, \langle 1, b, c, d \rangle)$   
 ⟨proof⟩

**lemmas** *forcerelI = forcerelI1* [THEN *vimage\_singleton\_iff*] [THEN *iffD2*]  
*forcerelI2* [THEN *vimage\_singleton\_iff*] [THEN *iffD2*]  
*forcerelI3* [THEN *vimage\_singleton\_iff*] [THEN *iffD2*]

**lemma** *aux\_def\_frc\_at*:  
 assumes  $z \in forcerel(P, x) - "{x}$   
 shows  $wfrec(forcerel(P, x), z, H) = wfrec(forcerel(P, z), z, H)$   
 ⟨proof⟩

## 16.5 Recursive expression of *frc\_at*

**lemma** *def\_frc\_at* :  
 assumes  $p \in P$   
 shows  
 $frc\_at(P, leq, \langle ft, n1, n2, p \rangle) =$   
 $bool\_of\_o( \ p \in P \wedge$   
 $( \ ft = 0 \wedge (\forall s. s \in domain(n1) \cup domain(n2) \longrightarrow$   
 $(\forall q. q \in P \wedge q \preceq p \longrightarrow (frc\_at(P, leq, \langle 1, s, n1, q \rangle) = 1 \longleftrightarrow frc\_at(P, leq, \langle 1, s, n2, q \rangle$   
 $= 1)))$   
 $\vee ft = 1 \wedge (\forall v \in P. v \preceq p \longrightarrow$   
 $(\exists q. \exists s. \exists r. r \in P \wedge q \in P \wedge q \preceq v \wedge \langle s, r \rangle \in n2 \wedge q \preceq r \wedge frc\_at(P, leq, \langle 0, n1, s, q \rangle$   
 $= 1))))$   
 ⟨proof⟩

## 16.6 Absoluteness of *frc\_at*

**lemma** *trans\_forcerel\_t* :  $trans(forcerel(P, x))$

$\langle proof \rangle$

**lemma** *relation\_forcerel\_t* :  $relation(forcerel(P,x))$   
 $\langle proof \rangle$

**lemma** *forcerel\_in\_M* :  
**assumes**  
   $x \in M$   
**shows**  
   $forcerel(P,x) \in M$   
 $\langle proof \rangle$

**lemma** *relation2\_Hfrc\_at\_abs*:  
 $relation2(\#\#M, is\_Hfrc\_at(\#\#M, P, leq), \lambda x f. bool\_of\_o(Hfrc(P, leq, x, f)))$   
 $\langle proof \rangle$

**lemma** *Hfrc\_at\_closed* :  
 $\forall x \in M. \forall g \in M. function(g) \longrightarrow bool\_of\_o(Hfrc(P, leq, x, g)) \in M$   
 $\langle proof \rangle$

**lemma** *wfrec\_Hfrc\_at* :  
**assumes**  
   $X \in M$   
**shows**  
   $wfrec\_replacement(\#\#M, is\_Hfrc\_at(\#\#M, P, leq), forcerel(P, X))$   
 $\langle proof \rangle$

**lemma** *names\_below\_abs* :  
 $\llbracket Q \in M; x \in M; nb \in M \rrbracket \Longrightarrow is\_names\_below(\#\#M, Q, x, nb) \longleftrightarrow nb = names\_below(Q, x)$   
 $\langle proof \rangle$

**lemma** *names\_below\_closed*:  
 $\llbracket Q \in M; x \in M \rrbracket \Longrightarrow names\_below(Q, x) \in M$   
 $\langle proof \rangle$

**lemma** *names\_below\_productE* :  
 $Q \in M \Longrightarrow$   
 $x \in M \Longrightarrow (\bigwedge A1 A2 A3 A4. A1 \in M \Longrightarrow A2 \in M \Longrightarrow A3 \in M \Longrightarrow A4 \in M$   
 $\Longrightarrow R(A1 \times A2 \times A3 \times A4))$   
 $\Longrightarrow R(names\_below(Q, x))$   
 $\langle proof \rangle$

**lemma** *forcerel\_abs* :  
 $\llbracket x \in M; z \in M \rrbracket \Longrightarrow is\_forcerel(\#\#M, P, x, z) \longleftrightarrow z = forcerel(P, x)$   
 $\langle proof \rangle$

**lemma** *frc\_at\_abs*:

**assumes**  $fnc \in M \ z \in M$   
**shows**  $is\_frc\_at(\#\#M, P, leq, fnc, z) \longleftrightarrow z = frc\_at(P, leq, fnc)$   
 $\langle proof \rangle$

**lemma**  $forces\_eq'\_abs$  :  
 $\llbracket p \in M ; t1 \in M ; t2 \in M \rrbracket \implies is\_forces\_eq'(\#\#M, P, leq, p, t1, t2) \longleftrightarrow forces\_eq'(P, leq, p, t1, t2)$   
 $\langle proof \rangle$

**lemma**  $forces\_mem'\_abs$  :  
 $\llbracket p \in M ; t1 \in M ; t2 \in M \rrbracket \implies is\_forces\_mem'(\#\#M, P, leq, p, t1, t2) \longleftrightarrow forces\_mem'(P, leq, p, t1, t2)$   
 $\langle proof \rangle$

**lemma**  $forces\_neq'\_abs$  :  
**assumes**  
 $p \in M \ t1 \in M \ t2 \in M$   
**shows**  
 $is\_forces\_neq'(\#\#M, P, leq, p, t1, t2) \longleftrightarrow forces\_neq'(P, leq, p, t1, t2)$   
 $\langle proof \rangle$

**lemma**  $forces\_nmem'\_abs$  :  
**assumes**  
 $p \in M \ t1 \in M \ t2 \in M$   
**shows**  
 $is\_forces\_nmem'(\#\#M, P, leq, p, t1, t2) \longleftrightarrow forces\_nmem'(P, leq, p, t1, t2)$   
 $\langle proof \rangle$

**end**

## 16.7 Forcing for general formulas

### definition

$ren\_forces\_nand :: i \Rightarrow i$  **where**  
 $ren\_forces\_nand(\varphi) \equiv Exists(And(Equal(0, 1), iterates(\lambda p. incr\_bv(p)'1 \ , \ 2, \ \varphi)))$

**lemma**  $ren\_forces\_nand\_type[TC]$  :  
 $\varphi \in formula \implies ren\_forces\_nand(\varphi) \in formula$   
 $\langle proof \rangle$

**lemma**  $arity\_ren\_forces\_nand$  :  
**assumes**  $\varphi \in formula$   
**shows**  $arity(ren\_forces\_nand(\varphi)) \leq succ(arity(\varphi))$   
 $\langle proof \rangle$

**lemma**  $sats\_ren\_forces\_nand$ :  
 $[q, P, leq, o, p] @ env \in list(M) \implies \varphi \in formula \implies$   
 $sats(M, ren\_forces\_nand(\varphi), [q, p, P, leq, o] @ env) \longleftrightarrow sats(M, \varphi, [q, P, leq, o] @$

*env*)  
 ⟨*proof*⟩

**definition**

*ren\_forces\_forall* ::  $i \Rightarrow i$  **where**  
*ren\_forces\_forall*( $\varphi$ )  $\equiv$   
 $Exists(Exists(Exists(Exists(Exists($   
 $And(Equal(0,6),And(Equal(1,7),And(Equal(2,8),And(Equal(3,9),$   
 $And(Equal(4,5),iterates(\lambda p. incr\_bv(p) '5 , 5, \varphi))))))))))$

**lemma** *arity\_ren\_forces\_all* :

**assumes**  $\varphi \in formula$   
**shows**  $arity(ren\_forces\_forall(\varphi)) = 5 \cup arity(\varphi)$   
 ⟨*proof*⟩

**lemma** *ren\_forces\_forall\_type*[*TC*] :

$\varphi \in formula \implies ren\_forces\_forall(\varphi) \in formula$   
 ⟨*proof*⟩

**lemma** *sats\_ren\_forces\_forall* :

$[x,P,leq,o,p] @ env \in list(M) \implies \varphi \in formula \implies$   
 $sats(M, ren\_forces\_forall(\varphi), [x,p,P,leq,o] @ env) \longleftrightarrow sats(M, \varphi, [p,P,leq,o,x]$   
 @ *env*)  
 ⟨*proof*⟩

**definition**

*is\_leq* ::  $[i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
*is\_leq*( $A, l, q, p$ )  $\equiv \exists qp[A]. (pair(A, q, p, qp) \wedge qp \in l)$

**lemma** (in *forcing\_data*) *leq\_abs*[*simp*]:

$\llbracket l \in M ; q \in M ; p \in M \rrbracket \implies is\_leq(\#\#M, l, q, p) \longleftrightarrow \langle q, p \rangle \in l$   
 ⟨*proof*⟩

**definition**

*leq\_fm* ::  $[i, i, i] \Rightarrow i$  **where**  
*leq\_fm*( $leq, q, p$ )  $\equiv Exists(And(pair\_fm(q\#+1, p\#+1, 0), Member(0, leq\#+1)))$

**lemma** *arity\_leq\_fm* :

$\llbracket leq \in nat ; q \in nat ; p \in nat \rrbracket \implies arity(leq\_fm(leq, q, p)) = succ(q) \cup succ(p) \cup succ(leq)$   
 ⟨*proof*⟩

**lemma** *leq\_fm\_type*[*TC*] :

$\llbracket leq \in nat ; q \in nat ; p \in nat \rrbracket \implies leq\_fm(leq, q, p) \in formula$   
 ⟨*proof*⟩

**lemma** *sats\_leq\_fm* :

$\llbracket leq \in nat ; q \in nat ; p \in nat ; env \in list(A) \rrbracket \implies$

$sats(A, leq\_fm(leq, q, p), env) \longleftrightarrow is\_leq(\#\#A, nth(leq, env), nth(q, env), nth(p, env))$

$\langle proof \rangle$

### 16.7.1 The primitive recursion

**consts**  $forces' :: i \Rightarrow i$

**primrec**

$forces'(Member(x, y)) = forces\_mem\_fm(1, 2, 0, x\#\#4, y\#\#4)$

$forces'(Equal(x, y)) = forces\_eq\_fm(1, 2, 0, x\#\#4, y\#\#4)$

$forces'(Nand(p, q)) =$

$Neg(Exists(And(Member(0, 2), And(leq\_fm(3, 0, 1), And(ren\_forces\_nand(forces'(p)),$   
 $ren\_forces\_nand(forces'(q)))))))$

$forces'(Forall(p)) = Forall(ren\_forces\_forall(forces'(p)))$

**definition**

$forces :: i \Rightarrow i$  **where**

$forces(\varphi) \equiv And(Member(0, 1), forces'(\varphi))$

**lemma**  $forces\_type [TC]: \varphi \in formula \Longrightarrow forces'(\varphi) \in formula$

$\langle proof \rangle$

**lemma**  $forces\_type [TC] : \varphi \in formula \Longrightarrow forces(\varphi) \in formula$

$\langle proof \rangle$

**context**  $forcing\_data$

**begin**

## 16.8 Forcing for atomic formulas in context

**definition**

$forces\_eq :: [i, i, i] \Rightarrow o$  **where**

$forces\_eq \equiv forces\_eq'(P, leq)$

**definition**

$forces\_mem :: [i, i, i] \Rightarrow o$  **where**

$forces\_mem \equiv forces\_mem'(P, leq)$

**definition**

$is\_forces\_eq :: [i, i, i] \Rightarrow o$  **where**

$is\_forces\_eq \equiv is\_forces\_eq'(\#\#M, P, leq)$

**definition**

$is\_forces\_mem :: [i, i, i] \Rightarrow o$  **where**

$is\_forces\_mem \equiv is\_forces\_mem'(\#\#M, P, leq)$

**lemma** *def\_forces\_eq*:  $p \in P \implies \text{forces\_eq}(p, t1, t2) \longleftrightarrow$   
 $(\forall s \in \text{domain}(t1) \cup \text{domain}(t2). \forall q. q \in P \wedge q \preceq p \longrightarrow$   
 $(\text{forces\_mem}(q, s, t1) \longleftrightarrow \text{forces\_mem}(q, s, t2)))$   
 $\langle \text{proof} \rangle$

**lemma** *def\_forces\_mem*:  $p \in P \implies \text{forces\_mem}(p, t1, t2) \longleftrightarrow$   
 $(\forall v \in P. v \preceq p \longrightarrow$   
 $(\exists q. \exists s. \exists r. r \in P \wedge q \in P \wedge q \preceq v \wedge \langle s, r \rangle \in t2 \wedge q \preceq r \wedge \text{forces\_eq}(q, t1, s)))$   
 $\langle \text{proof} \rangle$

**lemma** *forces\_eq\_abs* :  
 $\llbracket p \in M ; t1 \in M ; t2 \in M \rrbracket \implies \text{is\_forces\_eq}(p, t1, t2) \longleftrightarrow \text{forces\_eq}(p, t1, t2)$   
 $\langle \text{proof} \rangle$

**lemma** *forces\_mem\_abs* :  
 $\llbracket p \in M ; t1 \in M ; t2 \in M \rrbracket \implies \text{is\_forces\_mem}(p, t1, t2) \longleftrightarrow \text{forces\_mem}(p, t1, t2)$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_forces\_eq\_fm*:  
**assumes**  $p \in \text{nat } l \in \text{nat } q \in \text{nat } t1 \in \text{nat } t2 \in \text{nat } \text{env} \in \text{list}(M)$   
 $\text{nth}(p, \text{env}) = P \text{ nth}(l, \text{env}) = \text{leq}$   
**shows**  $\text{sats}(M, \text{forces\_eq\_fm}(p, l, q, t1, t2), \text{env}) \longleftrightarrow$   
 $\text{is\_forces\_eq}(\text{nth}(q, \text{env}), \text{nth}(t1, \text{env}), \text{nth}(t2, \text{env}))$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_forces\_mem\_fm*:  
**assumes**  $p \in \text{nat } l \in \text{nat } q \in \text{nat } t1 \in \text{nat } t2 \in \text{nat } \text{env} \in \text{list}(M)$   
 $\text{nth}(p, \text{env}) = P \text{ nth}(l, \text{env}) = \text{leq}$   
**shows**  $\text{sats}(M, \text{forces\_mem\_fm}(p, l, q, t1, t2), \text{env}) \longleftrightarrow$   
 $\text{is\_forces\_mem}(\text{nth}(q, \text{env}), \text{nth}(t1, \text{env}), \text{nth}(t2, \text{env}))$   
 $\langle \text{proof} \rangle$

**definition**

*forces\_neq* ::  $[i, i, i] \Rightarrow o$  **where**  
 $\text{forces\_neq}(p, t1, t2) \equiv \neg (\exists q \in P. q \preceq p \wedge \text{forces\_eq}(q, t1, t2))$

**definition**

*forces\_nmem* ::  $[i, i, i] \Rightarrow o$  **where**  
 $\text{forces\_nmem}(p, t1, t2) \equiv \neg (\exists q \in P. q \preceq p \wedge \text{forces\_mem}(q, t1, t2))$

**lemma** *forces\_neq* :  
 $\text{forces\_neq}(p, t1, t2) \longleftrightarrow \text{forces\_neq}'(P, \text{leq}, p, t1, t2)$   
 $\langle \text{proof} \rangle$

**lemma** *forces\_nmem* :  
 $\text{forces\_nmem}(p, t1, t2) \longleftrightarrow \text{forces\_nmem}'(P, \text{leq}, p, t1, t2)$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_forces\_Member* :

**assumes**  $x \in \text{nat } y \in \text{nat } \text{env} \in \text{list}(M)$

$\text{nth}(x, \text{env}) = xx \text{ nth}(y, \text{env}) = yy \ q \in M$

**shows**  $\text{sats}(M, \text{forces}(\text{Member}(x, y)), [q, P, \text{leq}, \text{one}]@ \text{env}) \longleftrightarrow$   
 $(q \in P \wedge \text{is\_forces\_mem}(q, xx, yy))$

*<proof>*

**lemma** *sats\_forces\_Equal* :

**assumes**  $x \in \text{nat } y \in \text{nat } \text{env} \in \text{list}(M)$

$\text{nth}(x, \text{env}) = xx \text{ nth}(y, \text{env}) = yy \ q \in M$

**shows**  $\text{sats}(M, \text{forces}(\text{Equal}(x, y)), [q, P, \text{leq}, \text{one}]@ \text{env}) \longleftrightarrow$   
 $(q \in P \wedge \text{is\_forces\_eq}(q, xx, yy))$

*<proof>*

**lemma** *sats\_forces\_Nand* :

**assumes**  $\varphi \in \text{formula } \psi \in \text{formula } \text{env} \in \text{list}(M) \ p \in M$

**shows**  $\text{sats}(M, \text{forces}(\text{Nand}(\varphi, \psi)), [p, P, \text{leq}, \text{one}]@ \text{env}) \longleftrightarrow$

$(p \in P \wedge \neg(\exists q \in M. q \in P \wedge \text{is\_leq}(\#\#M, \text{leq}, q, p) \wedge$

$(\text{sats}(M, \text{forces}'(\varphi), [q, P, \text{leq}, \text{one}]@ \text{env}) \wedge \text{sats}(M, \text{forces}'(\psi), [q, P, \text{leq}, \text{one}]@ \text{env}))))$

*<proof>*

**lemma** *sats\_forces\_Neg* :

**assumes**  $\varphi \in \text{formula } \text{env} \in \text{list}(M) \ p \in M$

**shows**  $\text{sats}(M, \text{forces}(\text{Neg}(\varphi)), [p, P, \text{leq}, \text{one}]@ \text{env}) \longleftrightarrow$

$(p \in P \wedge \neg(\exists q \in M. q \in P \wedge \text{is\_leq}(\#\#M, \text{leq}, q, p) \wedge$

$(\text{sats}(M, \text{forces}'(\varphi), [q, P, \text{leq}, \text{one}]@ \text{env}))))$

*<proof>*

**lemma** *sats\_forces\_Forall* :

**assumes**  $\varphi \in \text{formula } \text{env} \in \text{list}(M) \ p \in M$

**shows**  $\text{sats}(M, \text{forces}(\text{Forall}(\varphi)), [p, P, \text{leq}, \text{one}]@ \text{env}) \longleftrightarrow$

$p \in P \wedge (\forall x \in M. \text{sats}(M, \text{forces}'(\varphi), [p, P, \text{leq}, \text{one}, x]@ \text{env}))$

*<proof>*

**end**

## 16.9 The arity of forces

**lemma** *arity\_forces\_at*:

**assumes**  $x \in \text{nat } y \in \text{nat}$

**shows**  $\text{arity}(\text{forces}(\text{Member}(x, y))) = (\text{succ}(x) \cup \text{succ}(y)) \# + 4$

$\text{arity}(\text{forces}(\text{Equal}(x, y))) = (\text{succ}(x) \cup \text{succ}(y)) \# + 4$

*<proof>*

**lemma** *arity\_forces'*:

**assumes**  $\varphi \in \text{formula}$

**shows**  $\text{arity}(\text{forces}'(\varphi)) \leq \text{arity}(\varphi) \# + 4$



*<proof>*

**lemma** *arity\_forces* :  
 **assumes**  $\varphi \in \text{formula}$   
 **shows**  $\text{arity}(\text{forces}(\varphi)) \leq 4 \# + \text{arity}(\varphi)$   
 *<proof>*

**lemma** *arity\_forces\_le* :  
 **assumes**  $\varphi \in \text{formula}$   $n \in \text{nat}$   $\text{arity}(\varphi) \leq n$   
 **shows**  $\text{arity}(\text{forces}(\varphi)) \leq 4 \# + n$   
 *<proof>*

**end**

## 17 The Forcing Theorems

**theory** *Forcing\_Theorems*  
 **imports**  
 *Forces\_Definition*

**begin**

**context** *forcing\_data*  
**begin**

### 17.1 The forcing relation in context

**abbreviation** *Forces* ::  $[i, i, i] \Rightarrow o$  ( $- \Vdash -$  [36,36,36] 60) **where**  
  $p \Vdash \varphi \text{ env} \equiv M, ([p, P, \text{leq}, \text{one}] @ \text{env}) \models \text{forces}(\varphi)$

**lemma** *Collect\_forces* :  
 **assumes**  
 *fty*:  $\varphi \in \text{formula}$  **and**  
 *far*:  $\text{arity}(\varphi) \leq \text{length}(\text{env})$  **and**  
 *envty*:  $\text{env} \in \text{list}(M)$   
 **shows**  
  $\{p \in P . p \Vdash \varphi \text{ env}\} \in M$   
 *<proof>*

**lemma** *forces\_mem\_iff\_dense\_below*:  $p \in P \implies \text{forces\_mem}(p, t1, t2) \longleftrightarrow \text{dense\_below}(\{q \in P . \exists s. \exists r. r \in P \wedge \langle s, r \rangle \in t2 \wedge q \preceq r \wedge \text{forces\_eq}(q, t1, s)\}, p)$   
 *<proof>*

### 17.2 Kunen 2013, Lemma IV.2.37(a)

**lemma** *strengthening\_eq*:  
 **assumes**  $p \in P$   $r \in P$   $r \preceq p$   $\text{forces\_eq}(p, t1, t2)$   
 **shows**  $\text{forces\_eq}(r, t1, t2)$

*<proof>*

### 17.3 Kunen 2013, Lemma IV.2.37(a)

**lemma** *strengthening\_mem*:  
 **assumes**  $p \in P$   $r \in P$   $r \preceq p$  *forces\_mem*( $p, t1, t2$ )  
 **shows** *forces\_mem*( $r, t1, t2$ )  
 *<proof>*

### 17.4 Kunen 2013, Lemma IV.2.37(b)

**lemma** *density\_mem*:  
 **assumes**  $p \in P$   
 **shows** *forces\_mem*( $p, t1, t2$ )  $\longleftrightarrow$  *dense\_below*( $\{q \in P. \text{forces\_mem}(q, t1, t2)\}, p$ )  
 *<proof>*

**lemma** *aux\_density\_eq*:  
 **assumes**  
 *dense\_below*(  
  $\{q' \in P. \forall q. q \in P \wedge q \preceq q' \longrightarrow \text{forces\_mem}(q, s, t1) \longleftrightarrow \text{forces\_mem}(q, s, t2)\}$   
 ,  $p$ )  
 *forces\_mem*( $q, s, t1$ )  $q \in P$   $p \in P$   $q \preceq p$   
 **shows**  
 *dense\_below*( $\{r \in P. \text{forces\_mem}(r, s, t2)\}, q$ )  
 *<proof>*

**lemma** *density\_eq*:  
 **assumes**  $p \in P$   
 **shows** *forces\_eq*( $p, t1, t2$ )  $\longleftrightarrow$  *dense\_below*( $\{q \in P. \text{forces\_eq}(q, t1, t2)\}, p$ )  
 *<proof>*

### 17.5 Kunen 2013, Lemma IV.2.38

**lemma** *not\_forces\_neq*:  
 **assumes**  $p \in P$   
 **shows** *forces\_eq*( $p, t1, t2$ )  $\longleftrightarrow$   $\neg (\exists q \in P. q \preceq p \wedge \text{forces\_neq}(q, t1, t2))$   
 *<proof>*

**lemma** *not\_forces\_nmem*:  
 **assumes**  $p \in P$   
 **shows** *forces\_mem*( $p, t1, t2$ )  $\longleftrightarrow$   $\neg (\exists q \in P. q \preceq p \wedge \text{forces\_nmem}(q, t1, t2))$   
 *<proof>*

**lemma** *sats\_forces\_Nand'*:

**assumes**

$p \in P \ \varphi \in \text{formula} \ \psi \in \text{formula} \ \text{env} \in \text{list}(M)$

**shows**

$M, [p, P, \text{leq}, \text{one}] @ \text{env} \models \text{forces}(\text{Nand}(\varphi, \psi)) \longleftrightarrow$

$\neg(\exists q \in M. q \in P \wedge \text{is\_leq}(\#\#M, \text{leq}, q, p) \wedge$   
 $M, [q, P, \text{leq}, \text{one}] @ \text{env} \models \text{forces}(\varphi) \wedge$   
 $M, [q, P, \text{leq}, \text{one}] @ \text{env} \models \text{forces}(\psi))$

$\langle \text{proof} \rangle$

**lemma** *sats\_forces\_Neg'*:

**assumes**

$p \in P \ \text{env} \in \text{list}(M) \ \varphi \in \text{formula}$

**shows**

$M, [p, P, \text{leq}, \text{one}] @ \text{env} \models \text{forces}(\text{Neg}(\varphi)) \longleftrightarrow$

$\neg(\exists q \in M. q \in P \wedge \text{is\_leq}(\#\#M, \text{leq}, q, p) \wedge$   
 $M, [q, P, \text{leq}, \text{one}] @ \text{env} \models \text{forces}(\varphi))$

$\langle \text{proof} \rangle$

**lemma** *sats\_forces\_Forall'*:

**assumes**

$p \in P \ \text{env} \in \text{list}(M) \ \varphi \in \text{formula}$

**shows**

$M, [p, P, \text{leq}, \text{one}] @ \text{env} \models \text{forces}(\text{Forall}(\varphi)) \longleftrightarrow$

$(\forall x \in M. M, [p, P, \text{leq}, \text{one}, x] @ \text{env} \models \text{forces}(\varphi))$

$\langle \text{proof} \rangle$

## 17.6 The relation of forcing and atomic formulas

**lemma** *Forces\_Equal*:

**assumes**

$p \in P \ t1 \in M \ t2 \in M \ \text{env} \in \text{list}(M) \ \text{nth}(n, \text{env}) = t1 \ \text{nth}(m, \text{env}) = t2 \ n \in \text{nat} \ m \in \text{nat}$

**shows**

$(p \Vdash \text{Equal}(n, m) \ \text{env}) \longleftrightarrow \text{forces\_eq}(p, t1, t2)$

$\langle \text{proof} \rangle$

**lemma** *Forces\_Member*:

**assumes**

$p \in P \ t1 \in M \ t2 \in M \ \text{env} \in \text{list}(M) \ \text{nth}(n, \text{env}) = t1 \ \text{nth}(m, \text{env}) = t2 \ n \in \text{nat} \ m \in \text{nat}$

**shows**

$(p \Vdash \text{Member}(n, m) \ \text{env}) \longleftrightarrow \text{forces\_mem}(p, t1, t2)$

$\langle \text{proof} \rangle$

**lemma** *Forces\_Neg*:

**assumes**

$p \in P \ \text{env} \in \text{list}(M) \ \varphi \in \text{formula}$

**shows**

$(p \Vdash \text{Neg}(\varphi) \ \text{env}) \longleftrightarrow \neg(\exists q \in M. q \in P \wedge q \preceq p \wedge (q \Vdash \varphi \ \text{env}))$

$\langle proof \rangle$

## 17.7 The relation of forcing and connectives

**lemma** *Forces\_Nand*:

**assumes**

$p \in P$   $env \in list(M)$   $\varphi \in formula$   $\psi \in formula$

**shows**

$(p \Vdash Nand(\varphi, \psi) \ env) \longleftrightarrow \neg(\exists q \in M. q \in P \wedge q \preceq p \wedge (q \Vdash \varphi \ env) \wedge (q \Vdash \psi \ env))$

$\langle proof \rangle$

**lemma** *Forces\_And\_aux*:

**assumes**

$p \in P$   $env \in list(M)$   $\varphi \in formula$   $\psi \in formula$

**shows**

$p \Vdash And(\varphi, \psi) \ env \longleftrightarrow$

$(\forall q \in M. q \in P \wedge q \preceq p \longrightarrow (\exists r \in M. r \in P \wedge r \preceq q \wedge (r \Vdash \varphi \ env) \wedge (r \Vdash \psi \ env)))$

$\langle proof \rangle$

**lemma** *Forces\_And\_iff\_dense\_below*:

**assumes**

$p \in P$   $env \in list(M)$   $\varphi \in formula$   $\psi \in formula$

**shows**

$(p \Vdash And(\varphi, \psi) \ env) \longleftrightarrow dense\_below(\{r \in P. (r \Vdash \varphi \ env) \wedge (r \Vdash \psi \ env)\}, p)$

$\langle proof \rangle$

**lemma** *Forces\_Forall*:

**assumes**

$p \in P$   $env \in list(M)$   $\varphi \in formula$

**shows**

$(p \Vdash Forall(\varphi) \ env) \longleftrightarrow (\forall x \in M. (p \Vdash \varphi \ ([x] \ @ \ env)))$

$\langle proof \rangle$

**bundle** *some\_rules* = *elem\_of\_val\_pair* [*dest*] *SepReplace\_iff* [*simp del*] *SepReplace\_iff* [*iff*]

**context**

**includes** *some\_rules*

**begin**

**lemma** *elem\_of\_valI*:  $\exists \vartheta. \exists p \in P. p \in G \wedge \langle \vartheta, p \rangle \in \pi \wedge val(G, \vartheta) = x \implies x \in val(G, \pi)$

$\langle proof \rangle$

**lemma** *GenExtD*:  $x \in M[G] \longleftrightarrow (\exists \tau \in M. x = val(G, \tau))$

$\langle proof \rangle$

**lemma** *left\_in\_M* :  $\tau \in M \implies \langle a, b \rangle \in \tau \implies a \in M$

$\langle proof \rangle$

## 17.8 Kunen 2013, Lemma IV.2.29

**lemma** *generic\_inter\_dense\_below*:

**assumes**  $D \in M$   $M$ -generic( $G$ ) *dense\_below*( $D, p$ )  $p \in G$

**shows**  $D \cap G \neq \emptyset$

*<proof>*

## 17.9 Auxiliary results for Lemma IV.2.40(a)

**lemma** *IV240a\_mem\_Collect*:

**assumes**

$\pi \in M$   $\tau \in M$

**shows**

$\{q \in P. \exists \sigma. \exists r. r \in P \wedge \langle \sigma, r \rangle \in \tau \wedge q \leq r \wedge \text{forces\_eq}(q, \pi, \sigma)\} \in M$

*<proof>*

**lemma** *IV240a\_mem*:

**assumes**

$M$ -generic( $G$ )  $p \in G$   $\pi \in M$   $\tau \in M$  *forces\_mem*( $p, \pi, \tau$ )

$\bigwedge q \sigma. q \in P \implies q \in G \implies \sigma \in \text{domain}(\tau) \implies \text{forces\_eq}(q, \pi, \sigma) \implies$

$\text{val}(G, \pi) = \text{val}(G, \sigma)$

**shows**

$\text{val}(G, \pi) \in \text{val}(G, \tau)$

*<proof>*

**lemma** *refl\_forces\_eq*:  $p \in P \implies \text{forces\_eq}(p, x, x)$

*<proof>*

**lemma** *forces\_memI*:  $\langle \sigma, r \rangle \in \tau \implies p \in P \implies r \in P \implies p \leq r \implies \text{forces\_mem}(p, \sigma, \tau)$

*<proof>*

**lemma** *IV240a\_eq\_1st\_incl*:

**assumes**

$M$ -generic( $G$ )  $p \in G$  *forces\_eq*( $p, \tau, \vartheta$ )

**and**

*IH*:  $\bigwedge q \sigma. q \in P \implies q \in G \implies \sigma \in \text{domain}(\tau) \cup \text{domain}(\vartheta) \implies$

$(\text{forces\_mem}(q, \sigma, \tau) \longrightarrow \text{val}(G, \sigma) \in \text{val}(G, \tau)) \wedge$

$(\text{forces\_mem}(q, \sigma, \vartheta) \longrightarrow \text{val}(G, \sigma) \in \text{val}(G, \vartheta))$

**shows**

$\text{val}(G, \tau) \subseteq \text{val}(G, \vartheta)$

*<proof>*

**lemma** *IV240a\_eq\_2nd\_incl*:

**assumes**

$M\_generic(G) \ p \in G \ forces\_eq(p, \tau, \vartheta)$   
**and**  
 $IH: \bigwedge q \ \sigma. \ q \in P \implies q \in G \implies \sigma \in domain(\tau) \cup domain(\vartheta) \implies$   
 $(forces\_mem(q, \sigma, \tau) \longrightarrow val(G, \sigma) \in val(G, \tau)) \wedge$   
 $(forces\_mem(q, \sigma, \vartheta) \longrightarrow val(G, \sigma) \in val(G, \vartheta))$   
**shows**  
 $val(G, \vartheta) \subseteq val(G, \tau)$   
 $\langle proof \rangle$

**lemma** *IV240a\_eq:*

**assumes**  
 $M\_generic(G) \ p \in G \ forces\_eq(p, \tau, \vartheta)$   
**and**  
 $IH: \bigwedge q \ \sigma. \ q \in P \implies q \in G \implies \sigma \in domain(\tau) \cup domain(\vartheta) \implies$   
 $(forces\_mem(q, \sigma, \tau) \longrightarrow val(G, \sigma) \in val(G, \tau)) \wedge$   
 $(forces\_mem(q, \sigma, \vartheta) \longrightarrow val(G, \sigma) \in val(G, \vartheta))$   
**shows**  
 $val(G, \tau) = val(G, \vartheta)$   
 $\langle proof \rangle$

## 17.10 Induction on names

**lemma** *core\_induction:*

**assumes**  
 $\bigwedge \tau \ \vartheta \ p. \ p \in P \implies \llbracket \bigwedge q \ \sigma. \ \llbracket q \in P ; \sigma \in domain(\vartheta) \rrbracket \implies Q(\theta, \tau, \sigma, q) \rrbracket \implies$   
 $Q(1, \tau, \vartheta, p)$   
 $\bigwedge \tau \ \vartheta \ p. \ p \in P \implies \llbracket \bigwedge q \ \sigma. \ \llbracket q \in P ; \sigma \in domain(\tau) \cup domain(\vartheta) \rrbracket \implies Q(1, \sigma, \tau, q) \rrbracket$   
 $\wedge \llbracket Q(1, \sigma, \vartheta, q) \rrbracket \implies Q(\theta, \tau, \vartheta, p)$   
 $ft \in \mathcal{L} \ p \in P$   
**shows**  
 $Q(ft, \tau, \vartheta, p)$   
 $\langle proof \rangle$

**lemma** *forces\_induction\_with\_conds:*

**assumes**  
 $\bigwedge \tau \ \vartheta \ p. \ p \in P \implies \llbracket \bigwedge q \ \sigma. \ \llbracket q \in P ; \sigma \in domain(\vartheta) \rrbracket \implies Q(q, \tau, \sigma) \rrbracket \implies R(p, \tau, \vartheta)$   
 $\bigwedge \tau \ \vartheta \ p. \ p \in P \implies \llbracket \bigwedge q \ \sigma. \ \llbracket q \in P ; \sigma \in domain(\tau) \cup domain(\vartheta) \rrbracket \implies R(q, \sigma, \tau) \rrbracket$   
 $\wedge \llbracket R(q, \sigma, \vartheta) \rrbracket \implies Q(p, \tau, \vartheta)$   
 $p \in P$   
**shows**  
 $Q(p, \tau, \vartheta) \wedge R(p, \tau, \vartheta)$   
 $\langle proof \rangle$

**lemma** *forces\_induction:*

**assumes**  
 $\bigwedge \tau \ \vartheta. \ \llbracket \bigwedge \sigma. \ \sigma \in domain(\vartheta) \implies Q(\tau, \sigma) \rrbracket \implies R(\tau, \vartheta)$   
 $\bigwedge \tau \ \vartheta. \ \llbracket \bigwedge \sigma. \ \sigma \in domain(\tau) \cup domain(\vartheta) \implies R(\sigma, \tau) \wedge R(\sigma, \vartheta) \rrbracket \implies Q(\tau, \vartheta)$   
**shows**

$Q(\tau, \vartheta) \wedge R(\tau, \vartheta)$   
 ⟨proof⟩

### 17.11 Lemma IV.2.40(a), in full

**lemma** *IV240a*:

**assumes**

$M\_generic(G)$

**shows**

$(\tau \in M \longrightarrow \vartheta \in M \longrightarrow (\forall p \in G. forces\_eq(p, \tau, \vartheta) \longrightarrow val(G, \tau) = val(G, \vartheta))) \wedge$   
 $(\tau \in M \longrightarrow \vartheta \in M \longrightarrow (\forall p \in G. forces\_mem(p, \tau, \vartheta) \longrightarrow val(G, \tau) \in val(G, \vartheta)))$   
 (is  $?Q(\tau, \vartheta) \wedge ?R(\tau, \vartheta)$ )

⟨proof⟩

### 17.12 Lemma IV.2.40(b)

**lemma** *IV240b\_mem*:

**assumes**

$M\_generic(G) \quad val(G, \pi) \in val(G, \tau) \quad \pi \in M \quad \tau \in M$

**and**

$IH: \bigwedge \sigma. \sigma \in domain(\tau) \implies val(G, \pi) = val(G, \sigma) \implies$   
 $\exists p \in G. forces\_eq(p, \pi, \sigma)$

**shows**

$\exists p \in G. forces\_mem(p, \pi, \tau)$

⟨proof⟩

**end**

**lemma** *Collect\_forces\_eq\_in\_M*:

**assumes**  $\tau \in M \quad \vartheta \in M$

**shows**  $\{p \in P. forces\_eq(p, \tau, \vartheta)\} \in M$

⟨proof⟩

**lemma** *IV240b\_eq\_Collects*:

**assumes**  $\tau \in M \quad \vartheta \in M$

**shows**  $\{p \in P. \exists \sigma \in domain(\tau) \cup domain(\vartheta). forces\_mem(p, \sigma, \tau) \wedge forces\_nmem(p, \sigma, \vartheta)\} \in M$

**and**

$\{p \in P. \exists \sigma \in domain(\tau) \cup domain(\vartheta). forces\_nmem(p, \sigma, \tau) \wedge forces\_mem(p, \sigma, \vartheta)\} \in M$

⟨proof⟩

**lemma** *IV240b\_eq*:

**assumes**

$M\_generic(G) \quad val(G, \tau) = val(G, \vartheta) \quad \tau \in M \quad \vartheta \in M$

**and**

$IH: \bigwedge \sigma. \sigma \in domain(\tau) \cup domain(\vartheta) \implies$   
 $(val(G, \sigma) \in val(G, \tau) \longrightarrow (\exists q \in G. forces\_mem(q, \sigma, \tau))) \wedge$   
 $(val(G, \sigma) \in val(G, \vartheta) \longrightarrow (\exists q \in G. forces\_mem(q, \sigma, \vartheta)))$

**shows**

$\exists p \in G. \text{forces\_eq}(p, \tau, \vartheta)$   
 $\langle \text{proof} \rangle$

**lemma** *IV240b*:

**assumes**

$M\_generic(G)$

**shows**

$(\tau \in M \longrightarrow \vartheta \in M \longrightarrow \text{val}(G, \tau) = \text{val}(G, \vartheta) \longrightarrow (\exists p \in G. \text{forces\_eq}(p, \tau, \vartheta))) \wedge$   
 $(\tau \in M \longrightarrow \vartheta \in M \longrightarrow \text{val}(G, \tau) \in \text{val}(G, \vartheta) \longrightarrow (\exists p \in G. \text{forces\_mem}(p, \tau, \vartheta)))$   
**is**  $?Q(\tau, \vartheta) \wedge ?R(\tau, \vartheta)$

$\langle \text{proof} \rangle$

**lemma** *map\_val\_in\_MG*:

**assumes**

$env \in list(M)$

**shows**

$map(\text{val}(G), env) \in list(M[G])$

$\langle \text{proof} \rangle$

**lemma** *truth\_lemma\_mem*:

**assumes**

$env \in list(M) \ M\_generic(G)$

$n \in nat \ m \in nat \ n < length(env) \ m < length(env)$

**shows**

$(\exists p \in G. p \Vdash Member(n, m) \ env) \longleftrightarrow M[G], map(\text{val}(G), env) \models Member(n, m)$

$\langle \text{proof} \rangle$

**lemma** *truth\_lemma\_eq*:

**assumes**

$env \in list(M) \ M\_generic(G)$

$n \in nat \ m \in nat \ n < length(env) \ m < length(env)$

**shows**

$(\exists p \in G. p \Vdash Equal(n, m) \ env) \longleftrightarrow M[G], map(\text{val}(G), env) \models Equal(n, m)$

$\langle \text{proof} \rangle$

**lemma** *arities\_at\_aux*:

**assumes**

$n \in nat \ m \in nat \ env \in list(M) \ succ(n) \cup succ(m) \leq length(env)$

**shows**

$n < length(env) \ m < length(env)$

$\langle \text{proof} \rangle$

### 17.13 The Strengthening Lemma

**lemma** *strengthening\_lemma*:

**assumes**

$p \in P \ \varphi \in formula \ r \in P \ r \preceq p$

**shows**



$\wedge env. env \in list(M) \implies arity(\varphi) \leq length(env) \implies p \Vdash \varphi \ env \implies r \Vdash \varphi \ env$   
 <proof>

## 17.14 The Density Lemma

**lemma** *arity\_Nand\_le*:

**assumes**  $\varphi \in formula \ \psi \in formula \ arity(Nand(\varphi, \psi)) \leq length(env) \ env \in list(A)$   
**shows**  $arity(\varphi) \leq length(env) \ \arity(\psi) \leq length(env)$   
 <proof>

**lemma** *dense\_below\_imp\_forces*:

**assumes**  
 $p \in P \ \varphi \in formula$   
**shows**  
 $\wedge env. env \in list(M) \implies arity(\varphi) \leq length(env) \implies$   
 $dense\_below(\{q \in P. (q \Vdash \varphi \ env)\}, p) \implies (p \Vdash \varphi \ env)$   
 <proof>

**lemma** *density\_lemma*:

**assumes**  
 $p \in P \ \varphi \in formula \ env \in list(M) \ \arity(\varphi) \leq length(env)$   
**shows**  
 $p \Vdash \varphi \ env \iff dense\_below(\{q \in P. (q \Vdash \varphi \ env)\}, p)$   
 <proof>

## 17.15 The Truth Lemma

**lemma** *Forces\_And*:

**assumes**  
 $p \in P \ env \in list(M) \ \varphi \in formula \ \psi \in formula$   
 $arity(\varphi) \leq length(env) \ \arity(\psi) \leq length(env)$   
**shows**  
 $p \Vdash And(\varphi, \psi) \ env \iff (p \Vdash \varphi \ env) \wedge (p \Vdash \psi \ env)$   
 <proof>

**lemma** *Forces\_Nand\_alt*:

**assumes**  
 $p \in P \ env \in list(M) \ \varphi \in formula \ \psi \in formula$   
 $arity(\varphi) \leq length(env) \ \arity(\psi) \leq length(env)$   
**shows**  
 $(p \Vdash Nand(\varphi, \psi) \ env) \iff (p \Vdash Neg(And(\varphi, \psi)) \ env)$   
 <proof>

**lemma** *truth\_lemma\_Neg*:

**assumes**  
 $\varphi \in formula \ M\_generic(G) \ env \in list(M) \ \arity(\varphi) \leq length(env)$  **and**  
*IH*:  $(\exists p \in G. p \Vdash \varphi \ env) \iff M[G], map(val(G), env) \models \varphi$   
**shows**  
 $(\exists p \in G. p \Vdash Neg(\varphi) \ env) \iff M[G], map(val(G), env) \models Neg(\varphi)$   
 <proof>

**lemma** *truth\_lemma\_And*:

**assumes**

$env \in list(M)$   $\varphi \in formula$   $\psi \in formula$   
 $arity(\varphi) \leq length(env)$   $arity(\psi) \leq length(env)$   $M\_generic(G)$

**and**

$IH: (\exists p \in G. p \Vdash \varphi \ env) \longleftrightarrow M[G], map(val(G), env) \models \varphi$   
 $(\exists p \in G. p \Vdash \psi \ env) \longleftrightarrow M[G], map(val(G), env) \models \psi$

**shows**

$(\exists p \in G. (p \Vdash And(\varphi, \psi) \ env)) \longleftrightarrow M[G], map(val(G), env) \models And(\varphi, \psi)$   
*<proof>*

**definition**

*ren\_truth\_lemma* ::  $i \Rightarrow i$  **where**

*ren\_truth\_lemma*( $\varphi$ )  $\equiv$

$Exists(Exists(Exists(Exists(Exists($   
 $And(Equal(0,5), And(Equal(1,8), And(Equal(2,9), And(Equal(3,10), And(Equal(4,6),$   
 $iterates(\lambda p. incr\_bv(p) '5 , 6, \varphi))))))))))$

**lemma** *ren\_truth\_lemma\_type*[*TC*] :

$\varphi \in formula \Longrightarrow ren\_truth\_lemma(\varphi) \in formula$   
*<proof>*

**lemma** *arity\_ren\_truth* :

**assumes**  $\varphi \in formula$

**shows**  $arity(ren\_truth\_lemma(\varphi)) \leq 6 \cup succ(arity(\varphi))$   
*<proof>*

**lemma** *sats\_ren\_truth\_lemma*:

$[q, b, d, a1, a2, a3] @ env \in list(M) \Longrightarrow \varphi \in formula \Longrightarrow$   
 $(M, [q, b, d, a1, a2, a3] @ env \models ren\_truth\_lemma(\varphi)) \longleftrightarrow$   
 $(M, [q, a1, a2, a3, b] @ env \models \varphi)$   
*<proof>*

**lemma** *truth\_lemma'* :

**assumes**

$\varphi \in formula$   $env \in list(M)$   $arity(\varphi) \leq succ(length(env))$

**shows**

$separation(##M, \lambda d. \exists b \in M. \forall q \in P. q \leq d \longrightarrow \neg(q \Vdash \varphi ([b]@env)))$   
*<proof>*

**lemma** *truth\_lemma*:

**assumes**

$\varphi \in formula$   $M\_generic(G)$

**shows**

$\bigwedge env. env \in list(M) \Longrightarrow arity(\varphi) \leq length(env) \Longrightarrow$   
 $(\exists p \in G. p \Vdash \varphi \ env) \longleftrightarrow M[G], map(val(G), env) \models \varphi$   
*<proof>*

## 17.16 The “Definition of forcing”

**lemma** *definition\_of\_forcing*:

**assumes**

$p \in P \ \varphi \in \text{formula} \ \text{env} \in \text{list}(M) \ \text{arity}(\varphi) \leq \text{length}(\text{env})$

**shows**

$(p \Vdash \varphi \ \text{env}) \longleftrightarrow$

$(\forall G. M\_generic(G) \wedge p \in G \longrightarrow M[G], \text{map}(\text{val}(G), \text{env}) \models \varphi)$

*<proof>*

**lemmas** *definability = forces\_type*

**end**

**end**

## 18 Auxiliary renamings for Separation

**theory** *Separation\_Rename*

**imports** *Interface*

**begin**

**lemma** *apply\_fun*:  $f \in Pi(A,B) \implies \langle a,b \rangle: f \implies f'a = b$

*<proof>*

**lemma** *nth\_concat*:  $[p,t] \in \text{list}(A) \implies \text{env} \in \text{list}(A) \implies \text{nth}(1 \# + \text{length}(\text{env}), [p] @ \text{env} @ [t]) = t$

*<proof>*

**lemma** *nth\_concat2*:  $\text{env} \in \text{list}(A) \implies \text{nth}(\text{length}(\text{env}), \text{env} @ [p,t]) = p$

*<proof>*

**lemma** *nth\_concat3*:  $\text{env} \in \text{list}(A) \implies u = \text{nth}(\text{succ}(\text{length}(\text{env})), \text{env} @ [pi, u])$

*<proof>*

**definition**

*sep\_var* ::  $i \Rightarrow i$  **where**

$\text{sep\_var}(n) == \{\langle 0,1 \rangle, \langle 1,3 \rangle, \langle 2,4 \rangle, \langle 3,5 \rangle, \langle 4,0 \rangle, \langle 5 \# + n, 6 \rangle, \langle 6 \# + n, 2 \rangle\}$

**definition**

*sep\_env* ::  $i \Rightarrow i$  **where**

$\text{sep\_env}(n) == \lambda i \in (5 \# + n) - 5 . i \# + 2$

**definition** *weak* ::  $[i, i] \Rightarrow i$  **where**

$\text{weak}(n,m) == \{i \# + m . i \in n\}$

**lemma** *weakD*:

**assumes**  $n \in \text{nat} \ k \in \text{nat} \ x \in \text{weak}(n,k)$

**shows**  $\exists i \in n . x = i \# + k$

*<proof>*

**lemma** *weak\_equal* :  
**assumes**  $n \in \text{nat}$   $m \in \text{nat}$   
**shows**  $\text{weak}(n, m) = (m \# + n) - m$   
 $\langle \text{proof} \rangle$

**lemma** *weak\_zero*:  
**shows**  $\text{weak}(0, n) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *weakening\_diff* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{weak}(n, 7) - \text{weak}(n, 5) \subseteq \{5 \# + n, 6 \# + n\}$   
 $\langle \text{proof} \rangle$

**lemma** *in\_add\_del* :  
**assumes**  $x \in j \# + n$   $n \in \text{nat}$   $j \in \text{nat}$   
**shows**  $x < j \vee x \in \text{weak}(n, j)$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_env\_action*:  
**assumes**  
 $[t, p, u, P, \text{leq}, o, pi] \in \text{list}(M)$   
 $\text{env} \in \text{list}(M)$   
**shows**  $\forall i . i \in \text{weak}(\text{length}(\text{env}), 5) \longrightarrow$   
 $\text{nth}(\text{sep\_env}(\text{length}(\text{env})) 'i, [t, p, u, P, \text{leq}, o, pi] @ \text{env}) = \text{nth}(i, [p, P, \text{leq}, o, t] @ \text{env}$   
 $@ [pi, u])$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_env\_type* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{sep\_env}(n) : (5 \# + n) - 5 \rightarrow (7 \# + n) - 7$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_var\_fin\_type* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{sep\_var}(n) : 7 \# + n - || > 7 \# + n$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_var\_domain* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{domain}(\text{sep\_var}(n)) = 7 \# + n - \text{weak}(n, 5)$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_var\_type* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{sep\_var}(n) : (7 \# + n) - \text{weak}(n, 5) \rightarrow 7 \# + n$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_var\_action* :

**assumes**

$[t,p,u,P,leq,o,pi] \in list(M)$

$env \in list(M)$

**shows**  $\forall i . i \in (7\#+length(env)) - weak(length(env),5) \longrightarrow$

$nth(sep\_var(length(env))'i,[t,p,u,P,leq,o,pi]@env) = nth(i,[p,P,leq,o,t] @ env$

@  $[pi,u]$ )

$\langle proof \rangle$

**definition**

*rensep* ::  $i \Rightarrow i$  **where**

$rensep(n) == union\_fun(sep\_var(n),sep\_env(n),7\#+n-weak(n,5),weak(n,5))$

**lemma** *rensep\_aux* :

**assumes**  $n \in nat$

**shows**  $(7\#+n-weak(n,5)) \cup weak(n,5) = 7\#+n \ 7\#+n \cup (7\#+n - 7) =$

$7\#+n$

$\langle proof \rangle$

**lemma** *rensep\_type* :

**assumes**  $n \in nat$

**shows**  $rensep(n) \in 7\#+n \rightarrow 7\#+n$

$\langle proof \rangle$

**lemma** *rensep\_action* :

**assumes**  $[t,p,u,P,leq,o,pi] @ env \in list(M)$

**shows**  $\forall i . i < 7\#+length(env) \longrightarrow nth(rensep(length(env))'i,[t,p,u,P,leq,o,pi]@env)$

$= nth(i,[p,P,leq,o,t] @ env @ [pi,u])$

$\langle proof \rangle$

**definition** *sep\_ren* ::  $[i,i] \Rightarrow i$  **where**

$sep\_ren(n,\varphi) == ren(\varphi)'(7\#+n)'(7\#+n)'rensep(n)$

**lemma** *arity\_rensep*: **assumes**  $\varphi \in formula \ env \in list(M)$

$arity(\varphi) \leq 7\#+length(env)$

**shows**  $arity(sep\_ren(length(env),\varphi)) \leq 7\#+length(env)$

$\langle proof \rangle$

**lemma** *type\_rensep* [TC]:

**assumes**  $\varphi \in formula \ env \in list(M)$

**shows**  $sep\_ren(length(env),\varphi) \in formula$

$\langle proof \rangle$

**lemma** *sepren\_action*:

**assumes**  $arity(\varphi) \leq 7\#+length(env)$

$[t,p,u,P,leq,o,pi] \in list(M)$

$env \in list(M)$

$\varphi \in formula$

**shows**  $\text{sats}(M, \text{sep\_ren}(\text{length}(\text{env}), \varphi), [t, p, u, P, \text{leq}, o, pi] @ \text{env}) \longleftrightarrow \text{sats}(M, \varphi, [p, P, \text{leq}, o, t] @ \text{env} @ [pi, u])$   
 <proof>

**end**

## 19 The Axiom of Separation in $M[G]$

**theory** *Separation\_Axiom*  
**imports** *Forcing\_Theorems Separation\_Rename*  
**begin**

**context** *G\_generic*  
**begin**

**lemma** *map\_val* :  
**assumes**  $\text{env} \in \text{list}(M[G])$   
**shows**  $\exists \text{nenv} \in \text{list}(M). \text{env} = \text{map}(\text{val}(G), \text{nenv})$   
 <proof>

**lemma** *Collect\_sats\_in\_MG* :  
**assumes**  
 $c \in M[G]$   
 $\varphi \in \text{formula } \text{env} \in \text{list}(M[G]) \text{ arity}(\varphi) \leq 1 \ \#\# \text{length}(\text{env})$   
**shows**  
 $\{x \in c. (M[G], [x] @ \text{env} \models \varphi)\} \in M[G]$   
 <proof>

**theorem** *separation\_in\_MG*:  
**assumes**  
 $\varphi \in \text{formula}$  **and**  $\text{arity}(\varphi) \leq 1 \ \#\# \text{length}(\text{env})$  **and**  $\text{env} \in \text{list}(M[G])$   
**shows**  
 $\text{separation}(\#\#M[G], \lambda x. (M[G], [x] @ \text{env} \models \varphi))$   
 <proof>

**end**

**end**

## 20 The Axiom of Pairing in $M[G]$

**theory** *Pairing\_Axiom* **imports** *Names* **begin**

**context** *forcing\_data*  
**begin**

**lemma** *val\_Upair* :

$one \in G \implies val(G, \{\langle \tau, one \rangle, \langle \varrho, one \rangle\}) = \{val(G, \tau), val(G, \varrho)\}$   
 <proof>

**lemma** *pairing\_in\_MG* :  
 assumes  $M\_generic(G)$   
 shows  $upair\_ax(\#\#M[G])$   
 <proof>

**end**  
**end**

## 21 The Axiom of Unions in $M[G]$

**theory** *Union\_Axiom*  
 imports *Names*  
 begin

**context** *forcing\_data*  
 begin

**definition** *Union\_name\_body* ::  $[i, i, i, i] \Rightarrow o$  **where**  
 $Union\_name\_body(P', leq', \tau, \vartheta p) == (\exists \sigma[\#\#M].$   
 $\exists q[\#\#M]. (q \in P' \wedge \langle \sigma, q \rangle \in \tau \wedge$   
 $(\exists r[\#\#M]. r \in P' \wedge \langle fst(\vartheta p), r \rangle \in \sigma \wedge \langle snd(\vartheta p), r \rangle \in leq' \wedge$   
 $\langle snd(\vartheta p), q \rangle \in leq'))))$

**definition** *Union\_name\_fm* ::  $i$  **where**  
 $Union\_name\_fm ==$   
 $Exists($   
 $Exists(And(pair\_fm(1, 0, 2),$   
 $Exists ($   
 $Exists (And(Member(0, 7),$   
 $Exists (And(And(pair\_fm(2, 1, 0), Member(0, 6)),$   
 $Exists (And(Member(0, 9),$   
 $Exists (And(And(pair\_fm(6, 1, 0), Member(0, 4)),$   
 $Exists (And(And(pair\_fm(6, 2, 0), Member(0, 10)),$   
 $Exists (And(pair\_fm(7, 5, 0), Member(0, 11))))))))))))))$

**lemma** *Union\_name\_fm\_type* [TC]:  
 $Union\_name\_fm \in formula$   
 <proof>

**lemma** *arity\_Union\_name\_fm* :  
 $arity(Union\_name\_fm) = 4$   
 <proof>

**lemma** *sats\_Union\_name\_fm* :

$$\llbracket a \in M ; b \in M ; P' \in M ; p \in M ; \vartheta \in M ; \tau \in M ; leq' \in M \rrbracket \implies$$

$$sats(M, Union\_name\_fm, [\langle \vartheta, p \rangle, \tau, leq', P'] @ [a, b]) \longleftrightarrow$$

$$Union\_name\_body(P', leq', \tau, \langle \vartheta, p \rangle)$$
 <proof>

**lemma** *domD* :  
**assumes**  $\tau \in M$   $\sigma \in domain(\tau)$   
**shows**  $\sigma \in M$   
 <proof>

**definition** *Union\_name* ::  $i \Rightarrow i$  **where**  
 $Union\_name(\tau) ==$   
 $\{u \in domain(\bigcup (domain(\tau))) \times P . Union\_name\_body(P, leq, \tau, u)\}$

**lemma** *Union\_name\_M* : **assumes**  $\tau \in M$   
**shows**  $\{u \in domain(\bigcup (domain(\tau))) \times P . Union\_name\_body(P, leq, \tau, u)\} \in M$   
 <proof>

**lemma** *Union\_MG\_Eq* :  
**assumes**  $a \in M[G]$  **and**  $a = val(G, \tau)$  **and** *filter*( $G$ ) **and**  $\tau \in M$   
**shows**  $\bigcup a = val(G, Union\_name(\tau))$   
 <proof>

**lemma** *union\_in\_MG* : **assumes** *filter*( $G$ )  
**shows**  $Union\_ax(\#\#M[G])$   
 <proof>

**theorem** *Union\_MG* :  $M\_generic(G) \implies Union\_ax(\#\#M[G])$   
 <proof>

**end**  
**end**

## 22 The Powerset Axiom in $M[G]$

**theory** *Powerset\_Axiom*  
**imports** *Separation\_Axiom Pairing\_Axiom Union\_Axiom*  
**begin**

<ML>

**lemma** *Collect\_inter\_Transset*:  
**assumes**  
 $Transset(M)$   $b \in M$   
**shows**



$\{x \in b . P(x)\} = \{x \in b . P(x)\} \cap M$   
 ⟨proof⟩

**context** *G\_generic* **begin**

**lemma** *name\_components\_in\_M*:

**assumes**  $\langle \sigma, p \rangle \in \vartheta \ \vartheta \in M$

**shows**  $\sigma \in M \ p \in M$

⟨proof⟩

**lemma** *satsfst\_snd\_in\_M*:

**assumes**

$A \in M \ B \in M \ \varphi \in \text{formula} \ p \in M \ l \in M \ o \in M \ \chi \in M$

$\text{arity}(\varphi) \leq 6$

**shows**

$\{sq \in A \times B . \text{sats}(M, \varphi, [\text{snd}(sq), p, l, o, \text{fst}(sq), \chi])\} \in M$

(**is**  $\vartheta \in M$ )

⟨proof⟩

**lemma** *Pow\_inter\_MG*:

**assumes**

$a \in M[G]$

**shows**

$\text{Pow}(a) \cap M[G] \in M[G]$

⟨proof⟩

**end**

**context** *G\_generic* **begin**

**interpretation** *mgtriv*: *M\_trivial*  $\#\# M[G]$

⟨proof⟩

**theorem** *power\_in\_MG* :

$\text{power\_ax}(\#\#(M[G]))$

⟨proof⟩

**end**

**end**

## 23 The Axiom of Extensionality in $M[G]$

**theory** *Extensionality\_Axiom*

**imports**

*Names*

**begin**

**context** *forcing\_data*

**begin**

```

lemma extensionality_in_MG : extensionality(##(M[G]))
  <proof>

end
end

```

## 24 The Axiom of Foundation in $M[G]$

```

theory Foundation_Axiom
imports
  Names
begin

```

```

context forcing_data
begin

```

```

lemma foundation_in_MG : foundation_ax(##(M[G]))
  <proof>

```

```

lemma foundation_ax(##(M[G]))
  <proof>

```

```

end
end

```

## 25 The binder *Least*

```

theory Least
imports
  Names

```

```

begin

```

We have some basic results on the least ordinal satisfying a predicate.

```

lemma Least_Ord:  $(\mu \alpha. R(\alpha)) = (\mu \alpha. Ord(\alpha) \wedge R(\alpha))$ 
  <proof>

```

```

lemma Ord_Least_cong:
  assumes  $\bigwedge y. Ord(y) \implies R(y) \longleftrightarrow Q(y)$ 
  shows  $(\mu \alpha. R(\alpha)) = (\mu \alpha. Q(\alpha))$ 
  <proof>

```

```

definition

```

```

  least ::  $[i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o$  where
  least(M, Q, i)  $\equiv ordinal(M, i) \wedge ($ 

```

$$\begin{aligned} & (\text{empty}(M,i) \wedge (\forall b[M]. \text{ordinal}(M,b) \longrightarrow \neg Q(b))) \\ \vee & (Q(i) \wedge (\forall b[M]. \text{ordinal}(M,b) \wedge b \in i \longrightarrow \neg Q(b))) \end{aligned}$$

**definition**

*least\_fm* :: [*i*,*i*] ⇒ *i* **where**  
*least\_fm*(*q*,*i*) ≡ *And*(*ordinal\_fm*(*i*),  
*Or*(*And*(*empty\_fm*(*i*),*Forall*(*Implies*(*ordinal\_fm*(0),*Neg*(*q*)))),  
*And*(*Exists*(*And*(*q*,*Equal*(0,*succ*(*i*))),  
*Forall*(*Implies*(*And*(*ordinal\_fm*(0),*Member*(0,*succ*(*i*))),*Neg*(*q*))))))

**lemma** *least\_fm\_type*[*TC*] : *i* ∈ *nat* ⇒ *q* ∈ *formula* ⇒ *least\_fm*(*q*,*i*) ∈ *formula*  
⟨*proof*⟩

**lemmas** *basic\_fm\_simps* = *sats\_subset\_fm'* *sats\_transset\_fm'* *sats\_ordinal\_fm'*

**lemma** *sats\_least\_fm* :

**assumes** *p\_iff\_sats*:

$$\bigwedge a. a \in A \Longrightarrow P(a) \longleftrightarrow \text{sats}(A, p, \text{Cons}(a, \text{env}))$$

**shows**

$$\begin{aligned} & \llbracket y \in \text{nat}; \text{env} \in \text{list}(A) ; 0 \in A \rrbracket \\ & \Longrightarrow \text{sats}(A, \text{least\_fm}(p,y), \text{env}) \longleftrightarrow \\ & \quad \text{least}(\#\#A, P, \text{nth}(y,\text{env})) \end{aligned}$$

⟨*proof*⟩

**lemma** *least\_iff\_sats*:

**assumes** *is\_Q\_iff\_sats*:

$$\bigwedge a. a \in A \Longrightarrow \text{is\_Q}(a) \longleftrightarrow \text{sats}(A, q, \text{Cons}(a,\text{env}))$$

**shows**

$$\begin{aligned} & \llbracket \text{nth}(j,\text{env}) = y; j \in \text{nat}; \text{env} \in \text{list}(A); 0 \in A \rrbracket \\ & \Longrightarrow \text{least}(\#\#A, \text{is\_Q}, y) \longleftrightarrow \text{sats}(A, \text{least\_fm}(q,j), \text{env}) \end{aligned}$$

⟨*proof*⟩

**lemma** *least\_conj*: *a* ∈ *M* ⇒ *least*(*##M*, λ*x*. *x* ∈ *M* ∧ *Q*(*x*),*a*) ⇔ *least*(*##M*,*Q*,*a*)  
⟨*proof*⟩

**lemma** (**in** *M\_ctm*) *unique\_least*: *a* ∈ *M* ⇒ *b* ∈ *M* ⇒ *least*(*##M*,*Q*,*a*) ⇒ *least*(*##M*,*Q*,*b*)  
⇒ *a* = *b*  
⟨*proof*⟩

**context** *M\_trivial*

**begin**

## 25.1 Absoluteness and closure under *Least*

**lemma** *least\_abs*:

**assumes**  $\bigwedge x. Q(x) \Longrightarrow M(x) M(a)$

**shows** *least*(*M*,*Q*,*a*) ⇔ *a* = (μ *x*. *Q*(*x*))

*<proof>*

**lemma** *Least\_closed*:  
 **assumes**  $\bigwedge x. Q(x) \implies M(x)$   
 **shows**  $M(\mu x. Q(x))$   
 *<proof>*

**end**

**end**

## 26 The Axiom of Replacement in $M[G]$

**theory** *Replacement\_Axiom*  
 **imports**  
 *Least\_Relative\_Univ Separation\_Axiom Renaming\_Auto*  
 **begin**

*<ML>*

**definition** *renrep\_fn* ::  $i \Rightarrow i$  **where**  
  $renrep\_fn(env) == sum(renrep1\_fn, id(length(env)), 6, 8, length(env))$

**definition**  
  $renrep :: [i, i] \Rightarrow i$  **where**  
  $renrep(\varphi, env) = ren(\varphi) \text{'(6\#\+length(env))' \text{'(8\#\+length(env))' renrep\_fn(env)}$

**lemma** *renrep\_type* [TC]:  
 **assumes**  $\varphi \in formula$   $env \in list(M)$   
 **shows**  $renrep(\varphi, env) \in formula$   
 *<proof>*

**lemma** *arity\_renrep*:  
 **assumes**  $\varphi \in formula$   $arity(\varphi) \leq 6\#\+length(env)$   $env \in list(M)$   
 **shows**  $arity(renrep(\varphi, env)) \leq 8\#\+length(env)$   
 *<proof>*

**lemma** *renrep\_sats* :  
  $arity(\varphi) \leq 6\#\+length(env) \implies$   
  $[P, leq, o, p, q, \tau] @ env \in list(M) \implies$   
  $V \in M \implies \alpha \in M \implies$   
  $\varphi \in formula \implies$   
  $sats(M, \varphi, [p, P, leq, o, q, \tau] @ env) \longleftrightarrow sats(M, renrep(\varphi, env), [V, \tau, q, p, \alpha, P, leq, o]$   
  $@ env)$   
 *<proof>*

*<ML>*

**definition** *renpbdy\_fn* ::  $i \Rightarrow i$  **where**

$renpbdy\_fn(env) == sum(renpbdy1\_fn, id(length(env)), 6, 7, length(env))$

**definition**

$renpbdy :: [i, i] \Rightarrow i$  **where**  
 $renpbdy(\varphi, env) = ren(\varphi) \text{'(6 \# + length(env))' \text{'(7 \# + length(env))' } renpbdy\_fn(env)$

**lemma**

$renpbdy\_type [TC]: \varphi \in formula \Longrightarrow env \in list(M) \Longrightarrow renpbdy(\varphi, env) \in formula$   
 $\langle proof \rangle$

**lemma**  $arity\_renpbdy: \varphi \in formula \Longrightarrow arity(\varphi) \leq 6 \# + length(env) \Longrightarrow env \in list(M)$   
 $\Longrightarrow arity(renpbdy(\varphi, env)) \leq 7 \# + length(env)$

$\langle proof \rangle$

**lemma**

$sats\_renpbdy: arity(\varphi) \leq 6 \# + length(nenv) \Longrightarrow [\varrho, p, x, \alpha, P, leq, o, \pi] @ nenv \in list(M) \Longrightarrow \varphi \in formula \Longrightarrow$   
 $sats(M, \varphi, [\varrho, p, \alpha, P, leq, o] @ nenv) \longleftrightarrow sats(M, renpbdy(\varphi, nenv), [\varrho, p, x, \alpha, P, leq, o] @ nenv)$   
 $\langle proof \rangle$

$\langle ML \rangle$

**definition**  $renbody\_fn :: i \Rightarrow i$  **where**

$renbody\_fn(env) == sum(renbody1\_fn, id(length(env)), 5, 6, length(env))$

**definition**

$renbody :: [i, i] \Rightarrow i$  **where**  
 $renbody(\varphi, env) = ren(\varphi) \text{'(5 \# + length(env))' \text{'(6 \# + length(env))' } renbody\_fn(env)$

**lemma**

$renbody\_type [TC]: \varphi \in formula \Longrightarrow env \in list(M) \Longrightarrow renbody(\varphi, env) \in formula$   
 $\langle proof \rangle$

**lemma**  $arity\_renbody: \varphi \in formula \Longrightarrow arity(\varphi) \leq 5 \# + length(env) \Longrightarrow env \in list(M)$   
 $\Longrightarrow$

$arity(renbody(\varphi, env)) \leq 6 \# + length(env)$   
 $\langle proof \rangle$

**lemma**

$sats\_renbody: arity(\varphi) \leq 5 \# + length(nenv) \Longrightarrow [\alpha, x, m, P, leq, o] @ nenv \in list(M) \Longrightarrow \varphi \in formula \Longrightarrow$   
 $sats(M, \varphi, [x, \alpha, P, leq, o] @ nenv) \longleftrightarrow sats(M, renbody(\varphi, nenv), [\alpha, x, m, P, leq, o] @ nenv)$   
 $\langle proof \rangle$

**context** *G\_generic*  
**begin**

**lemma** *pow\_inter\_M*:

**assumes**

$x \in M \ y \in M$

**shows**

$\text{powerset}(\#\#M, x, y) \longleftrightarrow y = \text{Pow}(x) \cap M$

*<proof>*

**schematic\_goal** *sats\_prebody\_fm\_auto*:

**assumes**

$\varphi \in \text{formula} \ [P, \text{leq}, \text{one}, p, \varrho, \pi] \ @ \ \text{nenv} \in \text{list}(M) \ \alpha \in M \ \text{arity}(\varphi) \leq 2 \ \#\ + \ \text{length}(\text{nenv})$

**shows**

$(\exists \tau \in M. \exists V \in M. \text{is\_Vset}(\#\#M, \alpha, V) \wedge \tau \in V \wedge \text{sats}(M, \text{forces}(\varphi), [p, P, \text{leq}, \text{one}, \varrho, \tau]$

$\ @ \ \text{nenv}))$

$\longleftrightarrow \text{sats}(M, ?\text{prebody\_fm}, [\varrho, p, \alpha, P, \text{leq}, \text{one}] \ @ \ \text{nenv})$

*<proof>*

*<ML>*

**lemmas** *new\_fm\_defs = fm\_defs is\_transrec\_fm\_def is\_eclose\_fm\_def mem\_eclose\_fm\_def*

*finite\_ordinal\_fm\_def is\_wfrec\_fm\_def Memrel\_fm\_def eclose\_n\_fm\_def is\_recfun\_fm\_def*  
*is\_iterates\_fm\_def*

*iterates\_MH\_fm\_def is\_nat\_case\_fm\_def quasinat\_fm\_def pre\_image\_fm\_def restriction\_fm\_def*

**lemma** *prebody\_fm\_type* [TC]:

**assumes**  $\varphi \in \text{formula}$

$\text{env} \in \text{list}(M)$

**shows**  $\text{prebody\_fm}(\varphi, \text{env}) \in \text{formula}$

*<proof>*

**lemma** *sats\_prebody\_fm*:

**assumes**

$[P, \text{leq}, \text{one}, p, \varrho] \ @ \ \text{nenv} \in \text{list}(M) \ \varphi \in \text{formula} \ \alpha \in M \ \text{arity}(\varphi) \leq 2 \ \#\ + \ \text{length}(\text{nenv})$

**shows**

$\text{sats}(M, \text{prebody\_fm}(\varphi, \text{nenv}), [\varrho, p, \alpha, P, \text{leq}, \text{one}] \ @ \ \text{nenv}) \longleftrightarrow$

$(\exists \tau \in M. \exists V \in M. \text{is\_Vset}(\#\#M, \alpha, V) \wedge \tau \in V \wedge \text{sats}(M, \text{forces}(\varphi), [p, P, \text{leq}, \text{one}, \varrho, \tau]$

$\ @ \ \text{nenv}))$

*<proof>*

**lemma** *arity\_prebody\_fm*:

**assumes**

$\varphi \in \text{formula} \ \alpha \in M \ \text{env} \in \text{list}(M) \ \text{arity}(\varphi) \leq 2 \ \#\ + \ \text{length}(\text{env})$

**shows**

$arity(prebody\_fm(\varphi, env)) \leq 6 \# + length(env)$   
 $\langle proof \rangle$

**definition**

$body\_fm' :: [i, i] \Rightarrow i$  **where**  
 $body\_fm'(\varphi, env) \equiv Exists(Exists(And(pair\_fm(0, 1, 2), renpbdy(prebody\_fm(\varphi, env), env))))$

**lemma**  $body\_fm\_type[TC]: \varphi \in formula \Longrightarrow env \in list(M) \Longrightarrow body\_fm'(\varphi, env) \in formula$   
 $\langle proof \rangle$

**lemma**  $arity\_body\_fm'$ :

**assumes**

$\varphi \in formula \ \alpha \in M \ env \in list(M) \ arity(\varphi) \leq 2 \# + length(env)$

**shows**

$arity(body\_fm'(\varphi, env)) \leq 5 \# + length(env)$   
 $\langle proof \rangle$

**lemma**  $sats\_body\_fm'$ :

**assumes**

$\exists t \ p. \ x = \langle t, p \rangle \ x \in M \ [\alpha, P, leq, one, p, \varrho] \ @ \ nenv \in list(M) \ \varphi \in formula \ arity(\varphi) \leq 2 \# + length(nenv)$

**shows**

$sats(M, body\_fm'(\varphi, nenv), [x, \alpha, P, leq, one] \ @ \ nenv) \longleftrightarrow$   
 $sats(M, renpbdy(prebody\_fm(\varphi, nenv), nenv), [fst(x), snd(x), x, \alpha, P, leq, one] \ @ \ nenv)$   
 $\langle proof \rangle$

**definition**

$body\_fm :: [i, i] \Rightarrow i$  **where**  
 $body\_fm(\varphi, env) \equiv renbody(body\_fm'(\varphi, env), env)$

**lemma**  $body\_fm\_type[TC]: env \in list(M) \Longrightarrow \varphi \in formula \Longrightarrow body\_fm(\varphi, env) \in formula$   
 $\langle proof \rangle$

**lemma**  $sats\_body\_fm$ :

**assumes**

$\exists t \ p. \ x = \langle t, p \rangle \ [\alpha, x, m, P, leq, one] \ @ \ nenv \in list(M)$   
 $\varphi \in formula \ arity(\varphi) \leq 2 \# + length(nenv)$

**shows**

$sats(M, body\_fm(\varphi, nenv), [\alpha, x, m, P, leq, one] \ @ \ nenv) \longleftrightarrow$   
 $sats(M, renpbdy(prebody\_fm(\varphi, nenv), nenv), [fst(x), snd(x), x, \alpha, P, leq, one] \ @ \ nenv)$   
 $\langle proof \rangle$

**lemma**  $sats\_renpbdy\_prebody\_fm$ :

**assumes**

$\exists t \ p. \ x = \langle t, p \rangle \ x \in M \ [\alpha, m, P, leq, one] \ @ \ nenv \in list(M)$   
 $\varphi \in formula \ arity(\varphi) \leq 2 \# + length(nenv)$

**shows**

$sats(M, renpbdy(prebody\_fm(\varphi, nenv), nenv), [fst(x), snd(x), x, \alpha, P, leq, one] \ @ \ nenv)$

$\longleftrightarrow$   
 $sats(M, prebody\_fm(\varphi, nenv), [fst(x), snd(x), \alpha, P, leq, one] @ nenv)$   
 $\langle proof \rangle$

**lemma** *body\_lemma*:

**assumes**

$\exists t p. x = \langle t, p \rangle \ x \in M \ [x, \alpha, m, P, leq, one] @ nenv \in list(M)$   
 $\varphi \in formula \ arity(\varphi) \leq 2 \ \#\ + \ length(nenv)$

**shows**

$sats(M, body\_fm(\varphi, nenv), [\alpha, x, m, P, leq, one] @ nenv) \longleftrightarrow$   
 $(\exists \tau \in M. \exists V \in M. is\_Vset(\lambda a. (\#\#\ M)(a), \alpha, V) \wedge \tau \in V \wedge (snd(x) \Vdash \varphi ([fst(x), \tau] @ nenv)))$   
 $\langle proof \rangle$

**lemma** *Replace\_sats\_in\_MG*:

**assumes**

$c \in M[G] \ env \in list(M[G])$   
 $\varphi \in formula \ arity(\varphi) \leq 2 \ \#\ + \ length(env)$   
 $univalent(\#\#\ M[G], c, \lambda x v. (M[G], [x, v] @ env \models \varphi))$

**shows**

$\{v. x \in c, v \in M[G] \wedge (M[G], [x, v] @ env \models \varphi)\} \in M[G]$   
 $\langle proof \rangle$

**theorem** *strong\_replacement\_in\_MG*:

**assumes**

$\varphi \in formula \ \mathbf{and} \ arity(\varphi) \leq 2 \ \#\ + \ length(env) \ env \in list(M[G])$

**shows**

$strong\_replacement(\#\#\ M[G], \lambda x v. sats(M[G], \varphi, [x, v] @ env))$   
 $\langle proof \rangle$

**end**

**end**

## 27 The Axiom of Infinity in $M[G]$

**theory** *Infinity\_Axiom*

**imports** *Pairing\_Axiom Union\_Axiom Separation\_Axiom*

**begin**

**context** *G\_generic* **begin**

**interpretation** *mg\_triv*:  $M\_trivial \#\#\ M[G]$

$\langle proof \rangle$

**lemma** *infinity\_in\_MG* :  $infinity\_ax(\#\#\ M[G])$

$\langle proof \rangle$

**end**

**end**



## 28 The Axiom of Choice in $M[G]$

**theory** *Choice\_Axiom*

**imports** *Powerset\_Axiom Pairing\_Axiom Union\_Axiom Extensionality\_Axiom*  
*Foundation\_Axiom Powerset\_Axiom Separation\_Axiom*  
*Replacement\_Axiom Interface Infinity\_Axiom*

**begin**

**definition**

*induced\_surj* ::  $i \Rightarrow i \Rightarrow i \Rightarrow i$  **where**  
*induced\_surj*( $f, a, e$ ) ==  $f^{-1}((\text{range}(f) - a) \times \{e\} \cup \text{restrict}(f, f^{-1}a))$

**lemma** *domain\_induced\_surj*:  $\text{domain}(\text{induced\_surj}(f, a, e)) = \text{domain}(f)$   
 ⟨*proof*⟩

**lemma** *range\_restrict\_vimage*:

**assumes** *function*( $f$ )  
**shows**  $\text{range}(\text{restrict}(f, f^{-1}a)) \subseteq a$   
 ⟨*proof*⟩

**lemma** *induced\_surj\_type*:

**assumes**  
*function*( $f$ )  
**shows**  
 $\text{induced\_surj}(f, a, e): \text{domain}(f) \rightarrow \{e\} \cup a$   
**and**  
 $x \in f^{-1}a \implies \text{induced\_surj}(f, a, e)'x = f'x$   
 ⟨*proof*⟩

**lemma** *induced\_surj\_is\_surj* :

**assumes**  
 $e \in a$  *function*( $f$ )  $\text{domain}(f) = \alpha \wedge y. y \in a \implies \exists x \in \alpha. f'x = y$   
**shows**  
 $\text{induced\_surj}(f, a, e) \in \text{surj}(\alpha, a)$   
 ⟨*proof*⟩

**context** *G\_generic*

**begin**

**definition**

*upair\_name* ::  $i \Rightarrow i \Rightarrow i$  **where**  
*upair\_name*( $\tau, \rho$ ) ==  $\{(\tau, \text{one}), (\rho, \text{one})\}$

**definition**

*is\_upair\_name* ::  $[i, i, i] \Rightarrow o$  **where**  
*is\_upair\_name*( $x, y, z$ )  $\equiv \exists x_0 \in M. \exists y_0 \in M. \text{pair}(\#\#M, x, \text{one}, x_0) \wedge \text{pair}(\#\#M, y, \text{one}, y_0)$   
 $\wedge$   
 $\text{upair}(\#\#M, x_0, y_0, z)$

**lemma** *upair\_name\_abs* :  
**assumes**  $x \in M$   $y \in M$   $z \in M$   
**shows**  $is\_upair\_name(x,y,z) \longleftrightarrow z = upair\_name(x,y)$   
*<proof>*

**lemma** *upair\_name\_closed* :  
 $\llbracket x \in M; y \in M \rrbracket \implies upair\_name(x,y) \in M$   
*<proof>*

**definition**  
*upair\_name\_fm* ::  $[i,i,i,i] \Rightarrow i$  **where**  
*upair\_name\_fm*( $x,y,o,z$ )  $\equiv$   $Exists(Exists(And(pair\_fm(x\#+2,o\#+2,1),$   
 $And(pair\_fm(y\#+2,o\#+2,0),upair\_fm(1,0,z\#+2))))))$

**lemma** *upair\_name\_fm\_type*[*TC*] :  
 $\llbracket s \in nat; x \in nat; y \in nat; o \in nat \rrbracket \implies upair\_name\_fm(s,x,y,o) \in formula$   
*<proof>*

**lemma** *sats\_upair\_name\_fm* :  
**assumes**  $x \in nat$   $y \in nat$   $z \in nat$   $o \in nat$   $env \in list(M)$   $nth(o,env) = one$   
**shows**  
 $sats(M,upair\_name\_fm(x,y,o,z),env) \longleftrightarrow is\_upair\_name(nth(x,env),nth(y,env),nth(z,env))$   
*<proof>*

**definition**  
*opair\_name* ::  $i \Rightarrow i \Rightarrow i$  **where**  
*opair\_name*( $\tau,\varrho$ )  $== upair\_name(upair\_name(\tau,\tau),upair\_name(\tau,\varrho))$

**definition**  
*is\_opair\_name* ::  $[i,i,i] \Rightarrow o$  **where**  
*is\_opair\_name*( $x,y,z$ )  $\equiv \exists upxx \in M. \exists upxy \in M. is\_upair\_name(x,x,upxx) \wedge is\_upair\_name(x,y,upxy)$   
 $\wedge is\_upair\_name(upxx,upxy,z)$

**lemma** *opair\_name\_abs* :  
**assumes**  $x \in M$   $y \in M$   $z \in M$   
**shows**  $is\_opair\_name(x,y,z) \longleftrightarrow z = opair\_name(x,y)$   
*<proof>*

**lemma** *opair\_name\_closed* :  
 $\llbracket x \in M; y \in M \rrbracket \implies opair\_name(x,y) \in M$   
*<proof>*

**definition**  
*opair\_name\_fm* ::  $[i,i,i,i] \Rightarrow i$  **where**  
*opair\_name\_fm*( $x,y,o,z$ )  $\equiv$   $Exists(Exists(And(upair\_name\_fm(x\#+2,x\#+2,o\#+2,1),$   
 $And(upair\_name\_fm(x\#+2,y\#+2,o\#+2,0),upair\_name\_fm(1,0,o\#+2,z\#+2))))))$

**lemma** *opair\_name\_fm\_type*[TC] :  
 $\llbracket s \in \text{nat}; x \in \text{nat}; y \in \text{nat}; o \in \text{nat} \rrbracket \implies \text{opair\_name\_fm}(s, x, y, o) \in \text{formula}$   
 ⟨proof⟩

**lemma** *sats\_opair\_name\_fm* :  
**assumes**  $x \in \text{nat} \ y \in \text{nat} \ z \in \text{nat} \ o \in \text{nat} \ \text{env} \in \text{list}(M) \ \text{nth}(o, \text{env}) = \text{one}$   
**shows**  
 $\text{sats}(M, \text{opair\_name\_fm}(x, y, o, z), \text{env}) \longleftrightarrow \text{is\_opair\_name}(\text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$   
 ⟨proof⟩

**lemma** *val\_upair\_name* :  $\text{val}(G, \text{upair\_name}(\tau, \rho)) = \{\text{val}(G, \tau), \text{val}(G, \rho)\}$   
 ⟨proof⟩

**lemma** *val\_opair\_name* :  $\text{val}(G, \text{opair\_name}(\tau, \rho)) = \langle \text{val}(G, \tau), \text{val}(G, \rho) \rangle$   
 ⟨proof⟩

**lemma** *val\_RepFun\_one*:  $\text{val}(G, \{\langle f(x), \text{one} \rangle . x \in a\}) = \{\text{val}(G, f(x)) . x \in a\}$   
 ⟨proof⟩

## 28.1 $M[G]$ is a transitive model of ZF

**interpretation** *mgzf*:  $M\_ZF\_trans \ M[G]$   
 ⟨proof⟩

### definition

*is\_opname\_check* ::  $[i, i, i] \Rightarrow o$  **where**  
 $\text{is\_opname\_check}(s, x, y) \equiv \exists \text{chx} \in M. \exists \text{sx} \in M. \text{is\_check}(x, \text{chx}) \wedge \text{fun\_apply}(\#\#M, s, x, \text{sx})$   
 $\wedge$   
 $\text{is\_opair\_name}(\text{chx}, \text{sx}, y)$

### definition

*opname\_check\_fm* ::  $[i, i, i, i] \Rightarrow i$  **where**  
 $\text{opname\_check\_fm}(s, x, y, o) \equiv \text{Exists}(\text{Exists}(\text{And}(\text{check\_fm}(2\#\#+x, 2\#\#+o, 1),$   
 $\text{And}(\text{fun\_apply\_fm}(2\#\#+s, 2\#\#+x, 0), \text{opair\_name\_fm}(1, 0, 2\#\#+o, 2\#\#+y))))))$

**lemma** *opname\_check\_fm\_type*[TC] :  
 $\llbracket s \in \text{nat}; x \in \text{nat}; y \in \text{nat}; o \in \text{nat} \rrbracket \implies \text{opname\_check\_fm}(s, x, y, o) \in \text{formula}$   
 ⟨proof⟩

**lemma** *sats\_opname\_check\_fm*:  
**assumes**  $x \in \text{nat} \ y \in \text{nat} \ z \in \text{nat} \ o \in \text{nat} \ \text{env} \in \text{list}(M) \ \text{nth}(o, \text{env}) = \text{one}$   
 $y < \text{length}(\text{env})$   
**shows**  
 $\text{sats}(M, \text{opname\_check\_fm}(x, y, z, o), \text{env}) \longleftrightarrow \text{is\_opname\_check}(\text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$   
 ⟨proof⟩

**lemma** *opname\_check\_abs* :  
**assumes**  $s \in M \ x \in M \ y \in M$   
**shows**  $is\_opname\_check(s, x, y) \longleftrightarrow y = opair\_name(check(x), s'x)$   
 $\langle proof \rangle$

**lemma** *repl\_opname\_check* :  
**assumes**  
 $A \in M \ f \in M$   
**shows**  
 $\{opair\_name(check(x), f'x). \ x \in A\} \in M$   
 $\langle proof \rangle$

**theorem** *choice\_in\_MG*:  
**assumes**  $choice\_ax(\#\#M)$   
**shows**  $choice\_ax(\#\#M[G])$   
 $\langle proof \rangle$

**end**

**end**

## 29 Ordinals in generic extensions

**theory** *Ordinals\_In\_MG*  
**imports**  
*Forcing\_Theorems\_Relative\_Univ*

**begin**

**context** *G\_generic*  
**begin**

**lemma** *rank\_val*:  $rank(val(G, x)) \leq rank(x)$  (**is**  $?Q(x)$ )  
 $\langle proof \rangle$

**lemma** *Ord\_MG\_iff*:  
**assumes**  $Ord(\alpha)$   
**shows**  $\alpha \in M \longleftrightarrow \alpha \in M[G]$   
 $\langle proof \rangle$

**end**

**end**

## 30 Separative notions and proper extensions

**theory** *Proper\_Extension*

**imports**

*Names*

**begin**

The key ingredient to obtain a proper extension is to have a *separative preorder*:

**locale** *separative\_notion* = *forcing\_notion* +

**assumes** *separative*:  $p \in P \implies \exists q \in P. \exists r \in P. q \preceq p \wedge r \preceq p \wedge q \perp r$

**begin**

For separative preorders, the complement of every filter is dense. Hence an  $M$ -generic filter can't belong to the ground model.

**lemma** *filter\_complement\_dense*:

**assumes** *filter*( $G$ ) **shows** *dense*( $P - G$ )

*<proof>*

**end**

**locale** *ctm\_separative* = *forcing\_data* + *separative\_notion*

**begin**

**lemma** *generic\_not\_in\_M*: **assumes**  $M\_generic(G)$  **shows**  $G \notin M$

*<proof>*

**theorem** *proper\_extension*: **assumes**  $M\_generic(G)$  **shows**  $M \neq M[G]$

*<proof>*

**end**

**end**

## 31 A poset of successions

**theory** *Succession\_Poset*

**imports**

*Arities Proper\_Extension Synthetic\_Definition*

*Names*

**begin**

### 31.1 The set of finite binary sequences

We implement the poset for adding one Cohen real, the set  $2^{<\omega}$  of finite binary sequences.

**definition**

*seqspace* ::  $i \Rightarrow i \ (\_ \hat{<} \omega \ [100]100)$  **where**  
*seqspace*( $B$ )  $\equiv \bigcup n \in \text{nat}. (n \rightarrow B)$

**lemma** *seqspaceI*[*intro*]:  $n \in \text{nat} \Longrightarrow f : n \rightarrow B \Longrightarrow f \in \text{seqspace}(B)$   
 ⟨*proof*⟩

**lemma** *seqspaceD*[*dest*]:  $f \in \text{seqspace}(B) \Longrightarrow \exists n \in \text{nat}. f : n \rightarrow B$   
 ⟨*proof*⟩

**lemma** *seqspace\_type*:  
 $f \in B \hat{<} \omega \Longrightarrow \exists n \in \text{nat}. f : n \rightarrow B$   
 ⟨*proof*⟩

**schematic\_goal** *seqspace\_fm\_auto*:

**assumes**

$\text{nth}(i, \text{env}) = n \ \text{nth}(j, \text{env}) = z \ \text{nth}(h, \text{env}) = B$

$i \in \text{nat} \ j \in \text{nat} \ h \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$(\exists om \in A. \ \text{omega}(\#\#A, om) \wedge n \in om \wedge \text{is\_funspace}(\#\#A, n, B, z)) \longleftrightarrow (A, \text{env} \models (?sqsprp(i, j, h)))$

⟨*proof*⟩

⟨*ML*⟩

**locale** *M\_seqspace* = *M\_trancl* +

**assumes**

*seqspace\_replacement*:  $M(B) \Longrightarrow \text{strong\_replacement}(M, \lambda n z. n \in \text{nat} \wedge \text{is\_funspace}(M, n, B, z))$

**begin**

**lemma** *seqspace\_closed*:

$M(B) \Longrightarrow M(B \hat{<} \omega)$

⟨*proof*⟩

**end**

**sublocale** *M\_ctm*  $\subseteq$  *M\_seqspace*  $\#\#M$

⟨*proof*⟩

**definition** *seq\_upd* ::  $i \Rightarrow i \Rightarrow i$  **where**

*seq\_upd*( $f, a$ )  $\equiv \lambda j \in \text{succ}(\text{domain}(f)) . \text{if } j < \text{domain}(f) \text{ then } f'j \text{ else } a$

**lemma** *seq\_upd\_succ\_type* :

**assumes**  $n \in \text{nat} \ f \in n \rightarrow A \ a \in A$

**shows**  $\text{seq\_upd}(f, a) \in \text{succ}(n) \rightarrow A$

⟨*proof*⟩

**lemma** *seq\_upd\_type* :

**assumes**  $f \in A \hat{<} \omega \ a \in A$

**shows**  $seq\_upd(f,a) \in A^{\omega}$   
 $\langle proof \rangle$

**lemma**  $seq\_upd\_apply\_domain$  [*simp*]:  
**assumes**  $f:n \rightarrow A$   $n \in nat$   
**shows**  $seq\_upd(f,a)^n = a$   
 $\langle proof \rangle$

**lemma**  $zero\_in\_seqspace$  :  
**shows**  $0 \in A^{\omega}$   
 $\langle proof \rangle$

**definition**  
 $seqleR :: i \Rightarrow i \Rightarrow o$  **where**  
 $seqleR(f,g) \equiv g \subseteq f$

**definition**  
 $seqlerel :: i \Rightarrow i$  **where**  
 $seqlerel(A) \equiv Rrel(\lambda x y. y \subseteq x, A^{\omega})$

**definition**  
 $seqle :: i$  **where**  
 $seqle \equiv seqlerel(2)$

**lemma**  $seqleI$ [*intro!*]:  
 $\langle f,g \rangle \in 2^{\omega} \times 2^{\omega} \implies g \subseteq f \implies \langle f,g \rangle \in seqle$   
 $\langle proof \rangle$

**lemma**  $seqleD$ [*dest!*]:  
 $z \in seqle \implies \exists x y. \langle x,y \rangle \in 2^{\omega} \times 2^{\omega} \wedge y \subseteq x \wedge z = \langle x,y \rangle$   
 $\langle proof \rangle$

**lemma**  $upd\_leI$  :  
**assumes**  $f \in 2^{\omega}$   $a \in 2$   
**shows**  $\langle seq\_upd(f,a), f \rangle \in seqle$  (**is**  $\langle ?f, - \rangle \in -$ )  
 $\langle proof \rangle$

**lemma**  $preorder\_on\_seqle$ :  $preorder\_on(2^{\omega}, seqle)$   
 $\langle proof \rangle$

**lemma**  $zero\_seqle\_max$ :  $x \in 2^{\omega} \implies \langle x, 0 \rangle \in seqle$   
 $\langle proof \rangle$

**interpretation**  $forcing\_notion$   $2^{\omega}$   $seqle$   $0$   
 $\langle proof \rangle$

**abbreviation**  $SEQle :: [i, i] \Rightarrow o$  (**infixl**  $\preceq_s$  50)  
**where**  $x \preceq_s y \equiv Leq(x,y)$

**abbreviation**  $SEQIncompatible :: [i, i] \Rightarrow o$  (**infixl**  $\perp s$  50)  
**where**  $x \perp s y \equiv Incompatible(x, y)$

**lemma**  $seqspace\_separative$ :  
**assumes**  $f \in \mathcal{2}^{<\omega}$   
**shows**  $seq\_upd(f, 0) \perp s seq\_upd(f, 1)$  (**is**  $?f \perp s ?g$ )  
 $\langle proof \rangle$

**definition**  $is\_seqleR :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_seqleR(Q, f, g) \equiv g \subseteq f$

**definition**  $seqleR\_fm :: i \Rightarrow i$  **where**  
 $seqleR\_fm(fg) \equiv Exists(Exists(And(pair\_fm(0, 1, fg\#+2), subset\_fm(1, 0))))$

**lemma**  $type\_seqleR\_fm$  :  
 $fg \in nat \Longrightarrow seqleR\_fm(fg) \in formula$   
 $\langle proof \rangle$

**lemma**  $arity\_seqleR\_fm$  :  
 $fg \in nat \Longrightarrow arity(seqleR\_fm(fg)) = succ(fg)$   
 $\langle proof \rangle$

**lemma** (**in**  $M\_basic$ )  $seqleR\_abs$ :  
**assumes**  $M(f) M(g)$   
**shows**  $seqleR(f, g) \longleftrightarrow is\_seqleR(M, f, g)$   
 $\langle proof \rangle$

**definition**  
 $relP :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i] \Rightarrow o$  **where**  
 $relP(M, r, xy) \equiv (\exists x[M]. \exists y[M]. pair(M, x, y, xy) \wedge r(M, x, y))$

**lemma** (**in**  $M\_ctm$ )  $seqleR\_fm\_sats$  :  
**assumes**  $fg \in nat \ env \in list(M)$   
**shows**  $sats(M, seqleR\_fm(fg), env) \longleftrightarrow relP(\#\#M, is\_seqleR, nth(fg, env))$   
 $\langle proof \rangle$

**lemma** (**in**  $M\_basic$ )  $is\_related\_abs$  :  
**assumes**  $\bigwedge f g . M(f) \Longrightarrow M(g) \Longrightarrow rel(f, g) \longleftrightarrow is\_rel(M, f, g)$   
**shows**  $\bigwedge z . M(z) \Longrightarrow relP(M, is\_rel, z) \longleftrightarrow (\exists x y . z = \langle x, y \rangle \wedge rel(x, y))$   
 $\langle proof \rangle$

**definition**  
 $is\_RRel :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_RRel(M, is\_r, A, r) \equiv \exists A2[M]. cartprod(M, A, A, A2) \wedge is\_Collect(M, A2, relP(M, is\_r), r)$

**lemma** (**in**  $M\_basic$ )  $is\_Rrel\_abs$  :  
**assumes**  $M(A) M(r)$   
 $\bigwedge f g . M(f) \Longrightarrow M(g) \Longrightarrow rel(f, g) \longleftrightarrow is\_rel(M, f, g)$



**shows**  $is\_RRel(M, is\_rel, A, r) \longleftrightarrow r = Rrel(rel, A)$   
 ⟨proof⟩

**definition**

$is\_seqlel :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_seqlel(M, A, r) \equiv is\_RRel(M, is\_seqleR, A, r)$

**lemma** (in  $M\_basic$ )  $seqlel\_abs$  :

**assumes**  $M(A) \ M(r)$   
**shows**  $is\_seqlel(M, A, r) \longleftrightarrow r = Rrel(seqleR, A)$   
 ⟨proof⟩

**definition**  $RrelP :: [i \Rightarrow i \Rightarrow o, i] \Rightarrow i$  **where**

$RrelP(R, A) \equiv \{z \in A \times A. \exists x y. z = \langle x, y \rangle \wedge R(x, y)\}$

**lemma**  $RrelEq : RrelP(R, A) = Rrel(R, A)$

⟨proof⟩

**context**  $M\_ctm$

**begin**

**lemma**  $Rrel\_closed$ :

**assumes**  $A \in M$   
 $\bigwedge a. a \in nat \Rightarrow rel\_fm(a) \in formula$   
 $\bigwedge f g. (\#\#M)(f) \Rightarrow (\#\#M)(g) \Rightarrow rel(f, g) \longleftrightarrow is\_rel(\#\#M, f, g)$   
 $arity(rel\_fm(0)) = 1$   
 $\bigwedge a. a \in M \Rightarrow sats(M, rel\_fm(0), [a]) \longleftrightarrow relP(\#\#M, is\_rel, a)$   
**shows**  $(\#\#M)(Rrel(rel, A))$   
 ⟨proof⟩

**lemma**  $seqle\_in\_M : seqle \in M$

⟨proof⟩

## 31.2 Cohen extension is proper

**interpretation**  $ctm\_separative \ 2^{\omega} < \omega \ seqle \ 0$

⟨proof⟩

**lemma**  $cohen\_extension\_is\_proper : \exists G. M\_generic(G) \wedge M \neq GenExt(G)$

⟨proof⟩

**end**

**end**

## 32 The main theorem

**theory**  $Forcing\_Main$

**imports**

*Internal\_ZFC\_Axioms*  
*Choice\_Axiom*  
*Ordinals\_In\_MG*  
*Succession\_Poset*

**begin**

### 32.1 The generic extension is countable

**definition**

*minimum* ::  $i \Rightarrow i \Rightarrow i$  **where**  
*minimum*( $r, B$ )  $\equiv$  THE  $b. b \in B \wedge (\forall y \in B. y \neq b \longrightarrow \langle b, y \rangle \in r)$

**lemma** *well\_ord\_imp\_min*:

**assumes**

*well\_ord*( $A, r$ )  $B \subseteq A$   $B \neq 0$

**shows**

*minimum*( $r, B$ )  $\in B$

*<proof>*

**lemma** *well\_ord\_surj\_imp\_lepoll*:

**assumes** *well\_ord*( $A, r$ )  $h \in \text{surj}(A, B)$

**shows**  $B \lesssim A$

*<proof>*

**lemma** (*in forcing\_data*) *surj\_nat\_MG* :

$\exists f. f \in \text{surj}(\text{nat}, M[G])$

*<proof>*

**lemma** (*in G-generic*) *MG\_eqpoll\_nat*:  $M[G] \approx \text{nat}$

*<proof>*

### 32.2 The main result

**theorem** *extensions\_of\_ctms*:

**assumes**

$M \approx \text{nat}$  *Transset*( $M$ )  $M \models ZF$

**shows**

$\exists N.$

$M \subseteq N \wedge N \approx \text{nat} \wedge \text{Transset}(N) \wedge N \models ZF \wedge M \neq N \wedge$

$(\forall \alpha. \text{Ord}(\alpha) \longrightarrow (\alpha \in M \longleftrightarrow \alpha \in N)) \wedge$

$(M, \models AC \longrightarrow N \models ZFC)$

*<proof>*

**end**